Audit, Compliance, and Enterprise Risk Management Committee Meeting
Wednesday, February 16, 2022, 8:00–9:30 a.m.
Popp Martin Student Union, Third Floor, Room A-F

Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order 8:00 a.m.
Christine Katziff, Chair

2. Approval of the December 15, 2021, Meeting Minutes 8:01 a.m.
Christine Katziff, Chair
Documents: December 15, 2021, Meeting Minutes—Open Session
December 15, 2021, Meeting Minutes—Closed Session

3. Report on 2020-2021 External Audits 8:02 a.m.
Anne Brown, Associate Vice Chancellor for Finance
Amy Hisler, Controller
Greg Verret, Director of Treasury Services
Zach Rogers, Financial Audit Manager, Office of the State Auditor
Documents: The University of North Carolina at Charlotte Financial Statement Audit Report for the Year Ended June 30, 2021
Annual Financial Audits of Affiliated Entities:
- The Foundation of the University of North Carolina at Charlotte, Inc. Consolidated Financial Statements for the Years Ended June 30, 2021 and 2020, and Independent Auditor’s Report
- The Athletic Foundation of the University of North Carolina at Charlotte Financial Statements for the Years Ended June 30, 2021 and 2020, and Independent Auditor’s Report
- The University of North Carolina at Charlotte Facilities Development Corporation, Inc. Financial Statements for the Years Ended June 30, 2021 and 2020, and Independent Auditor’s Report
The University of North Carolina at Charlotte Investment Fund, Inc. 
Financial Statements for the Years Ended June 30, 2021 and 2020, and 
Independent Auditor’s Report

4. National Collegiate Athletics Association (NCAA) Agreed-Upon Procedures 8:12 a.m. 
Amy Hisler, Controller  
Greg Verret, Director of Treasury Services  
Darin Spease, Deputy Athletic Director  

5. Internal Audit Update 8:17 a.m. 
Jennifer Walker, Chief Audit Officer  
Documents: FY 2022 Audit Plan Update  
Summary of Internal Audit Reports  
Issued Internal Audit Findings Exception Report

6. Enterprise Risk Management (ERM) Update - Auxiliary Financials 8:32 a.m. 
Steven Dunham, Chief Risk Officer  
Document: Enterprise Risk Management (ERM) Dashboards

7. Motion to Convene in Closed Session 8:47 a.m. 
Christine Katziff, Chair  

a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;  
b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3);  
c. to consider the qualifications, competence, performance, or condition of appointment of an employee pursuant to N.C.G.S. §143-318.11(a)(6); and  
d. to prevent the disclosure of sensitive public security information, as defined in N.C.G.S. §132-1.7(a).

Closed Session

Steven Dunham, Chief Risk Officer  
Mike Carlin, Vice Chancellor for OneIT and Chief Information Officer  
Jesse Beauman, Assistant Vice Chancellor for Enterprise Infrastructure & Deputy Chief Information Officer  
Document: Enterprise Risk Management (ERM) Dashboards

9. Report on Litigation and Administrative Case Updates 8:58 a.m. 
Sam Sears, Associate Vice Chancellor and Deputy General Counsel
10. Billing Investigation Update and IT Audit Report
   Jennifer Walker, Chief Audit Officer

11. Title IX Office Update
    Michelle Reinken, Director of Title IX Compliance/Title IX Coordinator
    Document: Title IX Office Update

12. Motion to Resume in Open Session
    Christine Katziff, Chair

   Open Session

13. Approval of the Resolution of the Audit, Compliance, and Enterprise Risk
    Management Committee - Support for Mandatory Title IX Training at
    UNC Charlotte
    Christine Katziff, Chair
    Document: Resolution of the Audit, Compliance, and Enterprise Risk Management
    Committee – Support for Mandatory Title IX Training at UNC Charlotte

14. Adjourn
    Christine Katziff, Chair

Members
Christine Katziff, Chair
Carlos Sanchez, Vice Chair
Dennis Bunker III
Sasha Weintraub
Susan DeVore, ex officio
The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Susan Devore, Chair

2. Approval of Previous Meeting Minutes
   Susan Devore, Chair
   Documents: December 15, 2021, Meeting Minutes - Open Session
              December 15, 2021, Meeting Minutes - Closed Session

3. Approval of Plan to Establish the Steven Ott Distinguished Professor in Real Estate
   Joan Lorden, Provost and Vice Chancellor for Academic Affairs
   Document: Letter to Chancellor and Plan to Establish the Steven Ott Distinguished Professor in Real Estate

4. Nominating Process
   Susan Devore, Chair

5. Motion to Convene in Closed Session
   Susan Devore, Chair

   a. Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and
   b. prevent the disclosure of information that is privileged or confidential pursuant to the law of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes pursuant to N.C.G.S. §143-318.11 (a)(1);
c. to prevent the premature disclosure of an honorary degree, scholarship, prize, or similar award pursuant to N.C.G.S. §143-318.11 (a)(2);

d. to establish, or to instruct the public body’s staff concerning the amount of compensation and other material terms of an employment contract or proposed employment contract pursuant to N.C.G.S. §143-318.11 (a)(5);

Closed Session

6. Quarterly Report of Faculty Actions and Salary Adjustments
   Joan Lorden, Provost and Vice Chancellor for Academic Affairs
   Documents: Quarterly Report of Faculty Actions
              Quarterly Report of Faculty Salary Adjustments

7. Quarterly Report of EHRA Non-Faculty Actions and Salary Adjustments
   Sharon Gaber, Chancellor
   Documents: Quarterly Report of EHRA Non-Faculty Actions
              Quarterly Report of EHRA Non-Faculty Salary Adjustments

8. DSA Award Update
   Sharon Gaber, Chancellor

9. Honorary Degree Update
   Sharon Gaber, Chancellor

10. Conferral of Emeritus Status
    Sharon Gaber, Chancellor

11. Motion to Resume in Open Session
    Susan DeVore, Chair

Open Session

12. Other Business
    Susan DeVore, Chair

13. Adjourn
    Susan DeVore, Chair

Executive Committee Members
Susan DeVore, Chair
Dick Beekman
Dennis Bunker III
Christine Katziff
Brett Keeter
Fred W. Klein Jr.
Carlos Sanchez
Teross W. Young Jr.
Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Brett Keeter, Chair

2. Approval of the December 15, 2021, Meeting Minutes
   Brett Keeter, Chair
   Document: December 15, 2021, Meeting Minutes

3. Resolution Regarding Residence Status of Students Receiving Full Scholarships
   Joan Lorden, Provost and Vice Chancellor for Academic Affairs
   Document: Resolution: Residence Status of Students Receiving Full Scholarships
   Document: Guideline on the Residence Status of Students Receiving Full Scholarships
   Document: North Carolina General Statute 116-143.6

4. Veteran Services
   Kevin W. Bailey, Vice Chancellor for Student Affairs
   Bill Wilson, Director of Veteran Services
   Document: Veteran Services Office

5. Pandemic-Related Research and Bioinformatics Research Center
   Rick Tankersley, Vice Chancellor for Research and Economic Development
   Daniel Janies, Carol Grotnes Belk Distinguished Professor of Bioinformatics and Genomics
   Adam Reitzel, Professor, Department of Biological Sciences
   Document: Bioinformatics Research Center

6. Other Business
   Brett Keeter, Chair

7. Adjourn
   Brett Keeter, Chair
Members
Brett Keeter, Chair
Carlos Sanchez, Vice Chair
Wendy Grubbs
Dontá Wilson
Susan DeVore, ex officio
The University of North Carolina at Charlotte
Board of Trustees

Athletics Committee Meeting
Wednesday, February 16, 2022, 10:40-11:40 a.m.
Popp Martin Student Union, Room 265

Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Teross Young Jr., Chair

2. Approval of the December 15, 2021, Meeting Minutes
   Teross Young Jr., Chair
   Document: December 15, 2021, Meeting Minutes

3. Men’s Soccer Recap/Look Ahead
   Kevin Langan, Head Men’s Soccer Coach

4. Athletic Department Update
   Mike Hill, Director of Athletics

5. AAC Ramp Up/Annual Giving Campaign
   Kelly Weatherman, Associate AD for Development Administration
   Document: Athletic Foundation

6. Academic Report
   Mark Verburg, Director of Athletic Academic Center
   Document: Athletic Academic Report

7. Other Business
   Teross Young Jr., Chair

8. Adjourn
   Teross Young Jr., Chair
Members
Teross W. Young Jr., Chair
Sharon Decker, Vice Chair
Dick Beekman
Sasha Weintraub
Susan DeVore, ex officio
The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order  
   Fred W. Klein, Chair  
   10:40 a.m.

2. Approval of Meeting Minutes  
   Fred W. Klein, Chair  
   Document: December 15, 2021, Meeting Minutes  
   10:41 a.m.

3. Systems Performance Funding Model  
   Rich Amon, Vice Chancellor for Business Affairs  
   Document: Proposed System Changes to Funding Model  
   10:42 a.m.

4. UNC Charlotte Budget Update  
   Rich Amon, Vice Chancellor for Business Affairs  
   Ken Smith, Associate Vice Chancellor for Budget  
   Document: UNC Charlotte Comparative Financial Information Operating Budgets  
   11:00 a.m.

5. Request to Dispose of Real Estate by Ground-Lease  
   Rich Amon, Vice Chancellor for Business Affairs  
   Rich Steele, Interim Associate Vice Chancellor for Facilities Management  
   Document: Student Outdoor Event Venue and Tailgating Park  
   11:15 a.m.

6. Request for Ratification of Designer Selection – Campus Security Cameras- Phase 1  
   Rich Amon, Vice Chancellor for Business Affairs  
   Rich Steele, Interim Associate Vice Chancellor for Facilities Management  
   Documents: Campus Security Cameras -Phase 1  
   11:25 a.m.
7. Request for Ratification of Designer Selection – Parking Deck Repairs
   Rich Steele, Interim Associate Vice Chancellor for Facilities Management
   Document: Parking Deck Repairs

8. Request for Ratification of Designer Selection – Lot 25 Renewal
   Rich Amon, Vice Chancellor for Business Affairs
   Rich Steele, Interim Associate Vice Chancellor for Facilities Management
   Document: Lot 25 Renewal

9. Capital Improvement Projects Update
   Rich Amon, Vice Chancellor for Business Affairs
   Rich Steele, Interim Associate Vice Chancellor for Facilities Management
   Document: Capital Improvement Projects Update

10. Adjournment
    Fred W. Klein, Chair

Members

Fred W. Klein, Chair
David Mildenberg, Vice Chair
Dennis Bunker
Christine Katziff
Susan DeVore, ex officio
The University of North Carolina at Charlotte  
Board of Trustees  

Wednesday, February 16, 2022  
Popp Martin Student Union, Third Floor, Room A-F  

Schedule  

8:00–9:30 a.m.  
Audit, Compliance, and Enterprise Risk Management (ACERM) Committee Meeting  
Popp Martin Student Union, Third Floor, Room A-F  

9:30–10:35 a.m.  
Executive Committee Meeting  
Popp Martin Student Union, Third Floor, Room A-F  

10:40–11:40 a.m.  
Academic and Student Affairs (ASA) Committee Meeting  
Popp Martin Student Union, Room 261  

10:40–11:40 a.m.  
Athletics Committee Meeting  
Popp Martin Student Union, Room 265  

10:40–11:40 a.m.  
Finance and Physical Properties Committee (FPPC) Meeting  
Popp Martin Student Union, Third Floor, Room I  

11:40 a.m.–12:15 p.m.  
Lunch Break  
Popp Martin Student Union, Third Floor, Room A-F  

12:15–3:30 p.m.  
Board Will Convene in the Committee of the Whole  
Popp Martin Student Union, Third Floor, Room A-F  

Board of Trustees Agenda  

The Board Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.  

1. Call to Order  
   Susan DeVore, Chair  

2. Introductions and Announcements  
   Susan DeVore, Chair
3. Approval of Previous Meeting Minutes  
   *Susan DeVore, Chair*  
   Documents: [December 15, 2021, Meeting Minutes - Open Session](#)  
   December 15, 2021, Meeting Minutes - Closed Session

4. Remarks by the Board of Governors Liaison  
   *Mark Holton, Board of Governors Liaison*

5. Chancellor’s Report  
   *Sharon Gaber, Chancellor*

6. Campus Safety and Security  
   *John Bogdan, Associate Vice Chancellor for Safety and Security*  
   *Jeffrey Baker, Chief of Police*  
   Document: [Safety and Security Update](#)

7. Report of the University Faculty Council  
   *Susan Harden, Faculty Council President*  
   Document: [Faculty Council Report](#)

8. Report of the University Staff Council  
   *Celeste Corpening, Staff Council Chair*  
   Document: [Staff Council Report](#)

   *Dick Beekman, Student Body President and Trustee*  
   Document: [Student Government Association Updates](#)

10. Report of the Executive Committee  
    *Susan DeVore, Chair*

11. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
    *Christine Katziff, Chair*

12. Report of the Academic and Student Affairs Committee  
    *Brett Keeter, Chair*

13. Report of the Athletics Committee  
    *Teross Young Jr., Chair*

    *Fred Klein, Chair*

15. Motion to Convene in Closed Session  
    *Susan DeVore, Chair*
a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;
b. to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee pursuant to N.C.G.S §143-318.11 (a)(6);
c. to prevent the premature disclosure of an honorary degree, scholarship, prize, or similar award pursuant to N.C.G.S. §143-318.11 (a)(2);

Closed Session

16. Report of the Audit, Compliance, and Enterprise Risk Management Committee
   Christine Katziff, Chair

17. Report of the Executive Committee
   Susan DeVore, Chair

18. Conferral of Emeritus Status
   Sharon Gaber, Chancellor

19. Motion to Resume in Open Session
   Susan DeVore, Chair

Open Session

20. Board Self-Assessment
   Susan DeVore, Chair
   David Maxwell, PhD, AGB Senior Consultant and Senior Fellow
   Document: UNC Charlotte Board of Trustees Self-Assessment Workshop

21. Other Business
   Susan DeVore, Chair

22. Adjourn
   Susan DeVore, Chair

Members of the Board of Trustees
Susan D. DeVore, Chair
Dick J. Beekman
Dennis N. Bunker III
Sharon Allred Decker
Wendy J. Grubbs
Christine P. Katziff
J. Brett Keeter

Fred W. Klein Jr.
David W. Mildenberg
Carlos E. Sanchez
Sasha J. Weintraub
Dontá L. Wilson
Teross W. Young Jr.