The University of North Carolina at Charlotte
Board of Trustees

Audit, Compliance, and Enterprise Risk Management Committee Meeting
Wednesday, April 13, 2022, 9:00–10:00 a.m.
Popp Martin Student Union, Third Floor, Room A-F

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Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Christine Katziff, Chair  
   9:00 a.m.

2. Approval of the February 16, 2022, Meeting Minutes
   Christine Katziff, Chair  
   9:01 a.m.
   Documents: February 16, 2022, Meeting Minutes—Open Session
   February 16, 2022, Meeting Minutes—Closed Session

3. Internal Audit Update
   Jennifer Walker, Chief Audit Officer  
   9:02 a.m.
   Documents: FY22 Audit Plan Update
   Summary of Internal Audit Reports Issued
   Internal Audit Findings Exception Report
   Proposed FY23 Audit Plan
   Proposed FY23 Internal Audit Budget

4. Enterprise Risk Management (ERM) Update
   Steven Dunham, Chief Risk Officer  
   9:17 a.m.
   Document: Enterprise Risk Management (ERM) Update

5. Motion to Convene in Closed Session
   Christine Katziff, Chair  
   9:32 a.m.
   a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not
considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;
b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11(a)(3);
c. to consider the qualifications, competence, performance, or condition of appointment of an employee pursuant to N.C.G.S. §143-318.11(a)(6); and
d. to prevent the disclosure of sensitive public security information, as defined in N.C.G.S. §132-1.7(a).

Closed Session

6. Division of Institutional Integrity Organizational Update
   Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
   9:32 a.m.

7. Report on Litigation and Administrative Case Updates
   Sam Sears, Associate Vice Chancellor for Legal Affairs and Deputy General Counsel
   9:42 a.m.

8. Internal Audit Executive Session
   Jennifer Walker, Chief Audit Officer
   9:47 a.m.

9. Motion to Resume in Open Session
   Christine Katziff, Chair
   9:57 a.m.

Open Session

10. Other Business
    Christine Katziff, Chair
    9:58 a.m.

11. Adjourn
    Christine Katziff, Chair
    10:00 a.m.

Members
Christine Katziff, Chair
Carlos Sanchez, Vice Chair
Dennis Bunker III
Sasha Weintraub
Susan DeVore, ex officio
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1. Call to Order  
   *Susan Devore, Chair*

2. Approval of Previous Meeting Minutes  
   *Susan Devore, Chair*  
   Documents:  
   - February 16, 2022, Meeting Minutes - Open Session  
   - February 16, 2022, Meeting Minutes - Closed Session

3. Nominating Committee  
   *Christine Katziff, Chair*

4. Reappointment, Promotion and Tenure Policy  
   *Joan Lorden, Provost and Vice Chancellor for Academic Affairs*  
   Documents:  
   - Memo - Proposed Revisions to University Policy 102.13  
   - University Policy 102.13, Tenure Policies, Regulations, and Procedures of the University of North Carolina at Charlotte – redline  
   - University Policy 102.13, Tenure Policies, Regulations, and Procedures of the University of North Carolina at Charlotte – clean

5. Annual Human Resources Compliance Report  
   *Joan Lorden, Provost and Vice Chancellor for Academic Affairs*  
   Documents:  
   - Annual Human Resources Compliance Report  
   - Additional Compensation for Professional Services to the University  
   - UNC System Office Faculty Salary Ranges-UNC Charlotte Campus Response, August 31, 2021
6. Motion to Convene in Closed Session  
   *Susan Devore, Chair*

   a. *Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and*

   b. *prevent the disclosure of information that is privileged or confidential pursuant to the law of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes pursuant to N.C.G.S. §143-318.11 (a)(1);*

   c. *to establish, or to instruct the public body’s staff concerning the amount of compensation and other material terms of an employment contract or proposed employment contract pursuant to N.C.G.S. §143-318.11 (a)(5);*

   **Closed Session**

7. Quarterly Report of Faculty Actions and Salary Adjustments  
   *Joan Lorden, Provost and Vice Chancellor for Academic Affairs*

   Documents: Quarterly Report of Faculty Actions  
   Quarterly Report of Faculty Salary Adjustments

8. Faculty Tenure Recommendations  
   *Joan Lorden, Provost and Vice Chancellor for Academic Affairs*

   Document: Promotion and Tenure – New Appointments with Tenure – 2021-22

9. Annual Concurrent Employment Report  
   *Joan Lorden, Provost and Vice Chancellor for Academic Affairs*

   Documents: University Policy 101.4  
   Concurrent Employment of Related Persons Report

10. Quarterly Report of EHRA Non-Faculty Actions and Salary Adjustments  
    *Rich Amon, Vice Chancellor for Business Affairs*

    Documents: Quarterly Report of EHRA Non-Faculty Actions  
    Quarterly Report of EHRA Non-Faculty Salary Adjustments

11. Reappointment to the Board of Trustees of the Endowment Fund  
    *Rich Amon, Vice Chancellor for Business Affairs*

    Documents: BOTEF 2022 Reappointment  
    Biography for Reappointment  
    BOTEF 2022 Members List

12. Petition Regarding Political Activity  
    *Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel*

    Document: UNC General Administration Petition Regarding Political Activity
13. Athletics Employment Agreement Amendment  
   Sharon Gaber, Chancellor  
   Document: Athletics Employment Agreement Amendment Summary

14. Motion to Resume in Open Session  
   Susan Devore, Chair

**Open Session**

15. Action on Faculty Tenure Recommendations  
   Susan DeVore, Chair

16. Action on Reappointment to the Board of Trustees of the Endowment Fund  
   Susan DeVore, Chair

17. Action on Petition Regarding Political Activity  
   Susan DeVore, Chair

18. Action on Athletics Employment Agreement  
   Susan DeVore, Chair

19. Other Business  
   Susan Devore, Chair

20. Adjourn  
   Susan Devore, Chair

**Executive Committee Members**  
Susan DeVore, Chair  
Dick Beekman  
Dennis Bunker III  
Christine Katziff  
Brett Keeter  
Fred W. Klein Jr.  
Carlos Sanchez  
Teross W. Young Jr.
The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Brett Keeter, Chair

2. Approval of the February 16, 2022, Meeting Minutes
   Brett Keeter, Chair
   Document: February 16, 2022, Meeting Minutes

3. School of Professional Studies
   Joan F. Lorden, Provost and Vice Chancellor for Academic Affairs
   Asher Haines, Associate Provost, School of Professional Studies
   Document: UNC Charlotte School of Professional Studies

4. Associated Entity Status for UNC Charlotte Student Legal Services, Inc.
   Kevin W. Bailey, Vice Chancellor for Student Affairs
   Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
   Document: Approval of Student Legal Services as Associated Entity Resolution of the Board of Trustees of the University of North Carolina at Charlotte Student Legal Services

5. Other Business
   Brett Keeter, Chair

6. Adjourn
   Brett Keeter, Chair
Members
Brett Keeter, Chair
Carlos Sanchez, Vice Chair
Wendy Grubbs
Dontá Wilson
Susan DeVore, ex officio
The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
   Teross Young Jr., Chair

2. Approval of the February 16, 2022, Meeting Minutes
   Teross Young Jr., Chair
   Document: [February 16, 2022, Meeting Minutes](#)

3. Athletic Department Update
   Mike Hill, Director of Athletics

4. Sports Performance – Nutrition and Mental Health Enhancements
   Chris Thomasson, Executive Associate Athletic Director

5. Other Business
   Teross Young Jr., Chair

6. Adjourn
   Teross Young Jr., Chair

Members
Teross W. Young Jr., Chair
Sharon Decker, Vice Chair
Dick Beekman
Sasha Weintraub
Susan DeVore, *ex officio*
The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Fred W. Klein, Chair
   11:10 a.m.

2. Approval of Meeting Minutes
   Fred W. Klein, Chair
   Document: February 16, 2022, Meeting Minutes
   11:12 a.m.

3. Financial Dashboard
   Rich Amon, Vice Chancellor for Business Affairs
   Document: Financial Dashboard
   11:15 a.m.

4. UNC Charlotte Budget Update
   Rich Amon, Vice Chancellor for Business Affairs
   Ken Smith, Associate Vice Chancellor for Budget
   Document: UNC Charlotte Comparative Financial Information: Operating Budget
   11:20 a.m.

5. All Funds Budget
   Rich Amon, Vice Chancellor for Business Affairs
   Document: All Funds Budget
   11:25 a.m.

6. Request for Authorization for Capital Project
   Rich Amon, Vice Chancellor for Business Affairs
   Rich Steele, Associate Vice Chancellor for Facilities Management
   Document: Stormwater Master Plan Implementation Phase 1
   11:30 a.m.
7. Request for Authorization for a Capital Project  
   Rich Amon, Vice Chancellor for Business Affairs  
   Rich Steele, Associate Vice Chancellor for Facilities Management  
   Document: Energy Projects Phase III  
   11:35 a.m.

8. Request for Authorization for a Capital Project  
   Rich Amon, Vice Chancellor for Business Affairs  
   Rich Steele, Associate Vice Chancellor for Facilities Management  
   Documents: Softball Locker Rooms and Offices  
   11:40 a.m.

9. Request for Approval of Capital Project Designer Selections  
   Rich Amon, Vice Chancellor for Business Affairs  
   Rich Steele, Associate Vice Chancellor for Facilities Management  
   Documents: Open End Professional Services  
   11:45 a.m.

10. Capital Improvement Projects Update  
    Rich Amon, Vice Chancellor for Business Affairs  
    Rich Steele, Associate Vice Chancellor for Facilities Management  
    Document: Capital Improvement Projects Update  
    11:50 a.m.

11. Parking Ordinance and Rates 2022/2023  
    Rich Amon, Vice Chancellor for Business Affairs  
    Rich Steele, Associate Vice Chancellor for Facilities Management  
    Document: Parking Ordinance and Rates for 2022/2023  
    Proposal to Change Parking Ordinance and Rates 2022/2023  
    Ordinance Governing Parking, Traffic and Registration of Motor Vehicles  
    Appendix Parking Schedule of Fees  
    11:55 a.m.

12. Adjournment  
    Fred W. Klein, Chair  
    12:10 p.m.

Members  
Fred W. Klein, Chair  
David Mildenberg, Vice Chair  
Dennis Bunker  
Christine Katziff  
Susan DeVore, ex officio
The University of North Carolina at Charlotte
Board of Trustees

Wednesday, April 13, 2022
Popp Martin Student Union, 340 A-F

View YouTube Public Livestream

Schedule

9:00–10:00 a.m.  Audit, Compliance, and Enterprise Risk Management (ACERM) Committee Meeting
Popp Martin Student Union, 340 A-F

10:05–11:05 a.m.  Executive Committee Meeting
Popp Martin Student Union, 340 A-F

11:10 a.m. –12:10 p.m.  Academic and Student Affairs (ASA) Committee Meeting
Popp Martin Student Union, Room 261

11:10 a.m. –12:10 p.m.  Athletics Committee Meeting
Popp Martin Student Union, Room 265

11:10 a.m. –12:10 p.m.  Finance and Physical Properties Committee (FPPC) Meeting
Popp Martin Student Union, 340 A-F

12:15 – 1:15 p.m.  Lunch with UNC Charlotte Foundation Board
Popp Martin Student Union, 340 HI

1:30 – 4:30 p.m.  Board Will Convene in the Committee of the Whole
Popp Martin Student Union, 340 A-F

Board of Trustees Agenda

The Board Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Susan DeVore, Chair
2. Announcements  
   Susan DeVore, Chair

3. Approval of Previous Meeting Minutes  
   Susan DeVore, Chair  
   Documents:  February 16, 2022, Meeting Minutes - Open Session  
               February 16, 2022, Meeting Minutes - Closed Session

4. Recognition of Outgoing Student Body President and Trustee  
   Susan DeVore, Chair  
   Dick Beekman, Outgoing Student Body President and Trustee

5. Swearing-In of Incoming Student Body President and Trustee  
   Susan DeVore, Chair  
   Tatiyana Larson, Incoming Student Body President and Trustee  
   Anna Clark, Assistant to Chief of Staff, Notary Public, Officer of the Chancellor

6. Remarks by the Board of Governors Liaison  
   Mark Holton, Board of Governors Liaison

7. Chancellor’s Report  
   Sharon Gaber, Chancellor

8. Proposed Changes to Board Structure  
   Susan DeVore, Chair  
   Document:  Recommended Changes to Board of Trustees Structure

9. Academic Affairs: Accreditation Overview  
   Joan Lorden, Provost and Vice Chancellor for Academic Affairs  
   John Smail, Associate Provost for Undergraduate Education and Dean of University College  
   Document:  Accreditation, General Education, and the Quality Enhancement Plan

10. Report of the University Staff Council  
    Celeste Corpening, Staff Council Chair  
    Document:  Staff Council Report

    Tatiyana Larson, Student Body President and Trustee  
    Document:  Student Government Association Updates

12. Report of the University Faculty Council  
    Susan Harden, Faculty Council President  
    Document:  Faculty Council Report

13. Report of the Executive Committee  
    Susan DeVore, Chair
14. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
   *Christine Katziff, Chair*

15. Report of the Academic and Student Affairs Committee  
   *Brett Keeter, Chair*

16. Report of the Athletics Committee  
   *Teross Young Jr., Chair*

17. Report of the Finance and Physical Properties Committee  
   *Fred Klein, Chair*

18. All Funds Budget  
   *Rich Amon, Vice Chancellor for Business Affairs*  
   Document: All Funds Budget

19. Motion to Convene in Closed Session  
   *Susan DeVore, Chair*

   a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;

   b. to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee pursuant to N.C.G.S §143-318.11 (a)(6);

**Closed Session**

20. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
   *Christine Katziff, Chair*

21. Report of the Executive Committee  
   *Susan DeVore, Chair*

22. Motion to Resume in Open Session  
   *Susan DeVore, Chair*

**Open Session**

23. Other Business  
   *Susan DeVore, Chair*

24. Adjourn  
   *Susan DeVore, Chair*
Members of the Board of Trustees
Susan D. DeVore, Chair
Dick J. Beekman
Dennis N. Bunker III
Sharon Allred Decker
Wendy J. Grubbs
Christine P. Katziff
J. Brett Keeter
Fred W. Klein Jr.
David W. Mildenberg
Carlos E. Sanchez
Sasha J. Weintraub
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Teross W. Young Jr.