Audit, Compliance, and Enterprise Risk Management Committee Meeting
Thursday, September 15, 2022, 8:00 – 9:00 a.m.
Popp Martin Student Union, Room, Multipurpose, Room 340-I

View YouTube Public Live Stream

Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Christine Katziff, Chair
   8:00 a.m.

2. Approval of the April 13, 2022, Meeting Minutes
   Christine Katziff, Chair
   8:01 a.m.
   Documents: April 13, 2022, Meeting Minutes - Open Session
   April 13, 2022, Meeting Minutes - Closed Session

3. Division of Institutional Integrity and ACERM Calendar Update
   Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
   8:02 a.m.
   Documents: Division of Institutional Integrity Organizational Chart
   ACERM Calendar

4. Internal Audit Update
   8:04 a.m.
   Documents: FY22 Audit Plan Update
   FY23 Audit Plan Update
   Summary of Internal Audit Reports Issued

5. Enterprise Risk Management (ERM) Update
   Steven Dunham, Chief Risk Officer
   8:24 a.m.
   Document: Enterprise Risk Management (ERM) Update

6. Motion to Convene in Closed Session
   Christine Katziff, Chair
   8:39 a.m.
a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;
b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3);
c. to consider the qualifications, competence, performance, or condition of appointment of an employee pursuant to N.C.G.S. §143-318.11(a)(6); and
d. to prevent the disclosure of sensitive public security information, as defined in N.C.G.S. §132-1.7(a).

Closed Session

7. Title IX/Sexual and Interpersonal Misconduct Update 8:39 a.m.
   Dr. Michelle Reinken, Director of Civil Rights and Title IX/Title IX Coordinator
   Document: Title IX/Sexual and Interpersonal Misconduct Update

8. Information Technology Audit Results 8:54 a.m.
   Jennifer Walker, Chief Audit Officer

9. Motion to Resume in Open Session 8:59 a.m.
   Christine Katziff, Chair

Open Session

10. Other Business 8:59 a.m.
    Christine Katziff, Chair

11. Adjourn 9:00 a.m.
    Christine Katziff, Chair

Members
Christine Katziff, Chair
Sasha Weintraub, Vice Chair
Dennis Bunker III
Sharon Decker
Susan DeVore, ex officio
The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order  
   *Susan DeVore, Chair*

2. Approval of Previous Meeting Minutes  
   *Susan DeVore, Chair*  
   Documents:  
   - April 13, 2022, Meeting Minutes - Open Session  
   - April 13, 2022, Meeting Minutes - Closed Session  
   - May 31, 2022, Meeting Minutes – Open Session  
   - May 31, 2022, Meeting Minutes – Closed Session  
   - June 17, 2022, Meeting Minutes – Open Session  
   - June 17, 2022, Meeting Minutes – Closed Session

3. Chair Updates  
   *Susan DeVore, Chair*

4. University Policy 101.1, Tuition and Fees Privileges for Eligible Faculty and Staff  
   *Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel*  
   Documents:  
   - UP 101.1, Tuition and Fees Privileges for Eligible Faculty and Staff – REDLINE  
   - UP 101.1, Tuition and Fees Privileges for Eligible Faculty and Staff – CLEAN  
   - Regulations Implementing UP 101.1 – REDLINE  
   - Regulations Implementing UP 101.1 - CLEAN

5. Motion to Convene in Closed Session  
   *Susan DeVore, Chair*
a. Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and

b. To prevent the disclosure of information that is privileged or confidential pursuant to the law of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes pursuant to N.C.G.S. §143-318.11 (a)(1);

Closed Session

6. Quarterly Report of Faculty Actions and Salary Adjustments  
   Joan Lorden, Provost and Vice Chancellor for Academic Affairs  
   Documents: Quarterly Report of Faculty Salary Adjustments

7. Quarterly Report of EHRA Non-Faculty Actions and Salary Adjustments  
   Rich Amon, Vice Chancellor for Business Affairs  
   Documents: Quarterly Report of EHRA Non-Faculty Actions  
   Quarterly Report of EHRA Non-Faculty Salary Adjustments

8. Request for EHRA Non-Faculty Salary Action and Adjustment  
   Rich Amon, Vice Chancellor for Business Affairs  
   Documents: Request for Salary Action Approval

9. Executive Searches Update  
   Sharon Gaber, Chancellor

10. Honorary Degree Process  
    Sharon Gaber, Chancellor  
    Documents: Honorary Degree Pool  
    Honorary Degrees Conferred  
    Policy, Criteria, and Procedures for the Awarding of Honorary Degrees

11. Distinguished Service Award Process  
    Sharon Gaber, Chancellor  
    Documents: Recipients of the Distinguished Service Award  
    Policy, Criteria, and Procedure for the Selection of the Distinguished Service Award

12. Motion to Resume in Open Session  
    Susan DeVore, Chair

Open Session

13. Action on Request for EHRA Non-Faculty Salary Action and Adjustment  
    Susan DeVore, Chair
14. Other Business  
   *Susan DeVore, Chair*

15. Adjourn  
   *Susan DeVore, Chair*

**Executive Committee Members**  
Susan DeVore, Chair  
Dennis Bunker III  
Christine Katziff  
Brett Keeter  
Fred Klein Jr.  
Tatiyana Larson  
Dontá Wilson  
Teross Young Jr.
The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
   Teross Young, Jr., Chair

2. Committee Focus Areas
   Teross Young, Jr., Chair
   Document: Advancement and Public Relations Committee

3. Advancement Updates & Goals
   Beth Crigler, Vice Chancellor of University Advancement
   Document: Advancement Updates and Goals

4. Corporate Engagement
   Beth Crigler, Vice Chancellor of University Advancement
   Document: Corporate Engagement
   The Charlotte Promise

5. Brand Building Updates
   Jen Ames-Stuart, Associate Vice Chancellor of University Communications
   Document: Brand Building Updates

6. Motion to Convene in Closed Session
   Teross Young, Jr., Chair

   a. *To prevent the disclosure of information that is privileged or confidential pursuant to the law of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes pursuant to N.C.G.S. §143-318.11 (a)(1);*
Closed Session

7. EverGreen Phase I Challenge Gift
   Beth Crigler, Vice Chancellor of University Advancement

8. Naming Opportunity
   Beth Crigler, Vice Chancellor of University Advancement
   Document: Naming Opportunity

9. Motion to Resume in Open Session
   *Susan DeVore, Chair*

Open Session

10. Other Business
    *Teross Young, Jr., Chair*

11. Adjourn
    *Teross Young, Jr., Chair*

Members
Wendy Grubbs
Fred Klein, Jr.
Dontá Wilson
Teross Young, Jr.
Agenda

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1. Call to Order
   Brett Keeter, Chair

2. Approval of the April 13, 2022, Meeting Minutes
   Brett Keeter, Chair
   Document: April 13, 2022, Meeting Minutes

3. Title IX Appeals Training
   Sarah Edwards, Senior Associate General Counsel
   Michelle Reinken, Director of Civil Rights and Title IX/Title IX Coordinator
   Document: An Appellate Officer Primer

4. College athletics landscape; entry into American Athletic Conference
   Mike Hill, Director of Athletics

5. Other Business
   Brett Keeter, Chair

6. Adjourn
   Brett Keeter, Chair

Members
Brett Keeter, Chair
Sasha Weintraub, Vice Chair
John Bailey

Tatiyana Larson
Susan DeVore, ex officio
Finance and Physical Properties Committee Meeting
Thursday, September 15, 2022, 11:15 a.m.– 12:15 p.m.
Popp Martin Student Union, 340 I

View Public YouTube Live Stream

Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Fred W. Klein, Chair
   11:15 a.m.

2. Approval of Meeting Minutes
   Fred W. Klein, Chair
   Document: April 13, 2022, Meeting Minutes
   11:16 a.m.

Financial Action Item
3. Nonrefundable Housing Application Fee
   Rich Amon, Vice Chancellor for Business Affairs
   Kevin Bailey, Vice Chancellor for Student Affairs
   Document: Nonrefundable Housing Application Fee
   11:17 a.m.

Capital Action Items
4. Capital Projects Process and Timelines
   Rich Amon, Vice Chancellor for Business Affairs
   Rich Steele, Associate Vice Chancellor for Facilities Management
   Document: Information Item Only
   11:20 a.m.

5. Requests for Authorization for Advance Planning
   Rich Amon, Vice Chancellor for Business Affairs
   Rich Steele, Associate Vice Chancellor for Facilities Management
   Document: Greek Village HVAC System Upgrades and Kitchen Renovations
   11:23 a.m.
   Rich Amon, Vice Chancellor for Business Affairs
   Rich Steele, Associate Vice Chancellor for Facilities Management
   Document: Cameron Roof and Windows Replacement
              Cameron Second Floor Lab Upfits
              Campus Roadway Repairs
              Cone Center North Roof Replacement Elevator
              Upgrades – Multiple Buildings Richardson
              Stadium Videoboard Replacement Robinson
              Hall Partial Roof Replacement Roof
              Replacement – Multiple Buildings Rowe Arts
              Building Exterior Envelope Repairs South
              Village Deck Elevator Repairs
              West Deck Elevator Replacement

   Rich Amon, Vice Chancellor for Business Affairs
   Rich Steele, Associate Vice Chancellor for Facilities Management
   Document: Open-end Contract with Optima Engineering

8. Request for Designer Selection Ratification or Approval  11:40 a.m.
   Rich Amon, Vice Chancellor for Business Affairs
   Rich Steele, Associate Vice Chancellor for Facilities Management
   Documents: Atkins Library Tower ADA and Fire/Smoke System Upgrades
              Burson Renovation and Expansion

Capital Information Items

9. Six-year Capital Plan  11:45 a.m.
   Rich Amon, Vice Chancellor for Business Affairs
   Rich Steele, Associate Vice Chancellor for Facilities Management
   Document: Preliminary Six-year Capital Plan

10. Capital Improvement Projects Update  11:50 a.m.
    Rich Amon, Vice Chancellor for Business Affairs
    Rich Steele, Associate Vice Chancellor for Facilities Management
    Documents: Capital Improvement Projects Update

Financial Information Items

11. Financial Dashboard  11:55 a.m.
    Rich Amon, Vice Chancellor for Business Affairs
    Document: Financial Dashboard

12. UNC Charlotte Budget Update  12:00 p.m.
    Rich Amon, Vice Chancellor for Business Affairs
    Ken Smith, Associate Vice Chancellor for Budget
    Document: UNC Charlotte Comparative Financial Information: Operating Budget
13. Consulting Services Contracts FY22  
   Rich Amon, Vice Chancellor Business Affairs  
   Document: Consulting Services Contracts FY22  

14. Adjournment  
   Fred W. Klein, Chair  

Members  
   Fred W. Klein, Chair  
   David Mildenberg, Vice Chair  
   Dennis Bunker  
   Christine Katziff  
   Susan DeVore, ex officio
The University of North Carolina at Charlotte
Board of Trustees

Thursday, September 15, 2022
Popp Martin Student Union, 340 A-F

View Public YouTube Live Stream

Schedule

8:00–9:00 a.m.  Audit, Compliance, and Enterprise Risk Management (ACERM) Committee Meeting  
Popp Martin Student Union, Room 340-I

9:05–10:05 a.m.  Executive Committee Meeting  
Popp Martin Student Union, Room 340 A-F

10:10–11:10 a.m.  Advancement and Public Relations (APR) Committee Meeting  
Popp Martin Student Union, Room 265

10:40–11:40 a.m.  Academic and Student Life (ASL) Committee Meeting  
Popp Martin Student Union, Room 261

11:15 a.m.–12:15 p.m.  Finance and Physical Properties Committee (FPPC) Meeting  
Popp Martin Student Union, Room 340-I

12:15 –1:15 p.m.  Lunch Break  
Popp Martin Student Union, Room 340 A-F

1:15–4:30 p.m.  Board Will Convene in the Committee of the Whole  
Popp Martin Student Union, Room 340 A-F

Board of Trustees Agenda

The Board Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order  
   Susan DeVore, Chair
2. Board Chair’s Remarks  
   Susan DeVore, Chair

3. Approval of Previous Meeting Minutes  
   Susan DeVore, Chair
   Documents:  
   - April 13, 2022, Meeting Minutes - Open Session
   - April 13, 2022, Meeting Minutes - Closed Session
   - April 13, 2022, Athletics Meeting Minutes
   - July 12, 2022, Meeting Minutes - Open Session
   - July 12, 2022, Meeting Minutes – Closed Session
   - August 23, 2022, Meeting Minutes – Open Session
   - August 23, 2023, Meeting Minutes – Closed Session

4. Chancellor’s Report  
   Sharon Gaber, Chancellor

5. Strategic Plan Annual Progress Report  
   Sharon Gaber, Chancellor

6. Bylaws Revision  
   Susan DeVore, Chair
   Documents:  
   - Proposed Board of Trustees Bylaws Revisions - redline
   - Proposed Board of Trustees Bylaws Revisions - clean

7. Diversity and Inclusion Annual Update  
   Brandon Wolfe, Associate Vice Chancellor for Diversity and Inclusion/Chief Diversity Officer
   Documents:  
   - Office of Diversity and Inclusion
   - Diversity and Inclusion Annual Report to the Board of Trustees

   Tatiyana Larson, Student Body President and Trustee
   Document:  
   - Student Government Association Updates

9. Report of the University Staff Council  
   Document:  
   - Staff Council Report

10. Report of the University Faculty Council  
    Susan Harden, Faculty Council President

11. Report of the Executive Committee  
    Susan DeVore, Chair

12. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
    Christine Katziff, Chair

13. Report of the Academic and Student Life Committee  
    Brett Keeter, Chair
   Teross Young Jr., Chair

15. Report of the Finance and Physical Properties Committee  
   Fred Klein, Chair

16. Motion to Convene in Closed Session  
   Susan DeVore, Chair
   
   a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;

   Closed Session

17. Report of the Executive Committee  
   Susan DeVore, Chair

18. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
   Christine Katziff, Chair

19. Report of the Advancement and Public Relations Committee  
   Teross Young Jr., Chair

20. Motion to Resume in Open Session  
   Susan DeVore, Chair

   Open Session

21. Other Business  
   Susan DeVore, Chair

22. Adjourn  
   Susan DeVore, Chair

Members of the Board of Trustees  
Susan D. DeVore, Chair  
John Bailey  
Dennis N. Bunker III  
Sharon Allred Decker  
Wendy J. Grubbs  
Christine P. Katziff  
J. Brett Keeter  
Fred W. Klein Jr.  
Tatiyana Larson  
David W. Mildenberg  
Sasha J. Weintraub
Dontá L. Wilson
Teross W. Young Jr.