The University of North Carolina at Charlotte
Board of Trustees

Audit, Compliance, and Enterprise Risk Management Committee Meeting
Wednesday, October 6, 2021, 8:00–9:30 a.m.
Popp Martin Student Union, Third Floor, Room A-F
Public YouTube Live Stream

Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Christine Katziff, Chair

2. Approval of the April 6, 2021, Meeting Minutes
   Christine Katziff, Chair
   Documents: April 6, 2021, Meeting Minutes—Open Session and Closed Session

3. Division of Institutional Integrity Update
   Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
   Document: Division of Institutional Integrity Organizational Chart

4. Internal Audit Update
   Jennifer Walker, Chief Audit Officer
   Documents: FY 2021 Audit Plan Update
   FY 2022 Audit Plan Update
   Summary of Internal Audit Reports Issued (This Period)
   Internal Audit Findings Exception Report
   Internal Audit Charter Update
   Self-Assessment Maturity Model

5. Ethics and Compliance Update
   Susan Burgess, Chief Compliance Officer
   Document: Ethics and Compliance Update

6. Enterprise Risk Management Update
   Steven Dunham, Chief Risk Officer
   Document: Enterprise Risk Management Presentation

7. Motion to Convene in Closed Session
   Christine Katziff, Chair
a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;
b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3);
and
c. to consider the qualifications, competence, performance, or condition of appointment of an employee pursuant to N.C.G.S. §143-318.11(a)(6).

Closed Session

8. Title IX Update  
   Michelle Reinken, Title IX Coordinator  
   Document: Title IX Compliance Program “Snapshot”

   Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel

10. Investigation and Confidential Findings Update  
    Jennifer Walker, Chief Audit Officer

11. Motion to Resume in Open Session  
    Christine Katziff, Chair

Open Session

12. Other Business  
    Christine Katziff, Chair

13. Adjourn  
    Christine Katziff, Chair

Members
Christine Katziff, Chair
Carlos Sanchez, Vice Chair
Dennis Bunker III
Sasha Weintraub
Susan DeVore, ex officio
The University of North Carolina at Charlotte
Board of Trustees

Executive Committee Meeting
Wednesday, October 6, 2021 9:30–10:35 a.m.
Popp Martin Student Union, Third Floor, Room A-F
Public YouTube Live Stream

Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Susan DeVore, Chair

2. Approval of Previous Meeting Minutes
   Susan DeVore, Chair
   Documents: April 6, 2021, Meeting Minutes - Open Session
               April 6, 2021, Meeting Minutes - Closed Session

3. Approval of Revised Plan for the Isaac Swift Distinguished Professor of Jewish Studies
   Susan DeVore, Chair
   Documents: Letter to the Chancellor
              Revised Plan for the Isaac Swift Distinguished Professor of Jewish Studies

4. Final Approval of BOG Delegated Authority Resolution
   Document: Restatement of Delegations of Board of Trustees Authority
   Jesh Humphrey, General Counsel and Vice Chancellor for Institutional Integrity

5. Motion to Convene in Closed Session
   Susan DeVore, Chair

   a. Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and
   b. prevent the disclosure of information that is privileged or confidential pursuant to the law of this State or of the United States, or not considered a
public record within the meaning of Chapter 132 of the General Statutes pursuant to N.C.G.S. §143-318.11 (a)(1);
c. establish, or to instruct the public body’s staff concerning the amount of compensation and other material terms of an employment contract or proposed employment contract pursuant to N.C.G.S. §143-318.11 (a)(5);

Closed Session

6. Appointment and Tenure of Dean of College of Education
   Joan Lorden, Provost and Vice Chancellor for Academic Affairs
   Document: Personnel Action Requiring Approval of the BOT

7. Quarterly Report of Faculty Actions and Salary Adjustments
   Joan Lorden, Provost and Vice Chancellor for Academic Affairs
   Documents: Quarterly Report of Faculty Actions
               Quarterly Report of Faculty Salary Adjustments

8. Quarterly Report of EHRA Non-Faculty Actions and Salary Adjustments
   Larry Kelley, Interim Vice Chancellor for Business Affairs
   Documents: Quarterly Report of EHRA Non-Faculty Actions
               Quarterly Report of EHRA Non-Faculty Salary Adjustments

9. Faculty Salary Ranges
   Joan Lorden, Provost and Vice Chancellor for Academic Affairs
   Document: UNC Charlotte Faculty Salary Ranges

10. Appointments to the Board of Trustees of the Endowment Fund
    Larry Kelley, Interim Vice Chancellor for Business Affairs
    Document: Board of Trustees of the Endowment Fund, 2021 Members’ List

11. Executive Searches
    Sharon Gaber, Chancellor

12. Athletics Employment Agreements
    Sharon Gaber, Chancellor
    Documents: Employment Agreement Amendments

13. Honorary Degree Nominations
    Sharon Gaber, Chancellor
    Documents: Honorary Degrees Conferred
                Policy, Criteria, and Procedures for the Awarding of Honorary Degrees

14. Distinguished Service Award Nominations
    Sharon Gaber, Chancellor
    Documents: Recipients of the Distinguished Service Award
                Policy, Criteria, and Procedure for the Selection of the Distinguished Service Award
15. Chancellor Evaluation
   Susan DeVore, Chair

16. Motion to Resume in Open Session
   Susan DeVore, Chair

Open Session

17. Action on Appointment and Tenure of Dean of College of Education
   Susan DeVore, Chair

18. Action Faculty Salary Ranges
   Susan DeVore, Chair

19. Action on BOTEF Appointments
   Susan DeVore, Chair

20. Action on Athletics Employment Agreements
   Susan DeVore, Chair

21. Other Business
   Susan DeVore, Chair

22. Adjourn
   Susan DeVore, Chair

Executive Committee Members
Susan DeVore, Chair
Dick Beekman
Dennis Bunker III
Christine Katziff
Brett Keeter
Fred W. Klein Jr.
Carlos Sanchez
Teross W. Young Jr.
The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order  
   Brett Keeter, Chair

2. Approval of the April 6, 2021, Meeting Minutes  
   Brett Keeter, Chair  
   Document: *April 6, 2021, Meeting Minutes*

3. Title IX Appeals Training  
   Sarah Edwards, Associate General Counsel  
   Document: *An Appellate Officer Primer*  
   Michelle Reinken, Director of Title IX Compliance/Title IX Coordinator  
   James Lorello, Director of Student Accountability and Conflict Resolution

4. Future Topics  
   Brett Keeter, Chair

5. Other Business  
   Brett Keeter, Chair

6. Adjourn  
   Brett Keeter, Chair

Members  
Brett Keeter, Chair  
Carlos Sanchez, Vice Chair  
Wendy Grubbs  
Dontá Wilson  
Susan DeVore, *ex officio*
Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

Open Session

1. Call to Order
   Teross Young Jr., Chair

2. Approval of the April 6, 2021, Meeting Minutes
   Teross Young Jr., Chair
   Document:  *April 6, 2021, Meeting Minutes*

3. GreenLight (NIL)
   Chris Fuller, Deputy Athletic Director
   Scott Byrd, Associate Athletic Director for Compliance
   Document:  *GreenLight*

4. Changing Landscape of College Athletics
   Mike Hill, Director of Athletics

5. Softball Facility
   Chris Thomasson, Executive Associate Athletic Director
   Darin Spease, Deputy Athletic Director
   Document:  *Softball Facility*

6. Athletic Department Update
   Mike Hill, Director of Athletics

7. Motion to Convene in Closed Session
   Teross Young Jr., Chair
a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;
b. to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee pursuant to N.C.G.S §143-318.11 (a)(6);
c. establish, or to instruct the public body’s staff concerning the amount of compensation and other material terms of an employment contract or proposed employment contract pursuant to N.C.G.S. §143-318.11 (a)(5);

Closed Session

8. Employment Contracts
   Mike Hill, Director of Athletics
   Darin Spease, Deputy Athletic Director

9. Motion to Resume in Open Session
   Teross Young Jr., Chair

Open Session

10. Other Business
    Teross Young Jr., Chair

11. Adjourn
    Teross Young Jr., Chair

Members
    Teross W. Young Jr., Chair
    Sharon Decker, Vice Chair
    Dick Beekman
    Sasha Weintraub
    Susan DeVore, ex officio
The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Fred W. Klein, Chair
   10:40 a.m.

2. Approval of Meeting Minutes
   Fred W. Klein, Chair
   Document: April 6, 2021, Meeting Minutes
   10:41 a.m.

3. UNC Charlotte Budget Update
   Larry Kelley, Interim Vice Chancellor for Business Affairs
   Ken Smith, University Budget Director
   Document: Budget Update
   10:45 a.m.

4. Request for Authorization for Advance Planning for Softball Locker Rooms and Offices
   Larry Kelley, Interim Vice Chancellor for Business Affairs
   Rich Steele, Interim Associate Vice Chancellor for Facilities Management
   Document: Advance Planning for Softball Lockers and Offices
   10:55 a.m.

5. Request for Authorization for Parking Deck Repairs
   Larry Kelley, Interim Vice Chancellor for Business Affairs
   Rich Steele, Interim Associate Vice Chancellor for Facilities Management
   Document: Parking Deck Repairs
   11:00 a.m.

6. Request for Authorization for Campus Security Cameras Phase I
   Larry Kelley, Interim Vice Chancellor for Business Affairs
   Rich Steele, Interim Associate Vice Chancellor for Facilities Management
   Document: Security Cameras in Parking Decks Phase 1
   11:05 a.m.
   Larry Kelley, Interim Vice Chancellor for Business Affairs
   Rich Steele, Interim Associate Vice Chancellor for Facilities Management
   Document: Parking Lot 25 Renewal

8. Request for Authorization for Atkins Café Renovation 11:15 a.m.
   Larry Kelley, Interim Vice Chancellor for Business Affairs
   Rich Steele, Interim Associate Vice Chancellor for Facilities Management
   Document: Atkins Café Renovation

9. Request for Ratification for Kennedy Second Floor Renovation 11:20 a.m.
   Larry Kelley, Interim Vice Chancellor for Business Affairs
   Rich Steele, Interim Associate Vice Chancellor for Facilities Management
   Document: Kennedy Second Floor Renovation

10. Capital Improvement Projects Update 11:25 a.m.
    Larry Kelley, Interim Vice Chancellor for Business Affairs
    Rich Steele, Interim Associate Vice Chancellor for Facilities Management
    Document: Capital Improvement Projects Update

11. All Funds Budget 11:35 a.m.
    Larry Kelley, Interim Vice Chancellor for Business Affairs
    Ken Smith, University Budget Director
    Document: All Funds Budget Initiative

12. Adjournment 11:40 a.m.
    Fred W. Klein, Chair

Members

Fred W. Klein, Chair
David Mildenberg, Vice Chair
Dennis Bunker
Christine Katziff
Susan DeVore, ex officio
The University of North Carolina at Charlotte  
Board of Trustees

Wednesday, October 6, 2021  
Popp Martin Student Union, Third Floor, Room A-F  
Public YouTube LiveStream

Schedule

8:00–9:30 a.m.  
Audit, Compliance, and Enterprise Risk Management (ACERM) Committee Meeting  
Popp Martin Student Union, Third Floor, Room A-F

9:35–10:35 a.m.  
Executive Committee Meeting  
Popp Martin Student Union, Third Floor, Room A-F

10:40–11:40 a.m.  
Academic and Student Affairs (ASA) Committee Meeting  
Popp Martin Student Union, Room 261

10:40–11:40 a.m.  
Athletics Committee Meeting  
Popp Martin Student Union, Room 265

10:40–11:40 a.m.  
Finance and Physical Properties Committee (FPPC) Meeting  
Popp Martin Student Union, Third Floor, Room I

11:40 a.m.–12:30 p.m.  
Lunch Break  
Popp Martin Student Union, Third Floor, Room A-F

12:30–3:30 p.m.  
Board Will Convene in the Committee of the Whole  
Popp Martin Student Union, Third Floor, Room A-F

Board of Trustees Agenda

The Board Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order  
   Susan DeVore, Chair
2. Introductions and Announcements  
   *Susan DeVore, Chair*

3. Approval of Previous Meeting Minutes  
   *Susan DeVore, Chair*  
   **Documents:**  
   - [April 6, 2021, Meeting Minutes - Open Session](#)  
   - April 6, 2021, Meeting Minutes - Closed Session  
   - [July 13, 2021, Meeting Minutes - Open Session](#)  
   - July 13, 2021, Meeting Minutes – Closed Session  
   - [August 24, 2021, Meeting Minutes – Open Session](#)  
   - August 24, 2021, Meeting Minutes – Closed Session

4. Student Body President Swearing-In  
   *Susan DeVore, Chair*  
   - *Dick Beekman, Student Body President and Trustee*  
   - *Anna Clark, Assistant to the Chief of Staff, Notary Public, Office of the Chancellor*

5. Remarks by the Chancellor  
   *Sharon Gaber, Chancellor*

6. Strategic Plan  
   *Sharon Gaber, Chancellor*  
   **Document:** [Strategic Plan - Shaping What’s Next, 2021-31](#)

7. Legislative Update  
   *Betty Doster, Special Assistant to the Chancellor for Constituent Relations*

8. OneIT Security Update  
   *Mike Carlin, Vice Chancellor for OneIT and Chief Information Officer (CIO)*  
   **Document:** Defending Against a Red Team Cyber Attack

9. Report of the University Faculty Council  
   *Susan Harden, Faculty Council President*  
   **Document:** [Faculty Council Report](#)

10. Report of the University Staff Council  
    *Celeste Corpening, Staff Council Chair*  
    **Document:** [Staff Council Report](#)

    *Dick Beekman, Student Body President and Trustee*  
    **Document:** Student Government Association Updates

12. Report of the Executive Committee  
    *Susan DeVore, Chair*

13. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
    *Christine Katziff, Chair*
14. Report of the Academic and Student Affairs Committee
   Brett Keeter, Chair

15. Report of the Athletics Committee
   Teross Young Jr., Chair

16. Report of the Finance and Physical Properties Committee
   Fred Klein, Chair

17. Motion to Convene in Closed Session
   Susan DeVore, Chair
   a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is
      privileged or confidential pursuant to the laws of this State or of the United States, or not
      considered a public record within the meaning of Chapter 132 of the General Statutes, such
      privilege or confidentiality arising under the Family Educational Rights and Privacy Act
      (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;
   b. to consider the qualifications, competence, performance, character, fitness, conditions of
      appointment, or conditions of initial employment of an individual, public officer or
      employee, or prospective public officer or employee pursuant to N.C.G.S §143-318.11
      (a)(6);
   c. establish, or to instruct the public body’s staff concerning the amount of compensation and
      other material terms of an employment contract or proposed employment contract pursuant to
      N.C.G.S. §143-318.11 (a)(5);

Closed Session

18. OneIT Security Update
   Mike Carlin, Vice Chancellor for OneIT and Chief Information Officer (CIO)
   Document: Defending Against a Red Team Cyber Attack

19. Report of the Executive Committee
   Susan DeVore, Chair

20. Report of the Audit, Compliance, and Enterprise Risk Management Committee
   Christine Katziff, Chair

21. Motion to Resume in Open Session
   Susan DeVore, Chair

Open Session

22. Other Business
   Susan DeVore, Chair

23. Adjourn
   Susan DeVore, Chair
Members of the Board of Trustees
Susan D. DeVore, Chair
Dick J. Beekman
Dennis N. Bunker III
Sharon Allred Decker
Wendy J. Grubbs
Christine P. Katziff
J. Brett Keeter
Fred W. Klein Jr.
David W. Mildenberg
Carlos E. Sanchez
Sasha J. Weintraub
Dontá L. Wilson
Teross W. Young Jr.