Audit, Compliance, and Enterprise Risk Management Committee Meeting
Tuesday, November 29, 2022 8:30 a.m.– 9:30 a.m.
Popp Martin Student Union, Room ABDE

Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order 8:30 a.m.
   Christine Katziff, Chair

2. Approval of the September 15, 2022, Meeting Minutes 8:31 a.m.
   Christine Katziff, Chair
   Documents: September 15, 2022, Open Session Meeting Minutes
              September 15, 2022, Closed Session Meeting Minutes

3. Enterprise Risk Management (ERM) Update 8:32 a.m.
   Steven Dunham, Chief Risk Officer
   Document: Enterprise Risk Management Dashboard

4. Internal Audit Update 8:42 a.m.
   Jennifer Walker, Chief Audit Officer
   Documents: FY 2023 Internal Audit Plan Update
              Summary of Internal Audit Reports Issued This Period
              Internal Audit Charter Update
              Internal Audit Self-Assessment Maturity Model (SAMM)

5. Motion to Convene in Closed Session 9:02 a.m.
   Christine Katziff, Chair
   a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;
   b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3); and
c. to consider the qualifications, competence, performance, or condition of appointment of an employee pursuant to N.C.G.S. §143-318.11(a)(6).

Closed Session

6. Internal Audit Open Findings Report
   Jennifer Walker, Chief Audit Officer  9:03 a.m.

7. Confidential Internal Audit Report
   Jennifer Walker, Chief Audit Officer  9:08 a.m.

8. Personnel Update
   Sharon Gaber, Chancellor  9:13 a.m.

9. Motion to Resume in Open Session
   Christine Katziff, Chair  9:18 a.m.

Open Session

10. Other Business
    Christine Katziff, Chair  9:19 a.m.

11. Adjourn
    Christine Katziff, Chair  9:30 a.m.

Members
Christine Katziff, Chair
Sasha Weintraub, Vice Chair
Dennis Bunker III
Sharon Decker
Susan DeVore, ex officio
The University of North Carolina at Charlotte
Board of Trustees

Executive Committee Meeting
Tuesday, November 29, 2022, 9:40–10:40 a.m.
Popp Martin Student Union, 340 ABDE

Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Susan DeVore, Chair

2. Approval of Previous Meeting Minutes
   Susan DeVore, Chair
   Documents: September 15, 2022, Meeting Minutes - Open Session
   September 15, 2022, Meeting Minutes - Closed Session
   October 18, 2022, Emergency Meeting Minutes – Open Session
   October 18, 2022, Emergency Meeting Minutes – Closed Session
   November 15, 2022, Emergency Meeting Minutes

3. Chair Updates
   Susan DeVore, Chair

4. Motion to Convene in Closed Session
   Susan DeVore, Chair

   a. Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and
   b. to prevent the disclosure of information that is privileged or confidential pursuant to the law of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes pursuant to N.C.G.S. §143-318.11 (a)(1);
   c. to prevent the premature disclosure of an honorary degree, scholarship, prize, or similar award pursuant to N.C.G.S. §143-318.11 (a)(2).
Closed Session

5. Appointment of the Dean of College of Computing and Informatics  
   Joan Lorden, Provost and Vice Chancellor for Academic Affairs  
   Document: Personnel Action Requiring Approval of the BOT

6. Faculty Tenure Recommendations  
   Sharon Gaber, Chancellor  
   Document: Faculty Tenure Recommendations

7. Quarterly Report of Faculty Actions and Salary Adjustments  
   Joan Lorden, Provost and Vice Chancellor for Academic Affairs  
   Document: Quarterly Report of Faculty Actions

8. Quarterly Report of EHRA Non-Faculty Actions and Salary Adjustments  
   Rich Amon, Vice Chancellor for Business Affairs  
   Documents: Quarterly Report of EHRA Non-Faculty Actions  
               Quarterly Report of EHRA Non-Faculty Salary Adjustments

9. Honorary Degree Nominations  
   Sharon Gaber, Chancellor  
   Documents: Letter from Nominations, Honors, and Awards Committee  
               Nomination Letter  
               Honorary Degree Pool  
               Honorary Degrees Confirmed  
               Policy, Criteria, and Procedures for the Awarding of Honorary Degrees

10. Distinguished Service Award Nominations  
    Sharon Gaber, Chancellor  
    Documents: Distinguished Service Award Nomination  
               Recipients of the Distinguished Service Award

11. Motion to Resume in Open Session  
    Susan DeVore, Chair

Open Session

12. Action on Appointment of the Dean of College of Computing and Informatics  
    Susan DeVore, Chair

13. Action on Faculty Tenure Recommendations  
    Susan DeVore, Chair

14. Other Business
15. Adjourn

Susan DeVore, Chair

Executive Committee Members
Susan DeVore, Chair
Dennis Bunker III
Christine Katziff
Brett Keeter
Fred Klein Jr.
Tatiyana Larson
Dontá Wilson
Teross Young Jr.
The University of North Carolina at Charlotte Board of Trustees

Advancement & Public Relations Committee
November 29, 2022, 10:50 – 11:50 a.m.
Popp Martin Student Union, Room 340-I

Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Teross Young Jr., Chair

2. Approval of Minutes
   Teross Young Jr., Chair

3. Fundraising Update
   Beth Crigler, Vice Chancellor of University Advancement
   Document: Development Report

4. Legislative Update
   Betty Doster, Special Assistant to the Chancellor for Constituent Relations
   Document: Midterm Election Review

5. Niner Nation Week Review
   Jenny Matz, Senior Director of Engagement Marketing
   Document: Niner Nation Week Review

6. University Communications 2021 – 2022 Academic Year End Analytics Review
   Jen Ames Stuart, Associate Vice Chancellor of University Communications
   Document: 2021 – 2022 Academic Year End Analytics

7. Corporate Engagement Update
   Solange Tricanowicz, Director of Corporate Engagement
   Document: Corporate Engagement Update

8. Other Business
   Teross Young Jr., Chair
9. Adjourn
    Teross Young Jr., Chair

Members
Wendy Grubbs
Fred Klein Jr.
Dontá Wilson
Teross Young Jr.
Academic and Student Life Committee Meeting
Tuesday, November 29, 2022, 11:20 a.m. -12:20 p.m.
Popp Martin Student Union, Room 340-F

Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Brett Keeter, Chair

2. Approval of the September 15, 2022, Meeting Minutes
   Brett Keeter, Chair
   Document: September 15, 2022, Meeting Minutes

3. Honors College Overview
   Malin Pereira, Dean, Honors College
   Document: Honors College Overview

4. Research Spotlight: Precision Microbiome Engineering Research Center
   Anthony Fodor, Professor, Department of Bioinformatics and Genomics
   Document: NSF Engineering Research Center (ERC) for Precision Microbiome Engineering (PreMiEr): Exploring the “built” environment microbiome

5. Other Business
   Brett Keeter, Chair

6. Adjourn
   Brett Keeter, Chair

Members
Brett Keeter, Chair
Sasha Weintraub, Vice Chair
John Bailey
Tatiyana Larson
Susan DeVore, ex officio
The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. **Call to Order**  
   Fred W. Klein, Chair  
   12:00 p.m. – 12:01 p.m.

2. **Approval of Meeting Minutes**  
   Fred W. Klein, Chair  
   Document: September 15, 2022, Meeting Minutes  
   12:01 p.m. – 12:02 p.m.

**Financial Action Items**

3. **2023-24 Tuition and Fees Recommendations**  
   Rich Amon, Vice Chancellor for Business Affairs  
   Ken Smith, Chief Budget Officer  
   Documents: 2023-24 Tuition and Fees Recommendations  
   12:02 p.m. – 12:07 p.m.

4. **2023-24 Parking Rates Recommendations**  
   Rich Amon, Vice Chancellor for Business Affairs  
   Doug Lape, Interim Associate Vice Chancellor for Business Services  
   Documents: 2023-24 Parking Rates Recommendations  
   12:07 p.m. – 12:10 p.m.

5. **2023-24 Housing Rates Recommendations**  
   Rich Amon, Vice Chancellor for Business Affairs  
   Kevin Bailey, Vice Chancellor for Student Affairs  
   Documents: 2023-24 Housing Rates Recommendations  
   12:10 p.m. – 12:12 p.m.
6. 2023-24 Dining Rates Recommendations
   Rich Amon, Vice Chancellor for Business Affairs
   Doug Lape, Interim Associate Vice Chancellor for Business Services
   Documents: 2023-24 Dining Rates Recommendations

   **Capital Action Items**
   7. Request for Authorization of a Capital Project
      Rich Amon, Vice Chancellor for Business Affairs
      Rich Steele, Associate Vice Chancellor for Facilities Management
      Document: Atkins Library Air Handler Repair

   8. Requests for Ratification of Designer Selections
      Rich Amon, Vice Chancellor for Business Affairs
      Rich Steele, Associate Vice Chancellor for Facilities Management
      Documents: Stormwater Master Implementation Phase 1
                  Master Plan Initiative

   9. Request to Modify Real Property-Millennial Campus
      Rich Amon, Vice Chancellor for Business Affairs
      Rich Steele, Associate Vice Chancellor for Facilities Management
      Document: Millennial Campus Expansion

   **Capital Information Items**
   10. Six-year Capital Plan Update
       Rich Amon, Vice Chancellor for Business Affairs
       Rich Steele, Associate Vice Chancellor for Facilities Management
       Document: Six-year Capital Update

   11. Master Plan Update
       Rich Amon, Vice Chancellor for Business Affairs
       Rich Steele, Associate Vice Chancellor for Facilities Management
       Document: Master Plan Update (Information Only)

   12. Capital Improvement Projects Update
       Rich Amon, Vice Chancellor for Business Affairs
       Rich Steele, Interim Associate Vice Chancellor for Facilities Management
       Document: Capital Improvement Projects Update

   **Financial Information Items**
   13. Textbook Affordability Initiative
       Rich Amon, Vice Chancellor for Business Affairs
       Doug Lape, Interim Associate Vice Chancellor for Business Services
       Document: Textbook Affordability Initiative

   14. Financial Statement Summary
       Rich Amon, Vice Chancellor for Business Affairs
       Anne Brown, Associate Vice Chancellor for Financial Services
       Document: Financial Dashboard

UNC Charlotte Board of Trustee
Finance and Physical Properties Committee
November 29, 2022
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15. UNC Charlotte Budget Update  
   Rich Amon, Vice Chancellor for Business Affairs  
   Ken Smith, Chief Budget Officer  
   Document: UNC Charlotte Budget Update  

16. Adjournment  
   Fred W. Klein, Chair  

Members  
Fred W. Klein, Chair  
David Mildenberg, Vice Chair  
Dennis Bunker  
Christine Katziff  
Susan DeVore, ex officio
The University of North Carolina at Charlotte  
Board of Trustees  

Tuesday, November 29, 2022, 1:45 – 4:00 p.m.  
Popp Martin Student Union, 340 ABDE

Schedule

8:30–9:30 a.m.  Audit, Compliance, and Enterprise Risk Management (ACERM) Committee Meeting  
Popp Martin Student Union, Room 340 ABDE

9:40–10:40 a.m.  Executive Committee Meeting  
Popp Martin Student Union, Room 340 ABDE

10:50–11:50 a.m.  Advancement and Public Relations (APR) Committee Meeting  
Popp Martin Student Union, Room 340-I

11:20 a.m.–12:20 p.m.  Academic and Student Life (ASL) Committee Meeting  
Popp Martin Student Union, Room 340-F

12:00 –1:00 p.m.  Finance and Physical Properties Committee (FPPC) Meeting  
Popp Martin Student Union, Room 340-I

1:00 –1:45 p.m.  Lunch Break  
Popp Martin Student Union, Room 340 ABDE

1:45–4:00 p.m.  Board Will Convene in the Committee of the Whole  
Popp Martin Student Union, Room 340 ABDE

Board of Trustees Agenda

The Board Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order  
   Susan DeVore, Chair

2. Board Chair’s Remarks  
   Susan DeVore, Chair
3. Approval of Previous Meeting Minutes  
   *Susan DeVore, Chair*  
   Documents: September 15, 2022, Meeting Minutes - Open Session  
              September 15, 2022, Meeting Minutes - Closed Session

4. Remarks by the Board of Governors Liaison  
   *Kellie Hunt Blue, Board of Governors Liaison*

5. Farewell to Provost Lorden  
   *Sharon Gaber, Chancellor*

6. Chancellor’s Report  
   *Sharon Gaber, Chancellor*

7. Clery Act Requirements and Campus Safety (Education) and Clery Report  
   *Sarah. Edwards, Senior Associate General Counsel*  
   *Jeffrey Baker, Chief of Police*  
   Documents: The Clery Act: Reporting Campus Crimes and Educating the Campus Community  
              Clery Act Report 2022

   *Tatiyana Larson, Student Body President and Trustee*  
   Document: Student Government Association Updates

9. Report of the University Faculty Council  
   *Susan Harden, Faculty Council President*  
   Document: Faculty Council Report

10. Report of the University Staff Council  
    *Latonya Newbill, Staff Council Vice President (pending)*  
    Document: Staff Council Report

11. Report of the Executive Committee  
    *Susan DeVore, Chair*

12. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
    *Christine Katziff, Chair*

13. Report of the Advancement and Public Relations Committee  
    *Teross Young Jr., Chair*

14. Report of the Academic and Student Life Committee  
    *Brett Keeter, Chair*

15. Report of the Finance and Physical Properties Committee  
    *Fred Klein, Chair*
16. Motion to Convene in Closed Session
   Susan DeVore, Chair
   
   a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is
      privileged or confidential pursuant to the laws of this State or of the United States, or not
      considered a public record within the meaning of Chapter 132 of the General Statutes, such
      privilege or confidentiality arising under the Family Educational Rights and Privacy Act
      (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;
   b. to prevent the premature disclosure of an honorary degree, scholarship, prize, or similar
      award pursuant to N.C.G.S §143-318.11 (a)(2);
   c. Pursuant to N.C.G.S. § 132-6(d), to prevent the premature disclosure of records relating to
      the proposed expansion or location of specific business or industrial projects.

Closed Session

17. Honorary Degree Nominations
   Sharon Gaber, Chancellor
   Documents: Letter from Nominations, Honors, and Awards Committee
               Nominations
               Honorary Degree Pool
               Honorary Degrees Conferred
               Policy, Criteria, and Procedures for the Awarding of Honorary Degrees

18. Distinguished Service Award Nominations
   Sharon Gaber, Chancellor
   Documents: Recipients of the Distinguished Service Award
               Policy, Criteria, and Procedure for the Selection of the Distinguished Service
               Award

19. Proposed Location of Business Project
   Sharon Gaber, Chancellor

20. Report of the Executive Committee
   Susan DeVore, Chair

21. Report of the Audit, Compliance, and Enterprise Risk Management Committee
    Christine Katziff, Chair

22. Motion to Resume in Open Session
    Susan DeVore, Chair

Open Session

23. Other Business
    Susan DeVore, Chair

24. Adjourn
    Susan DeVore, Chair
Members of the Board of Trustees
Susan D. DeVore, Chair
John A. Bailey
Dennis N. Bunker III
Sharon Allred Decker
Wendy J. Grubbs
Christine P. Katziff
J. Brett Keeter
Fred W. Klein Jr.
Tatiyana G. Larson
David W. Mildenberg
Sasha J. Weintraub
Dontá L. Wilson
Teross W. Young Jr.