Audit, Compliance, and Enterprise Risk Management Committee Meeting
Tuesday, November 29, 2022 8:30 a.m.– 9:30 a.m.
Popp Martin Student Union, Room ABDE

View Public YouTube Live Stream

Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order 8:30 a.m.
   Christine Katziff, Chair

2. Approval of the September 15, 2022, Meeting Minutes 8:31 a.m.
   Christine Katziff, Chair
   Documents: September 15, 2022, Open Session Meeting Minutes
               September 15, 2022, Closed Session Meeting Minutes

3. Enterprise Risk Management (ERM) Update 8:32 a.m.
   Steven Dunham, Chief Risk Officer
   Document: Enterprise Risk Management Dashboard

4. Internal Audit Update 8:42 a.m.
   Jennifer Walker, Chief Audit Officer
   Documents: FY 2023 Internal Audit Plan Update
               Summary of Internal Audit Reports Issued This Period
               Internal Audit Charter Update
               Internal Audit Self-Assessment Maturity Model (SAMM)

5. Motion to Convene in Closed Session 9:02 a.m.
   Christine Katziff, Chair
   a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;
b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3);
   and

c. to consider the qualifications, competence, performance, or condition of appointment of an
   employee pursuant to N.C.G.S. §143-318.11(a)(6).

### Closed Session

6. Internal Audit Open Findings Report  
   Jennifer Walker, Chief Audit Officer  
   9:03 a.m.

7. Confidential Internal Audit Report  
   Jennifer Walker, Chief Audit Officer  
   9:08 a.m.

8. Personnel Update  
   Sharon Gaber, Chancellor  
   9:13 a.m.

9. Motion to Resume in Open Session  
   Christine Katziff, Chair  
   9:18 a.m.

### Open Session

10. Other Business  
    Christine Katziff, Chair  
    9:19 a.m.

11. Adjourn  
    Christine Katziff, Chair  
    9:30 a.m.

### Members

Christine Katziff, Chair  
Sasha Weintraub, Vice Chair  
Dennis Bunker III  
Sharon Decker  
Susan DeVore, ex officio
The University of North Carolina at Charlotte
Board of Trustees

Executive Committee Meeting
Tuesday, November 29, 2022, 9:40–10:40 a.m.
Popp Martin Student Union, 340 ABDE

View Public YouTube Live Stream

Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Susan DeVore, Chair

2. Approval of Previous Meeting Minutes
   Susan DeVore, Chair
   Documents: September 15, 2022, Meeting Minutes - Open Session
               September 15, 2022, Meeting Minutes - Closed Session
               October 18, 2022, Emergency Meeting Minutes – Open Session
               October 18, 2022, Emergency Meeting Minutes – Closed Session
               November 15, 2022, Emergency Meeting Minutes

3. Chair Updates
   Susan DeVore, Chair

4. Motion to Convene in Closed Session
   Susan DeVore, Chair

   a. Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and
   b. to prevent the disclosure of information that is privileged or confidential pursuant to the law of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes pursuant to N.C.G.S. §143-318.11 (a)(1);
c. to prevent the premature disclosure of an honorary degree, scholarship, prize, or similar award pursuant to N.C.G.S. §143-318.11 (a)(2).

Closed Session

5. Appointment of the Dean of College of Computing and Informatics
   
   Joan Lorden, Provost and Vice Chancellor for Academic Affairs
   
   Document: Personnel Action Requiring Approval of the BOT

6. Faculty Tenure Recommendations
   
   Sharon Gaber, Chancellor
   
   Document: Faculty Tenure Recommendations

7. Quarterly Report of Faculty Actions and Salary Adjustments
   
   Joan Lorden, Provost and Vice Chancellor for Academic Affairs
   
   Document: Quarterly Report of Faculty Actions

8. Quarterly Report of EHRA Non-Faculty Actions and Salary Adjustments
   
   Rich Amon, Vice Chancellor for Business Affairs
   
   Documents: Quarterly Report of EHRA Non-Faculty Actions
               Quarterly Report of EHRA Non-Faculty Salary Adjustments

9. Honorary Degree Nominations
   
   Sharon Gaber, Chancellor
   
   Documents: Letter from Nominations, Honors, and Awards Committee
               Nomination Letter
               Honorary Degree Pool
               Honorary Degrees Conferred
               Policy, Criteria, and Procedures for the Awarding of Honorary Degrees

10. Distinguished Service Award Nominations
    
    Sharon Gaber, Chancellor
    
    Documents: Distinguished Service Award Nomination
               Recipients of the Distinguished Service Award

11. Motion to Resume in Open Session
    
    Susan DeVore, Chair

Open Session

12. Action on Appointment of the Dean of College of Computing and Informatics
    
    Susan DeVore, Chair

13. Action on Faculty Tenure Recommendations
    
    Susan DeVore, Chair

14. Action on Honorary Degree Nomination
    
    Susan DeVore, Chair
15. Action on Distinguished Service Award Nomination  
   *Susan DeVore, Chair*

16. Other Business  
   *Susan DeVore, Chair*

17. Adjourn  
   *Susan DeVore, Chair*

**Executive Committee Members**  
Susan DeVore, Chair  
Dennis Bunker III  
Christine Katziff  
Brett Keeter  
Fred Klein Jr.  
Tatiyana Larson  
Dontá Wilson  
Teross Young Jr.
The University of North Carolina at Charlotte
Board of Trustees

Advancement & Public Relations Committee
November 29, 2022, 10:50 – 11:50 a.m.
Popp Martin Student Union, Room 340-I

View Public YouTube Live Stream

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
   Teross Young Jr., Chair

2. Approval of Minutes
   Teross Young Jr., Chair

3. Fundraising Update
   Beth Crigler, Vice Chancellor of University Advancement
   Document: Development Report

4. Legislative Update
   Betty Doster, Special Assistant to the Chancellor for Constituent Relations
   Document: Midterm Election Review

5. Niner Nation Week Review
   Jenny Matz, Senior Director of Engagement Marketing
   Document: Niner Nation Week Review

6. University Communications 2021 – 2022 Academic Year End Analytics Review
   Jen Ames Stuart, Associate Vice Chancellor of University Communications
   Document: 2021 – 2022 Academic Year End Analytics

7. Corporate Engagement Update
   Solange Tricanowicz, Director of Corporate Engagement
   Document: Corporate Engagement Update
8. Other Business  
   Teross Young Jr., Chair

9. Adjourn  
   Teross Young Jr., Chair

Members  
Wendy Grubbs  
Fred Klein Jr.  
Dontá Wilson  
Teross Young Jr.
Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Brett Keeter, Chair

2. Approval of the September 15, 2022, Meeting Minutes
   Brett Keeter, Chair
   Document: September 15, 2022, Meeting Minutes

3. Honors College Overview
   Malin Pereira, Dean, Honors College
   Document: Honors College Overview

4. Research Spotlight: Precision Microbiome Engineering Research Center
   Anthony Fodor, Professor, Department of Bioinformatics and Genomics
   Document: NSF Engineering Research Center (ERC) for Precision Microbiome Engineering (PreMiEr): Exploring the “built” environment microbiome

5. Other Business
   Brett Keeter, Chair

6. Adjourn
   Brett Keeter, Chair

Members
Brett Keeter, Chair                         Tatiyana Larson
Sasha Weintraub, Vice Chair                Susan DeVore, ex officio
John Bailey
Finance and Physical Properties Committee Meeting
Wednesday, November 29, 2022, Time: 12:00 p.m.-1:00 p.m.
Popp Martin Student Union, Room I

View Public YouTube Live Stream

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
   Fred W. Klein, Chair
   12:00 p.m. – 12:01 p.m.

2. Approval of Meeting Minutes
   Fred W. Klein, Chair
   Document: September 15, 2022, Meeting Minutes
   12:01 p.m. – 12:02 p.m.

Financial Action Items

3. 2023-24 Tuition and Fees Recommendations
   Rich Amon, Vice Chancellor for Business Affairs
   Ken Smith, Chief Budget Officer
   Documents: 2023-24 Tuition and Fees Recommendations
   12:02 p.m. – 12:07 p.m.

4. 2023-24 Parking Rates Recommendations
   Rich Amon, Vice Chancellor for Business Affairs
   Doug Lape, Interim Associate Vice Chancellor for Business Services
   Documents: 2023-24 Parking Rates Recommendations
   12:07 p.m. – 12:10 p.m.

5. 2023-24 Housing Rates Recommendations
   Rich Amon, Vice Chancellor for Business Affairs
   Kevin Bailey, Vice Chancellor for Student Affairs
   Documents: 2023-24 Housing Rates Recommendations
   12:10 p.m. – 12:12 p.m.
6. 2023-24 Dining Rates Recommendations          12:12 p.m. – 12:15 p.m.
   Rich Amon, Vice Chancellor for Business Affairs
   Doug Lape, Interim Associate Vice Chancellor for Business Services
   Documents: 2023-24 Dining Rates Recommendations

Capital Action Items
7. Request for Authorization of a Capital Project          12:15 p.m. – 12:20 p.m.
   Rich Amon, Vice Chancellor for Business Affairs
   Rich Steele, Associate Vice Chancellor for Facilities Management
   Document: Atkins Library Air Handler Repair

8. Requests for Ratification of Designer Selections           12:20 pm. – 12:25 p.m.
   Rich Amon, Vice Chancellor for Business Affairs
   Rich Steele, Associate Vice Chancellor for Facilities Management
   Documents: Stormwater Master Implementation Phase I
              Master Plan Initiative

9. Request to Modify Real Property-Millennial Campus          12:25 p.m. – 12:30 p.m.
   Rich Amon, Vice Chancellor for Business Affairs
   Rich Steele, Associate Vice Chancellor for Facilities Management
   Document: Millennial Campus Expansion

Capital Information Items
10. Six-year Capital Plan Update           12:30 pm. – 12:35 p.m.
    Rich Amon, Vice Chancellor for Business Affairs
    Rich Steele, Associate Vice Chancellor for Facilities Management
    Document: Six-year Capital Update

11. Master Plan Update                   12:35 p.m. – 12:40 p.m.
    Rich Amon, Vice Chancellor for Business Affairs
    Rich Steele, Associate Vice Chancellor for Facilities Management
    Document: Master Plan Update (Information Only)

12. Capital Improvement Projects Update 12:40 p.m. – 12:45 p.m.
    Rich Amon, Vice Chancellor for Business Affairs
    Rich Steele, Interim Associate Vice Chancellor for Facilities Management
    Document: Capital Improvement Projects Update

Financial Information Items
13. Textbook Affordability Initiative          12:45 p.m. – 12:50 p.m.
    Rich Amon, Vice Chancellor for Business Affairs
    Doug Lape, Interim Associate Vice Chancellor for Business Services
    Document: Textbook Affordability Initiative

    Rich Amon, Vice Chancellor for Business Affairs
    Anne Brown, Associate Vice Chancellor for Financial Services
    Document: Financial Dashboard
15. UNC Charlotte Budget Update
   Rich Amon, Vice Chancellor for Business Affairs
   Ken Smith, Chief Budget Officer
   Document: UNC Charlotte Budget Update

16. Adjournment
   Fred W. Klein, Chair

Members

Fred W. Klein, Chair
David Mildenberg, Vice Chair
Dennis Bunker
Christine Katziff
Susan DeVore, ex officio
The University of North Carolina at Charlotte
Board of Trustees

Tuesday, November 29, 2022, 1:45 – 4:00 p.m.
Popp Martin Student Union, 340 ABDE

View Public YouTube Live Stream

Schedule

8:30–9:30 a.m.      Audit, Compliance, and Enterprise Risk Management (ACERM) Committee Meeting
                    Popp Martin Student Union, Room 340 ABDE

9:40–10:40 a.m.     Executive Committee Meeting
                    Popp Martin Student Union, Room 340 ABDE

10:50–11:50 a.m.    Advancement and Public Relations (APR) Committee Meeting
                    Popp Martin Student Union, Room 340-I

11:20 a.m.–12:20 p.m.  Academic and Student Life (ASL) Committee Meeting
                    Popp Martin Student Union, Room 340-F

12:00 –1:00 p.m.    Finance and Physical Properties Committee (FPPC) Meeting
                    Popp Martin Student Union, Room 340-I

1:00 –1:45 p.m.     Lunch Break
                    Popp Martin Student Union, Room 340 ABDE

1:45–4:00 p.m.      Board Will Convene in the Committee of the Whole
                    Popp Martin Student Union, Room 340 ABDE

Board of Trustees Agenda

The Board Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Susan DeVore, Chair
2. Board Chair’s Remarks  
   Susan DeVore, Chair

3. Approval of Previous Meeting Minutes  
   Susan DeVore, Chair  
   Documents:  
   - September 15, 2022, Meeting Minutes - Open Session  
   - September 15, 2022, Meeting Minutes - Closed Session

4. Remarks by the Board of Governors Liaison  
   Kellie Hunt Blue, Board of Governors Liaison

5. Farewell to Provost Lorden  
   Susan DeVore, Chair

6. Chancellor’s Report  
   Sharon Gaber, Chancellor

7. Clery Act Requirements and Campus Safety (Education) and Clery Report  
   Sarah. Edwards, Senior Associate General Counsel  
   Jeffrey Baker, Chief of Police  
   Documents:  
   - The Clery Act: Reporting Campus Crimes and Educating the Campus Community  
   - Clery Act Report 2022

   Tatiyana Larson, Student Body President and Trustee  
   Document:  
   - Student Government Association Updates

9. Report of the University Faculty Council  
   Susan Harden, Faculty Council President  
   Document:  
   - Faculty Council Report

10. Report of the University Staff Council  
    Latonya Newbill, Staff Council Vice President  
    Document:  
    - Staff Council Report

11. Report of the Executive Committee  
    Susan DeVore, Chair

12. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
    Christine Katziff, Chair

13. Report of the Advancement and Public Relations Committee  
    Teross Young Jr., Chair

14. Report of the Academic and Student Life Committee  
    Brett Keeter, Chair
15. Report of the Finance and Physical Properties Committee  
   Fred Klein, Chair

16. Motion to Convene in Closed Session  
   Susan DeVore, Chair
   
   a. Pursuant to N.C.G.S. § 143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. § 1232g) N.C.G.S. Ch. 126, Art. 7; and NCGS Ch. 132-1.2 (1).  
   b. to prevent the premature disclosure of an honorary degree, scholarship, prize, or similar award pursuant to N.C.G.S § 143-318.11 (a)(2);  
   c. Pursuant to N.C.G.S. § 132-6(d), to prevent the premature disclosure of records relating to the proposed expansion or location of specific business or industrial projects.

Closed Session

17. Honorary Degree Nominations  
   Sharon Gaber, Chancellor  
   Documents: Letter from Nominations, Honors, and Awards Committee  
   Nominations  
   Honorary Degree Pool  
   Honorary Degrees Conferred  
   Policy, Criteria, and Procedures for the Awarding of Honorary Degrees

18. Distinguished Service Award Nominations  
   Sharon Gaber, Chancellor  
   Documents: Distinguished Service Award Nominations  
   Distinguished Service Award Recipients

19. Proposed Location of Business Project  
   Sharon Gaber, Chancellor

20. Report of the Executive Committee  
   Susan DeVore, Chair

21. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
   Christine Katziff, Chair

22. Motion to Resume in Open Session  
   Susan DeVore, Chair

Open Session

23. Action on Honorary Degree Nomination  
   Susan DeVore, Chair
24. Action on Distinguished Service Award Nomination
   *Susan DeVore, Chair*

25. Other Business
   *Susan DeVore, Chair*

26. Adjourn
   *Susan DeVore, Chair*

Members of the Board of Trustees
Susan D. DeVore, Chair
John A. Bailey
Dennis N. Bunker III
Sharon Allred Decker
Wendy J. Grubbs
Christine P. Katziff
J. Brett Keeter
Fred W. Klein Jr.
Tatiyana G. Larson
David W. Mildenberg
Sasha J. Weintraub
Dontá L. Wilson
Teross W. Young Jr.