The University of North Carolina at Charlotte
Board of Trustees

Audit, Compliance, and Enterprise Risk Management Committee Meeting
Wednesday, December 15, 2021, 8:00–9:30 a.m.
Popp Martin Student Union, Third Floor, Room A-F

Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Christine Katziff, Chair
   8:00 a.m.

2. Approval of the October 6, 2021, Meeting Minutes
   Christine Katziff, Chair
   Documents: October 6, 2021, Open Session Meeting Minutes
               October 6, 2021, Closed Session Meeting Minutes
   8:01 a.m.

3. Internal Audit Update
   Jennifer Walker, Chief Audit Officer
   Larry Kelley, Interim Vice Chancellor for Business Affairs
   Documents: FY 2022 Internal Audit Plan Update
               Summary of Internal Audit Reports Issued This Period
               Internal Audit Findings Exception Report
               Internal Audit Quality Assessment Review (QAR) Fire Safety and Building Safety
   8:02 a.m.

4. Enterprise Risk Management (ERM) Update
   Steven Dunham, Chief Risk Officer
   Document: Risk Dashboards
   8:22 a.m.

5. Motion to Convene in Closed Session
   Christine Katziff, Chair
   8:42 a.m.

   a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;
b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11(a)(3); and
c. to consider the qualifications, competence, performance, or condition of appointment of an employee pursuant to N.C.G.S. §143-318.11(a)(6).

Closed Session

6. Title IX Office Update 8:42 a.m.
   Michelle Reinken, Title IX Coordinator
   Document: Title IX Office Update

7. Report on Litigation and Administrative Case Updates 8:57 a.m.
   Sam Sears, Associate Vice Chancellor and Deputy General Counsel

8. Motion to Resume in Open Session 9:07 a.m.
   Christine Katziff, Chair

Open Session

9. Other Business 9:08 a.m.
   Christine Katziff, Chair

10. Adjourn 9:30 a.m.
    Christine Katziff, Chair

Members
Christine Katziff, Chair
Carlos Sanchez, Vice Chair
Dennis Bunker III
Sasha Weintraub
Susan DeVore, ex officio
Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Dennis Bunker III, Acting Chair

2. Approval of Previous Meeting Minutes
   Dennis Bunker III, Acting Chair
   Documents: October 6, 2021, Meeting Minutes - Open Session
               October 6, 2021, Meeting Minutes - Closed Session

3. Approval of New Plan to Establish Spangler Distinguished Professor of Early Child Literacy – Cato College of Education
   Joan Lorden, Provost and Vice Chancellor for Academic Affairs
   Document: Spangler Distinguished Professor of Early Child Literacy

4. Approval of Revised Plan for the Bank of America Distinguished Professor in Computing and Informatics
   Joan Lorden, Provost and Vice Chancellor for Academic Affairs
   Documents: Letter to the Chancellor
               Revised Plan for the Bank of America Distinguished Professor in Computing and Informatics

5. Motion to Convene in Closed Session
   Dennis Bunker III, Acting Chair

   a. Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and
b. prevent the disclosure of information that is privileged or confidential pursuant to the law of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes pursuant to N.C.G.S. §143-318.11 (a)(1);

c. establish, or to instruct the public body’s staff concerning the amount of compensation and other material terms of an employment contract or proposed employment contract pursuant to N.C.G.S. §143-318.11 (a)(5);

Closed Session

6. Quarterly Report of Faculty Actions and Salary Adjustments
   Joan Lorden, Provost and Vice Chancellor for Academic Affairs
   Documents: Quarterly Report of Faculty Actions
               Quarterly Report of Faculty Salary Adjustments

7. Quarterly Report of EHRA Non-Faculty Actions and Salary Adjustments
   Larry Kelley, Interim Vice Chancellor for Business Affairs
   Documents: Quarterly Report of EHRA Non-Faculty Actions
               Quarterly Report of EHRA Non-Faculty Salary Adjustments

8. Honorary Degree Nominations
   Sharon Gaber, Chancellor
   Documents: Letter from Nominations, Honors, and Awards Committee
               Nomination 1
               Nomination 2
               Honorary Degree Pool
               Honorary Degrees Conferred
               Policy, Criteria, and Procedures for the Awarding of Honorary Degrees

9. Distinguished Service Award Nominations
   Sharon Gaber, Chancellor
   Documents: Recipients of the Distinguished Service Award
               Policy, Criteria, and Procedure for the Selection of the Distinguished Service Award

10. Motion to Resume in Open Session
     Dennis Bunker III, Acting Chair

Open Session

11. Other Business
     Dennis Bunker III, Acting Chair

12. Adjourn
     Dennis Bunker III, Acting Chair
Executive Committee Members
Susan DeVore, Chair
Dick Beekman
Dennis Bunker III
Christine Katziff
Brett Keeter
Fred W. Klein Jr.
Carlos Sanchez
Teross W. Young Jr.
The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
   Brett Keeter, Chair

2. Approval of the October 6, 2021, Meeting Minutes
   Brett Keeter, Chair
   Document: October 6, 2021, Meeting Minutes

3. UNC Charlotte Health and Wellbeing
   Kevin Bailey, Vice Chancellor for Student Affairs
   Mari Ross, Associate Vice Chancellor for Health and Wellbeing
   Document: UNC Charlotte Health and Wellbeing

4. Industry Engaged Research and Industry/University Cooperative Research Centers (IUCRC), featuring the Center for Metamaterials
   Rick Tankersley, Vice Chancellor for Research and Economic Development
   Ishwar Aggarwal, Research Professor, Department of Physics and Optical Science
   Tino Hofmann, Assistant Professor, Department of Physics and Optical Science
   Document: Center for Metamaterials – NSF Funded Industry-University Collaborative Research Center (IUCRC)

5. Other Business
   Brett Keeter, Chair

6. Adjourn
   Brett Keeter, Chair
Members
Brett Keeter, Chair
Carlos Sanchez, Vice Chair
Wendy Grubbs
Dontá Wilson
Susan DeVore, ex officio
The University of North Carolina at Charlotte  
Board of Trustees  

Athletics Committee Meeting  
Wednesday, December 15, 2021, 10:40-11:40 a.m.  
Popp Martin Student Union, Room 265  
Public YouTube Livestream

Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

Open Session

1. Call to Order  
   Teross Young Jr., Chair

2. Approval of the October 6, 2021, Meeting Minutes  
   Teross Young Jr., Chair  
   Document: October 6, 2021, Meeting Minutes

3. Baseball Preview  
   Robert Woodard, Head Baseball Coach

4. SAAC Update  
   Nishia McRay, Director of Student Athlete Development  
   Breanna Murray, SAAC President

5. Niner Varsity Club Profile  
   Ragean Hill, Executive Associate Athletic Director

6. Conference Update  
   Mike Hill, Director of Athletics

7. Athletic Department Update  
   Mike Hill, Director of Athletics

8. Other Business  
   Teross Young Jr., Chair
9. Adjourn
   Teross Young Jr., Chair

Members
Teross W. Young Jr., Chair
Sharon Decker, Vice Chair
Dick Beekman
Sasha Weintraub
Susan DeVore, ex officio
The University of North Carolina at Charlotte
Board of Trustees

Finance and Physical Properties Committee Meeting
Wednesday, December 15, 2021, 10:40 a.m.– 11:40 a.m.
Popp Martin Student Union, Room I
Public YouTube Livestream

Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Fred W. Klein, Chair
   10:40 a.m.

2. Approval of Meeting Minutes
   Fred W. Klein, Chair
   Document: October 6, 2021, Meeting Minutes
   10:41 a.m.

3. All Funds Budget Update
   Larry Kelley, Interim Vice Chancellor for Business Affairs
   Ken Smith, Chief Budget Officer
   Document: Operating Sources, Uses Rules, and Flexibility
   10:45 a.m.

4. Financial Dashboard
   Larry Kelley, Interim Vice Chancellor for Business Affairs
   Document: Financial Dashboard
   11:00 a.m.

5. UNC Charlotte Budget Update
   Larry Kelley, Interim Vice Chancellor for Business Affairs
   Ken Smith, Chief Budget Officer
   Document: UNC Charlotte Budget Update
   11:10 a.m.

6. 2022-23 Tuition and Fees Recommendations
   Larry Kelley, Interim Vice Chancellor for Business Affairs
   Documents: 2022-23 Tuition and Fees Recommendations
   11:15 a.m.
7. 2022-23 Parking Rates Recommendations  11:20 a.m.
   Larry Kelley, Interim Vice Chancellor for Business Affairs
   Documents: 2022-23 Parking Rates Recommendations

8. 2022-23 Housing Rates Recommendations  11:22 a.m.
   Larry Kelley, Interim Vice Chancellor for Business Affairs
   Documents: 2022-23 Housing Rates Recommendations

9. 2022-23 Dining Rates Recommendations  11:25 a.m.
   Larry Kelley, Interim Vice Chancellor for Business Affairs
   Documents: 2022-23 Dining Rates Recommendations

10. Request for Ratification of Construction Manager at Risk  11:27 a.m.
    - Popp Martin Dining Renovations
      Larry Kelley, Interim Vice Chancellor for Business Affairs
      Rich Steele, Interim Associate Vice Chancellor for Facilities Management
      Document: Popp Martin Dining Renovations

11. Request for Ratification of Designer Selection – Advance Planning  11:29 a.m.
    - Softball Locker Rooms and Offices
      Larry Kelley, Interim Vice Chancellor for Business Affairs
      Rich Steele, Interim Associate Vice Chancellor for Facilities Management
      Document: Softball Locker Rooms and Offices

12. Request for Ratification of Designer Selection – Atkins Café Renovation  11:30 a.m.
    Larry Kelley, Interim Vice Chancellor for Business Affairs
    Rich Steele, Interim Associate Vice Chancellor for Facilities Management
    Document: Atkins Café Renovation

13. Capital Improvement Projects Update  11:32 a.m.
    Larry Kelley, Interim Vice Chancellor for Business Affairs
    Rich Steele, Interim Associate Vice Chancellor for Facilities Management
    Document: Capital Improvement Projects Update

14. Update on Capital Appropriated Projects  11:35 a.m.
    Larry Kelley, Interim Vice Chancellor for Business Affairs
    Rich Steele, Interim Associate Vice Chancellor for Facilities Management
    Document: Appropriated Projects

15. Adjournment  11:40 a.m.
    Fred W. Klein, Chair
Members

Fred W. Klein, Chair
David Mildenberg, Vice Chair
Dennis Bunker
Christine Katziff
Susan DeVore, ex officio
The University of North Carolina at Charlotte
Board of Trustees

Wednesday, December 15, 2021
Popp Martin Student Union, Third Floor, Room A-F
Public YouTube Livestream

Schedule

8:00–9:30 a.m.  Audit, Compliance, and Enterprise Risk Management (ACERM) Committee Meeting
Popp Martin Student Union, Third Floor, Room A-F

9:30–10:35 a.m.  Executive Committee Meeting
Popp Martin Student Union, Third Floor, Room A-F

10:40–11:40 a.m.  Academic and Student Affairs (ASA) Committee Meeting
Popp Martin Student Union, Room 261

10:40–11:40 a.m.  Athletics Committee Meeting
Popp Martin Student Union, Room 265

10:40–11:40 a.m.  Finance and Physical Properties Committee (FPPC) Meeting
Popp Martin Student Union, Third Floor, Room I

11:40 a.m.–12:30 p.m.  Lunch Break
Popp Martin Student Union, Third Floor, Room A-F

12:30–3:30 p.m.  Board Will Convene in the Committee of the Whole
Popp Martin Student Union, Third Floor, Room A-F

Board of Trustees Agenda

The Board Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Dennis Bunker III, Acting Chair

2. Introductions and Announcements
   Dennis Bunker III, Acting Chair
3. Approval of Previous Meeting Minutes  
   *Dennis Bunker, Acting Chair*  
   Documents:  
   - October 6, 2021, Meeting Minutes - Open Session  
   - October 6, 2021, Meeting Minutes - Closed Session

4. Remarks by the Board of Governors Liaison  
   *Mark Holton, Board of Governors Liaison*

5. Chancellor’s Report  
   *Sharon Gaber, Chancellor*

6. Board Self-Assessment  
   *Kim Bradley, Chief of Staff*  
   *David Maxwell, PhD, AGB Senior Consultant and Senior Fellow*

7. Athletics Conference Update  
   *Mike Hill, Director of Athletics*  
   Document:  
   - Athletics Conference Update

8. Clery Act Requirements and Campus Safety (Education) and Clery Report  
   *Sarah O. Edwards, Senior Associate General Counsel*  
   *Jeffrey A. Baker, Chief of Police*  
   Documents:  
   - The Clery Act: Reporting Campus Crimes and Educating the Campus Community  
   - Clery Act Report 2021

9. Legislative Update  
   *Betty Doster, Special Assistant to the Chancellor for Constituent Relations*  
   Document:  
   - Legislative Update

10. Report of the University Faculty Council  
    *Susan Harden, Faculty Council President*  
    Document:  
    - Faculty Council Report

11. Report of the University Staff Council  
    *Celeste Corpening, Staff Council Chair*  
    Document:  
    - Staff Council Report

    *Dick Beekman, Student Body President and Trustee*  
    Document:  
    - Student Government Association Updates

13. Report of the Executive Committee  
    *Dennis Bunker III, Acting Chair*

14. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
    *Christine Katziff, Chair*
15. Report of the Academic and Student Affairs Committee  
   *Brett Keeter, Chair*

16. Report of the Athletics Committee  
   *Teross Young Jr., Chair*

17. Report of the Finance and Physical Properties Committee  
   *Fred Klein, Chair*

18. Motion to Convene in Closed Session  
   *Dennis Bunker III, Acting Chair*

   a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;

   b. to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee pursuant to N.C.G.S §143-318.11 (a)(6);

   c. establish, or to instruct the public body’s staff concerning the amount of compensation and other material terms of an employment contract or proposed employment contract pursuant to N.C.G.S. §143-318.11 (a)(5);

**Closed Session**

19. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
   *Christine Katziff, Chair*

20. Report of the Executive Committee  
   *Dennis Bunker III, Acting Chair*

21. Honorary Degree Nominations  
   *Sharon Gaber, Chancellor*

   Documents: Letter from Nominations, Honors, and Awards Committee  
                Nomination 1  
                Nomination 2  
                Honorary Degree Pool  
                Honorary Degrees Conferred  
                Policy, Criteria, and Procedures for the Awarding of Honorary Degrees

22. Distinguished Service Award Nominations  
   *Sharon Gaber, Chancellor*

   Documents: Recipients of the Distinguished Service Award  
              Policy, Criteria, and Procedure for the Selection of the Distinguished Service Award
23. Chancellor Evaluation  
   *Dennis Bunker III, Acting Chair*

24. Motion to Resume in Open Session  
   *Dennis Bunker III, Acting Chair*

25. Other Business  
   *Dennis Bunker III, Acting Chair*

26. Adjourn  
   *Dennis Bunker III, Acting Chair*

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**Open Session**

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Members of the Board of Trustees
Susan D. DeVore, Chair  
Dick J. Beekman  
Dennis N. Bunker III  
Sharon Allred Decker  
Wendy J. Grubbs  
Christine P. Katziff  
J. Brett Keeter  
Fred W. Klein Jr.  
David W. Mildenberg  
Carlos E. Sanchez  
Sasha J. Weintraub  
Dontá L. Wilson  
Teross W. Young Jr.