



**The University of North Carolina at Charlotte  
Board of Trustees**

**Audit, Compliance, and Enterprise Risk Management Committee Meeting**

Wednesday, February 8, 2023, 8:30–9:45 a.m.

*Popp Martin Student Union, Room 340 ABDE*

<https://www.youtube.com/@emergingmediazoom/events597/featured>

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 8:30 a.m.  
Christine Katziff, Chair
  
2. Approval of the November 29, 2022, Meeting Minutes 8:31 a.m.  
Christine Katziff, Chair  
Documents: [November 29, 2022, Meeting Minutes—Open Session](#)  
[November 29, 2022, Meeting Minutes—Closed Session](#)
  
3. Report on 2021-2022 External Audits 8:32 a.m.  
Zach Rogers, Financial Audit Manager, Office of the State Auditor, *Via Zoom*  
Anne Brown, Associate Vice Chancellor for Finance  
Amy Hisler, Controller  
Greg Verret, Director of Treasury Services  
Documents: [The University of North Carolina at Charlotte Financial Statement Audit Report for the Year Ended June 30, 2022](#)  
Annual Financial Audits of Affiliated Entities:
  - [The Foundation of the University of North Carolina at Charlotte, Inc. Consolidated Financial Statements for the Years Ended June 30, 2022 and 2021, and Independent Auditor’s Report](#)
  - [The Athletic Foundation of the University of North Carolina at Charlotte Financial Statements for the Years Ended June 30, 2022 and 2021, and Independent Auditor’s Report](#)
  - [The University of North Carolina at Charlotte Investment Fund, Inc. Financial Statements for the Years Ended June 30, 2022 and 2021, and Independent Auditor’s Report](#)

4. National Collegiate Athletics Association (NCAA) Agreed-Upon Procedures 8:42 a.m.  
Amy Hisler, Controller  
Darin Spease, Deputy Athletic Director  
Document: [Independent Accountant's Report on Applying Agreed-Upon Procedures](#)
5. Enterprise Risk Management (ERM) Update 8:45 a.m.  
Steven Dunham, Chief Risk Officer  
Documents: [ERM Executive Summary](#)  
[Enterprise Risk Management](#)
6. OneIT Information Security Update 8:55 a.m.  
Mike Carlin, Vice Chancellor for OneIT and Chief Information Officer  
Jesse Beauman, Assistant Vice Chancellor for OneIT and Deputy Chief Information Officer  
Document: [Cyber Security @ UNC Charlotte](#)
7. Internal Audit Update 9:15 a.m.  
Jennifer Walker, Chief Audit Officer Documents:  
[FY 2023 Internal Audit Plan Update](#)  
[Summary of Internal Audit Reports Issued This Period](#)  
[Internal Audit Findings Exception Report](#)
8. Motion to Convene in Closed Session 9:25 a.m.  
Christine Katziff, Chair
  - a. *Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;*
  - b. *to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3);*
  - c. *to consider the qualifications, competence, performance, or condition of appointment of an employee pursuant to N.C.G.S. §143-318.11(a)(6); and*
  - d. *to prevent the disclosure of sensitive public security information, as defined in N.C.G.S. §132-1.7(a).*

### Closed Session

9. Internal Audit Open Findings Report 9:25 a.m.  
Jennifer Walker, Chief Audit Officer
10. Internal Audit Executive Session (*only Trustees present*) 9:30 a.m.  
Jennifer Walker, Chief Audit Officer
11. Personnel Update (*only Trustees present*) 9:33 a.m.  
Sharon Gaber, Chancellor
12. Motion to Resume in Open Session 9:38 a.m.

Christine Katziff, Chair

**Open Session**

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|--|-----------|
| 13. Other Business<br>Christine Katziff, Chair | 9:39 a.m. |
| 14. Adjourn<br>Christine Katziff, Chair        | 9:45 a.m. |

Members

Christine Katziff, Chair  
Sasha Weintraub, Vice Chair  
Dennis Bunker III  
Sharon Decker  
Susan DeVore, *ex officio*



**The University of North Carolina at Charlotte  
Board of Trustees**

**Executive Committee Meeting**

Wednesday, February 8, 2023 9:55–10:40 a.m.

*Popp Martin Student Union, Third Floor, Room 340 ABDE*

<https://www.youtube.com/@emergingmediazoom1events597/featured>

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order  
*Susan Devore, Chair*
2. Approval of Previous Meeting Minutes  
*Susan Devore, Chair*  
Documents: [November 29, 2022, Meeting Minutes - Open Session](#)  
November 29, 2022, Meeting Minutes - Closed Session
3. Board Officer Nomination Process  
*Susan Devore, Chair*
4. Sign-On and Retention Bonus Policy  
*Rich Amon, Vice Chancellor for Business Affairs*  
Document: [University Policy 101.26, Sign-on and Retention Bonuses for Permanent Employees](#)
5. Motion to Convene in Closed Session  
*Susan Devore, Chair*
  - a. *Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and*
  - b. *prevent the disclosure of information that is privileged or confidential pursuant to the law of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes pursuant to N.C.G.S. §143-318.11 (a)(1);*

- c. *to prevent the premature disclosure of an honorary degree, scholarship, prize, or similar award pursuant to N.C.G.S. §143-318.11 (a)(2);*

### **Closed Session**

6. Quarterly Report of Faculty Actions and Salary Adjustments  
*Alicia Bertone, Provost and Vice Chancellor for Academic Affairs*  
Document: Quarterly Report of Faculty Salary Adjustments
7. Quarterly Report of EHRA Non-Faculty Actions and Salary Adjustments  
*Rich Amon, Vice Chancellor for Business Affairs*  
Documents: Quarterly Report of EHRA Non-Faculty Actions  
Quarterly Report of EHRA Non-Faculty Salary Adjustments
8. Athletic Employment Agreement  
*Sharon Gaber, Chancellor*  
Document: Employment Letter Agreement
9. Distinguished Service Award (DSA) Update  
*Sharon Gaber, Chancellor*
10. Honorary Degree Update  
*Sharon Gaber, Chancellor*
11. Tier 1 SAAO Salary Increase  
*Sharon Gaber, Chancellor*  
Document: Request for Tier 1 Salary Adjustments
12. Motion to Resume in Open Session  
*Susan Devore, Chair*

### **Open Session**

13. Other Business  
*Susan Devore, Chair*
14. Adjourn  
*Susan Devore, Chair*

Executive Committee Members

Susan DeVore, Chair

Dennis Bunker III

Christine Katziff

Brett Keeter

Fred Klein Jr.

Tatiana Larson

Dontá Wilson

Teross Young Jr.



**The University of North Carolina at Charlotte  
Board of Trustees**

**Advancement & Public Relations Committee**

February 8, 2023, 10:50 – 11:50 a.m.

*Popp Martin Student Union, Room 340 I*

<https://www.youtube.com/@emczoom2events764/featured>

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order  
Teross Young Jr., Chair
2. Approval of Minutes  
Teross Young Jr., Chair  
[Document: November 29, 2022, Meeting Minutes](#)
3. Communications Update  
Jen Ames Stuart, Associate Vice Chancellor of University Communications  
[Document: Marketing + Communications](#)
4. Motion to Convene in Closed Session  
Teross Young Jr., Chair

- a. *Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7.*

**Closed Session**

5. Corporate Engagement Update and Top Prospect Review  
Solange Tricanowicz, Director of Corporate Engagement  
Penny Hawkins, Interim Associate Vice Chancellor of University Development  
Document: Corporate Engagement
6. Fundraising & Campaign Update  
Beth Crigler, Vice Chancellor of University Advancement  
Peter Lasher, Senior Vice President and Higher Education Practice Leader, GG+A  
Document: Fundraising & Campaign Update

7. Motion to Resume in Open Session  
Teross Young Jr., Chair

**Open Session**

8. Other Business  
Teross Young Jr., Chair
9. Adjourn  
Teross Young Jr., Chair

Members

Wendy Grubbs  
Fred Klein Jr.  
Dontá Wilson  
Teross Young Jr.





**The University of North Carolina at Charlotte  
Board of Trustees**

**Academic and Student Life Committee Meeting**

Wednesday, February 8, 2023, 11:20 a.m.-12:20 p.m.

Popp Martin Student Union, Room 340F

<https://www.youtube.com/@emczoom3events560/featured>

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order  
Brett Keeter, Chair
2. Approval of the November 29, 2022, Meeting Minutes  
Brett Keeter, Chair  
Document: [November 29, 2022, Meeting Minutes](#)
3. Introduction of Provost Bertone  
Brett Keeter, Chair
4. Veteran Services  
Bill Wilson, Director of Veteran Services  
Document: [Veteran Services Office](#)
5. Interdisciplinary Studies  
Beth Whitaker, Professor of Political Science and Executive Director, Office of Disciplinary Studies  
Document: [Interdisciplinary Studies](#)
6. Research Spotlight: Rethinking Revocations: A Study to Examine the Effects of a Coaching Model on Outcomes  
Shelley L. Johnson, Professor, Department of Criminal Justice and Criminology  
Document: [Rethinking Revocations: A Study to Examine the Effects of a Coaching Model on Outcomes](#)
7. Other Business  
Brett Keeter, Chair

8. Adjourn  
Brett Keeter, Chair

Members

Brett Keeter, Chair  
Sasha Weintraub, Vice Chair  
John Bailey  
Tatiana Larson  
Susan DeVore, *ex officio*



**The University of North Carolina at Charlotte  
Board of Trustees**

**Finance and Physical Properties Committee Meeting**

Wednesday, February 8, 2023, 12:00 – 1:00 pm

Popp Martin Student Union, 340I

<https://www.youtube.com/@emczoom2events764/featured>

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 12:00 p.m. – 12:01 p.m.  
Fred Klein, Chair
2. Approval of Meeting Minutes 12:01 p.m. – 12:02 p.m.  
Fred Klein, Chair  
Document: [November 29, 2022, Meeting Minutes](#)

**Capital Action Items**

3. Request for Authorization of a Capital Project 12:02 p.m. – 12:15 p.m.  
Rich Amon, Vice Chancellor for Business Affairs  
Rich Steele, Associate Vice Chancellor for Facilities Management  
Document: [Requests for Authorization – Multiple Projects:](#)  
[Auxiliary Services Building Renovation](#)  
[Upper Prospector Dining Renovation](#)  
[Industrial Solutions Lab Renovation](#)  
[Motorsports Battery Research Lab Renovation](#)
4. Request for Designer Ratifications 12:15 pm. – 12:20 p.m.  
Rich Amon, Vice Chancellor for Business Affairs  
Rich Steele, Associate Vice Chancellor for Facilities Management  
Document: Requests for Designer Ratifications – Multiple Projects:  
[Fretwell Building, Reese Building, and Memorial Hall](#)  
[Fire Alarm System Upgrades](#)  
[Rowe Arts Building Exterior Envelope Repairs](#)  
[Upper Prospector Renovation – Advance Planning](#)

5. Request for Ratification for Construction Manager at Risk 12:20 p.m. – 12:25 p.m.  
Rich Amon, Vice Chancellor for Business Affairs  
Rich Steele, Associate Vice Chancellor for Facilities Management  
Document: [Atkins Library Tower ADA and Smoke/Fire Systems Upgrades](#)

### **Capital Information Items**

6. Master Plan Update 12:25 p.m. – 12:30 p.m.  
Rich Amon, Vice Chancellor for Business Affairs  
Rich Steele, Associate Vice Chancellor for Facilities Management  
Document: [Master Plan Update \(Information Only\)](#)
7. Capital Improvement Projects Update 12:30 p.m. – 12:45 p.m.  
Rich Amon, Vice Chancellor for Business Affairs  
Rich Steele, Associate Vice Chancellor for Facilities Management  
Documents: [Status of Capital Improvement Projects](#)  
[Capital Improvement Projects Update](#)

### **Financial Information Items**

8. Financial Ratios 12:45 p.m. – 12:50 p.m.  
Rich Amon, Vice Chancellor for Business Affairs  
Anne Brown, Associate Vice Chancellor for Financial Services  
Documents: [FY2022 Financial Ratios](#)  
[Composite Financial Index](#)
9. UNC Charlotte Budget Update 12:50 p.m. – 12:59 pm.  
Rich Amon, Vice Chancellor for Business Affairs  
Ken Smith, Chief Budget Officer  
Document: [UNC Charlotte Budget Update](#)
10. Adjournment 12:59 p.m. – 1:00 p.m.  
Fred Klein, Chair

### Members

Fred Klein, Chair  
David Mildenberg, Vice Chair  
Dennis Bunker  
Christine Katziff  
Susan DeVore, *ex officio*



**The University of North Carolina at Charlotte  
Board of Trustees**

Wednesday, February 8, 2023

*Popp Martin Student Union, Third Floor, Room ABDE*

<https://www.youtube.com/@emergingmediazoom1events597/featured>

**Schedule**

8:30–9:45 a.m.	Audit, Compliance, and Enterprise Risk Management (ACERM) Committee Meeting <i>Popp Martin Student Union, Room 340 ABDE</i>
9:55–10:40 a.m.	Executive Committee Meeting <i>Popp Martin Student Union, Room 340 ABDE</i>
10:50–11:50 a.m.	Advancement and Public Relations (APR) Committee Meeting <i>Popp Martin Student Union, Room 340 I</i>
11:20 a.m.–12:20 p.m.	Academic and Student Life (ASL) Committee Meeting <i>Popp Martin Student Union, Room 340 F</i>
12:00–1:00 p.m.	Finance and Physical Properties Committee (FPPC) Meeting <i>Popp Martin Student Union, Room 340 I</i>
1:00–1:55 p.m.	Lunch Break <i>Popp Martin Student Union, Room 340 GH</i>
2:00–4:00 p.m.	Board Will Convene in the Committee of the Whole <i>Popp Martin Student Union, Room 340 ABDE</i>

**Board of Trustees Agenda**

The Board Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order  
*Susan DeVore, Chair*
2. Board Chair's Remarks  
*Susan DeVore, Chair*

3. Approval of Previous Meeting Minutes  
*Susan DeVore, Chair*  
Documents: [November 29, 2022, Meeting Minutes - Open Session](#)  
November 29, 2022, Meeting Minutes - Closed Session
4. Chancellor's Report  
*Sharon Gaber, Chancellor*
5. Charlotte Strategy  
*Charles Bowman, Executive in Residence*
6. Quality Enhancement Plan (QEP)  
*Evan Wantland, Assistant Teaching Professor, Department of Mathematics and Statistics*  
*Lisa Walker, Interim Associate Provost for Undergraduate Education and Dean of University College*  
Document: [NINERways: Math Pathways to Student Success – QEP 2023-2033](#)
7. Enrollment Update  
*Claire Kirby, Associate Provost of Enrollment Management*  
Document: [Enrollment Management Update](#)
8. Report of the University Faculty Council  
*Susan Harden, Faculty Council President*  
Document: [Faculty Council Report](#)
9. Report of the Student Government Association  
*Tatiana Larson, Student Body President and Trustee*  
Document: [Student Government Association Updates](#)
10. Report of the Executive Committee  
*Susan DeVore, Chair*
11. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
*Christine Katziff, Chair*
12. Report of the Academic and Student Life Committee  
*Brett Keeter, Chair*
13. Report of the Advancement and Public Relations Committee  
*Teross Young Jr., Chair*
14. Report of the Finance and Physical Properties Committee  
*Fred Klein, Chair*
15. Motion to Convene in Closed Session  
*Susan DeVore, Chair*

- a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;
- b. to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee pursuant to N.C.G.S §143-318.11 (a)(6);
- c. to prevent the premature disclosure of an honorary degree, scholarship, prize, or similar award pursuant to N.C.G.S. §143-318.11 (a)(2).

### **Closed Session**

16. Security Assessment  
*Jeffrey Baker, Chief of Police*
17. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
*Christine Katziff, Chair*
18. Report of the Executive Committee  
*Susan DeVore, Chair*
19. Distinguished Service Award (DSA) Update  
*Sharon Gaber, Chancellor*
20. Motion to Resume in Open Session  
*Susan DeVore, Chair*

### **Open Session**

21. Other Business  
*Susan DeVore, Chair*
22. Adjourn  
*Susan DeVore, Chair*

Members of the Board of Trustees

Susan D. DeVore, Chair

John A. Bailey

Dennis N. Bunker III

Sharon Allred Decker

Wendy J. Grubbs

Christine P. Katziff

J. Brett Keeter

Fred W. Klein Jr.

Tatiana G. Larson

David W. Mildenberg

Sasha J. Weintraub

Dontá L. Wilson

Teross W. Young Jr.