



**The University of North Carolina at Charlotte  
Board of Trustees**

**Audit, Compliance, and Enterprise Risk Management Committee Meeting**

Wednesday, February 16, 2022, 8:00 - 9:30 a.m.  
Popp Martin Student Union, Third Floor, Room A-F

**Open Session**

Present:

Christine Katziff, Chair  
Carlos Sanchez, Vice Chair  
Dennis Bunker III  
Sasha Weintraub

Staff/Guests in Attendance

Sharon Gaber, Chancellor  
Isabel Alele, Assistant General Counsel  
Jesse Beaman, Assistant Vice Chancellor for Enterprise Infrastructure & Deputy Chief Information Officer  
Brad Bowen, Digital Media Communications-Video Support  
Kim Bradley, Chief of Staff and Assistant Secretary to the Board of Trustees  
Anne Brown, Associate Vice Chancellor for Finance  
Susan Burgess, Chief Compliance Officer  
Mike Carlin, Vice Chancellor for OneIT and Chief Information Officer  
Anna Clark, Executive Assistant to the Chief of Staff  
Tina Dadio, University Public Records Officer/Paralegal  
Betty Doster, Special Assistant to the Chancellor for Constituent Relations  
Shari Dunn, Senior Executive Assistant to the Chancellor  
Steven Dunham, Chief Risk Officer  
Harrison Hieb, Digital Media Communications-Video Support  
Amy Hisler, Controller  
Mark Holton, UNC Board of Governors Liaison  
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel  
Melva Jenkins, Administrative Liaison for University Governance  
André Lindsay, Associate General Counsel  
Joan Lorden, Provost and Vice Chancellor for Academic Affairs  
Marcus Pena, AISLE Offsite Zoom Support  
Michelle Reinken, Director of Title IX Compliance/Title IX Coordinator  
Zach Rogers, Financial Audit Manager, Office of State Auditor  
Sam Sears, Associate Vice Chancellor for Legal Affairs and Deputy General Counsel  
Erica Solosky, Associate General Counsel  
Darin Spease, Deputy Athletic Director  
Brenda Shue, Executive Assistant to the Chancellor and Division of Budget Manager

Natasha Stracener, AISLE Onsite Zoom Support  
Greg Verret, Director of Treasury Services  
Jennifer Walker, Chief Audit Officer

Call to Order. Ms. Katziff called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act and advised Committee members to notify her immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts were reported.

Approval of Minutes. Ms. Katziff requested action on the December 15, 2021, Audit, Compliance, and Enterprise Risk Management Committee meeting minutes.

Action. On a motion by Dr. Weintraub, seconded by Mr. Bunker, the Committee approved the December 15, 2021, Audit, Compliance, and Enterprise Risk Management Committee minutes.

Report on 2020-2021 External Audits. Ms. Katziff welcomed Ms. Brown, Mr. Verret, and Ms. Hisler from UNC Charlotte and Mr. Rogers from the Office of State Auditor. Ms. Brown introduced the University's newly hired Controller, Ms. Hisler, to the Committee. Mr. Rogers reported that their office completed the FY21 financial statement audit for UNC Charlotte and presented an unmodified (clean) opinion with no findings resulting from that audit. Mr. Rogers noted that the audit process went very smoothly due to the cooperation and efforts of the University's financial team, especially while performing the entire audit virtually. Mr. Rogers thanked the University team for their continued excellent work. Mr. Rogers indicated that the state's audit report was issued on November 15, 2021, and can be found on the State Auditor's website.

Mr. Verret informed the Committee that the FY21 financial statement audits for the University's Foundation, Athletic Foundation, the University's Facilities Development Corporation, and UNC Charlotte Investment Fund, Inc. were completed by external auditors, and that all audits received were unmodified (clean) with no findings. Mr. Verret also informed the Committee that this is the last financial statement audit for the University's Facilities Development Corporation, as it was dissolved in August, 2021.

Mr. Spease reported that, as with all National Collegiate Athletics Association (NCAA) member institutions, UNC Charlotte is required to submit financial data detailing operating revenues and expenses related to its intercollegiate athletics program to the NCAA on an annual basis and is subject to a review for compliance with agreed-upon-procedures guidelines performed by an independent certified public accountant. The report was returned with no major findings for the University. Mr. Spease also noted that 2021 was an unprecedented year in which revenues were greatly impacted by reduced ticket sales and game guarantees.

Welcome to Mr. Holton. Prior to the Internal Audit Update, Chancellor Gaber welcomed the UNC Board of Governors liaison, Mark Holton. Mr. Holton also serves as Chair for the Board of Governors Committee on Audit, Risk Management, and Compliance.



Internal Audit Update. Ms. Walker provided an update on the FY22 Audit Plan and a summary of internal audit reports issued this period, with no high-risk findings reported. There were no new items or changes on the Internal Audit Findings Exception Report. Ms. Walker updated the Committee on the status of the search for the open Internal Audit Manager and the Senior Internal Auditor positions, and will provide an update on the prospective job applicants during the next ACERM Committee meeting.

Ms. Walker indicated that the Internal Audit staff has completed the Annual Code of Ethics Certification disclosing any potential or perceived conflicts of interest. No conflicts were identified. In compliance with the IIA Standards, Ms. Walker confirmed that the Internal Audit Department's independence and objectivity is not impaired.

Ms. Walker indicated that she will soon be starting the process of developing a risk-based Audit Plan for FY23.

Enterprise Risk Management (ERM) Update. Mr. Dunham provided the Committee with an update on the University's Enterprise Risk Management program, including an overview of the University's auxiliary financials risk dashboard, focusing on Athletics, Parking and Dining, and Housing. Mr. Dunham will participate in joint Cabinet interviews on key risks and share identified risk trends with the Committee in the Committee's April meeting.

Motion to Convene in Closed Session. Ms. Katziff called for a motion to convene in closed session.

Action. On a motion by Mr. Bunker, seconded by Mr. Sanchez, the Committee convened in closed session pursuant to the following General Statutes of the State of North Carolina:

- a. *N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidential arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and*
- b. *N.C.G.S. §143-318.11(a)(3), to consult with and receive advice from counsel; and*
- c. *N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, prospective public officer or employee.*

Ms. Katziff excused all attendees except the Committee members, Chancellor Gaber, Ms. Bradley, Ms. Burgess, Ms. Dadio, Mr. Dunham, Mr. Holton, Mr. Humphrey, Dr. Lorden, Dr. Reinken, Ms. Sears, Ms. Stracener, and Ms. Walker.

### **Closed Session**

*Closed session minutes are filed in the office of the  
Assistant Secretary to the Board of Trustees.*

**Open Session**

Approval of the Resolution of the Audit, Compliance, and Enterprise Risk Management Committee – Support for Mandatory Title IX Training at UNC Charlotte. Chancellor Gaber requested the Committee's support to pursue mandatory Title IX education for the university's students, faculty, and staff. The Committee voted unanimously to approve a resolution of support of mandatory Title IX education, and will receive reports on the University's progress toward implementation of the training at future meetings.

Motion to Adjourn. Ms. Katziff requested a motion to adjourn.

Action. On a motion by Mr. Sanchez, seconded by Dr. Weintraub, the meeting was adjourned.

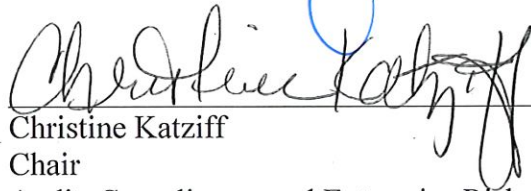
*Submitted:*

  
\_\_\_\_\_  
Jesh Humphrey  
Vice Chancellor for Institutional Integrity and  
General Counsel

4/13/22

Date

*Approved:*

  
\_\_\_\_\_  
Christine Katziff  
Chair  
Audit, Compliance, and Enterprise Risk  
Management Committee

4/13/22

Date





**The University of North Carolina at Charlotte  
Board of Trustees**

**Executive Committee Meeting**  
Wednesday, February 16, 2022 9:35–10:35 a.m.  
*Popp Martin Student Union, Room A-F*

**Open Session Minutes**

Present

Susan DeVore, Chair  
Dick Beekman  
Dennis Bunker III  
Christine Katziff  
Brett Keeter  
Fred Klein Jr.  
David Mildenberg  
Carlos Sanchez  
Teross Young Jr.

Staff/Guests in Attendance

Sharon Gaber, Chancellor  
Brad Bowen, Digital Media Communications – Video Support  
Kim Bradley, Chief of Staff and Assistant Secretary to the Board of Trustees  
Anna Clark, Executive Assistant to the Chief of Staff  
Betty Doster, Special Assistant to the Chancellor for Constituent Relations  
Harrison Hieb – Digital Media Communications – Video Support  
Mark Holton, Board of Governors Liaison  
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel  
Melva Jenkins, Administrative Liaison for University Governance  
Larry Kelley, Vice Chancellor for Business Affairs  
Madelayne Meza Leon, AISLE – Off-Site Zoom Support  
Joan Lorden, Provost and Vice Chancellor for Academic Affairs  
Stephen Snipes, Assistant Director, Technical Services  
Natasha Stracener, AISLE – On-Site Zoom Support  
Jeremiah Williams, Student

Call to Order. Ms. DeVore called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify her immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.

Approval of Minutes. Ms. DeVore requested action on the December 15, 2021, Executive Committee meeting minutes as distributed.

Action. On a motion by Mr. Bunker, seconded by Ms. Katziff, the Committee unanimously approved the December 15, 2021, meeting minutes.

Approval of Plan to Establish the Steven Ott Distinguished Professor in Real Estate. Provost Lorden presented the plan to establish the Steven Ott Distinguished Professor in Real Estate, created to honor Steven Ott. Provost Lorden stated that upon approval, the University will be able to seek state matching funds. She further explained that the hiring process can begin but will be limited in terms of the supplements available to provide to a successful candidate for the position.

Ms. DeVore requested a motion to approve the plan to establish the Steven Ott Distinguished Professor in Real Estate.

Action. On a motion by Mr. Bunker, seconded by Mr. Sanchez, the Committee unanimously approved the plan to establish the Steven Ott Distinguished Professor in Real Estate.

Nominating Process. Ms. DeVore reported that, in preparation for the Board officer elections in July, Trustees Katziff, Bunker, and Klein agreed to serve on the nominating committee once again. She stated that Trustee Katziff will convene the committee in the coming months.

Motion to Convene in Closed Session. Ms. DeVore requested a motion to convene in closed session.

Action. On a motion by Mr. Bunker, seconded by Dr. Weintraub, the Committee convened in closed session pursuant to the following General Statutes of the State of North Carolina:

- a. *Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and*
- b. *prevent the disclosure of information that is privileged or confidential pursuant to the law of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes pursuant to N.C.G.S. §143-318.11 (a)(1);*
- c. *to prevent the premature disclosure of an honorary degree, scholarship, prize, or similar award pursuant to N.C.G.S. §143-318.11 (a)(2);*
- d. *establish, or to instruct the public body's staff concerning the amount of compensation and other material terms of an employment contract or proposed employment contract pursuant to N.C.G.S. §143-318.11 (a)(5).*

### **Closed Session**

*Closed session minutes are filed in the office of the assistance secretary to the Board of Trustees.*



**Open Session**

Adjourn. There being no other business, Ms. DeVore adjourned the meeting at 10:02 a.m.

Submitted: Kim S. Bradley 4/13/22  
Kim S. Bradley Date  
Assistant Secretary to the Board  
UNC Charlotte Board of Trustees

Approved: Susan D. DeVore 4-13-22  
Susan D. DeVore Date  
Chair  
UNC Charlotte Board of Trustees



**The University of North Carolina at Charlotte  
Board of Trustees**

**Academic and Student Affairs Committee Meeting**

Wednesday, February 16, 2022, 10:40-11:40 a.m.

Popp Martin Student Union, Room 261

**Minutes**

Present

Brett Keeter, Chair  
Carlos Sanchez, Vice Chair  
Wendy Grubbs  
David Mildenberg  
Dontá Wilson

Staff in Attendance

Kevin Bailey, Vice Chancellor for Student Affairs  
Betty Doster, Special Assistant to the Chancellor for Constituent Relations  
Shari Dunn, Senior Executive Assistant to the Chancellor  
Richard Gwyn, VA Work Study and PAVE Team Student Lead  
Mark Holton, UNC Board of Governors  
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel  
Daniel Janies, Carol Grotnes Belk Distinguished Professor of Bioinformatics and Genomics  
Joan Lorden, Provost and Vice Chancellor for Academic Affairs  
Adam Reitzel, Professor, Department of Biological Sciences  
Rick Tankersley, Vice Chancellor for Research and Economic Development  
Tyler Thomas, VA Certifying Official and PAVE Veteran Services Coordinator  
Bill Wilson, Director of Veteran Services  
Leslie Zenk, Assistant Provost

Call to Order. Mr. Keeter called the meeting to order and reminded members of the Committee of their duty to avoid conflicts of interest and appearances of conflicts under the State Government Ethics Act in accordance with Chapter 138A of the North Carolina General Statutes and advised the Trustees to make him aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the Committee at any time during the meeting. No conflicts of interest were reported.

Approval of Minutes. Mr. Keeter requested action on the minutes of the December 15, 2021 Academic and Student Affairs Committee meeting.

Action. On a motion by Mr. Sanchez, seconded by Mr. Wilson, the Committee unanimously approved the December 15, 2021 meeting minutes.



Resolution Regarding Residence Status of Students Receiving Full Scholarships. Provost Lorden explained that state law permits UNC constituent institutions to treat nonresident students who receive full tuition scholarships as North Carolina residents. Under Section 900.1[G] of The UNC Policy Manual, the entities providing the scholarship dollars must be specifically recognized by the Board of Trustees, or by the Chancellor or a designee of the Chancellor under delegated authority from the Board, in order for the scholarships to qualify under the statute. Provost Lorden indicated that the proposed resolution authorizes Chancellor Gaber or her designee to officially recognize entities in accordance with UNC System policy, with the understanding that the University will provide an annual summary to the Academic and Student Affairs Committee showing a list of recognized entities and the number of students receiving full scholarships from each entity or combination of entities.

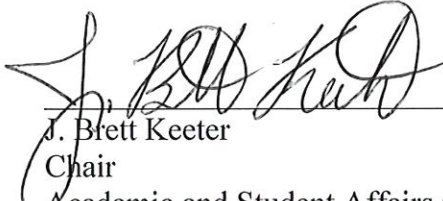
Action. On a motion by Mr. Sanchez, seconded by Mr. Wilson, the Committee unanimously approved the resolution.

Veteran Services. The Director of Veteran Services, Bill Wilson, was joined by a student veteran as well as the team's lead for PAVE (the newly launched Peer Advisors for Veteran Education) to provide an overview of veteran services including the Veterans Administration benefits process, the financial impact of these programs on both the student and the institution (which exceeds 25,000,000 annually), and the impact of COVID on the veteran student population.

Pandemic-Related Research and Bioinformatics Research Center. Faculty and students of the Bioinformatics Research Center, recently renamed Computational Intelligence to Predict Health and Environmental Risks (CIPHER), apply genomics and computing technologies to microbiology, biological and human diversity, and health. Dr. Janies and Dr. Reitzel discussed current research projects that focus on infectious diseases including emerging viruses and durable problems such as: malaria, the global spread of antibiotic resistance, food safety, and failing ecosystem health. They discussed new missions in CIPHER, student success, and hiring a cluster of four new faculty members who are experts in viral discovery, evolution and zoonosis, microbes and environmental health, and epidemiology.

Adjourn. There being no further business before the Committee, Mr. Keeter adjourned the meeting.

Submitted:  4/13/2022  
Joan F. Lorden Date  
Provost and Vice Chancellor for Academic Affairs

Approved:  4/13/2022  
J. Brett Keeter Date  
Chair  
Academic and Student Affairs Committee



**The University of North Carolina Charlotte  
Board of Trustees**

**Athletics Committee Meeting**  
Wednesday, February 16, 2022  
*Popp Martin Student Union, Room 265*

Present

Teross Young Jr., Chair  
Dick Beekman  
Sharon Decker  
Sasha Weintraub

Staff in Attendance

Anna Clark, Executive Assistant to the Chief of Staff  
Mike Hill, Director of Athletics  
Kevin Langan, Head Men's Soccer Coach  
Kailey Meyers, Graduate Assistant, Office of the Chancellor  
Allison Roach, Athletic Executive Assistant  
Jeremiah Williams, Student

Call to Order. Mr. Young called the meeting to order and reminded the committee members of their obligation under the State Government Ethics Act as stated in Chapter 138A of the N.C. General Statutes. No conflicts of interest were reported.

Approval of Minutes. Mr. Young requested action on the December 15, 2021, Athletics Committee meeting minutes.

Action. On a motion by Dr. Weintraub, seconded by Mr. Beekman, the Committee unanimously approved the December 15, 2021, Athletics Committee meeting minutes.

Men's Soccer Preview. Coach Langan reviewed the 2021 season and reported that four players were invited to the combine. All four are expected to sign with the MLS. Coach Langan also noted the team tied for second in the league for Sport Academic Award winners and two student-athletes won National Awards.

Athletic Director Update. Mr. Hill reviewed the current records of men's and women's basketball, men's and women's tennis, softball and baseball. Mr. Hill discussed the impact of Old Dominion, Western Kentucky and Marshall departing Conference USA in June of 2022.



Mr. Hill reviewed the College Football Playoffs study and votes and explained why it will remain the same until the end of the contract in 2025.

AAC Ramp Up/Annual Giving Campaign. Ms. Weatherman reviewed the strategy for “The Rush Is On” annual giving campaign set to kick off in March. She reported that the campaign’s goals are to raise \$2,022,000 by June 30 (\$500 thousand more than last fiscal year) and secure 2,022 gifts from donors (500 more than last fiscal year). Ms. Weatherman also highlighted major gifts received to date.

Academic Report. Mr. Verburg highlighted the academic success of the Charlotte 49ers to include: exceeding the university GPA, 62% students making the Conference USA Athletic Directors List, 14.8% students with 4.0 GPAs, and multiple Conference USA and national academic awards.

Other Business. None

Adjournment. There being no further business, Mr. Young adjourned the meeting.

Submitted:

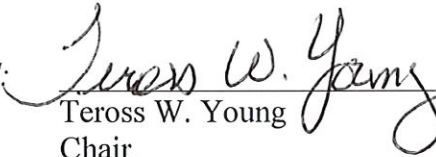


Mike Hill  
Director of Athletics

4.13.22

Date

Approved:



Teross W. Young  
Chair

4-13-2022

Date



**The University of North Carolina at Charlotte  
Board of Trustees**

**Finance and Physical Properties Committee Meeting**

Wednesday, February 16, 2022  
*Popp Martin Student Union, Room I*

**Open Session Minutes**

Present

Fred Klein, Chair  
David Mildenberg  
Dennis Bunker  
Christine Katziff

Staff/Guests in Attendance

Rich Amon, Vice Chancellor Business Affairs  
Sharon L. Gaber, Chancellor  
Kim Bradley, Chief of Staff  
Anne Brown, Associate Vice Chancellor for Finance  
Katharina Findlater, Senior Executive Assistant  
Kathryn Horne, Director of Planning, Design and Construction  
Richard LaLiberte, Real Estate Manager  
Rich Steele, Interim Associate Vice Chancellor for Facilities Management  
Nelda Tatum, Board and Committee Specialist

Call to Order. Mr. Klein called the meeting to order and reminded committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the committee members to notify him immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interests were reported.

Approval of the Minutes. Mr. Klein requested approval of the December 15, 2021, minutes of the Finance and Physical Properties Committee (FPPC).

Action: On a motion by Ms. Katziff, seconded by Mr. Bunker, the Committee unanimously approved the minutes for the December 15, 2021, FPPC meeting.



Systems Performance Funding Model. Mr. Amon stated that it is an honor to be with UNC Charlotte. He then highlighted general principles of the current and proposed system funding models. He reported that the current model has been in place for many years and funds changes in enrollment through student credit hours with higher funding for higher cost programs. The proposed model also has an enrollment funding component, which also uses student credit hours to calculate changes to enrollment. In addition to enrollment, the new model will also incorporate a performance component associated with five performance metrics aligned with the system strategic plan. Additional information about the model will be forthcoming from the system.

University Budget Update. Mr. Amon gave a general overview of the University budget as of the end of the calendar year (December 31). He presented a report showing the general fund budget as well as athletic and auxiliary operations. He reported that tuition and fees are coming in on schedule and appropriations will be received in the second half of the fiscal year. Mr. Amon also reported that salary and benefits are under budget and there are no concerns with expenditures or revenues at this point in the year.

Request to Dispose of Real Estate by Ground-Lease-Student Outdoor Event Venue and Tailgating Park. Mr. Steele stated this request will facilitate a Student Outdoor Event Venue and Tailgating Park. He informed the Committee that the University will lease to the UNC Charlotte Foundation approximately five acres of an outdoor area of vacant land located on the CRI Campus adjacent to CRI parking Lot 3 and the Motorsports Research building for this purpose, with a project cost of \$2.00 (\$1 per year for two years). Mr. Steele advised that the Foundation will design and construct this project.

Mr. Steel further reported that the project includes a tailgating park, pavilion with stage, restroom facility, utility infrastructure, power and lighting to support tailgating and a recreational field. He shared that this project will be constructed using funds from a \$10M anonymous gift. Mr. Steele stated that the plan is to have the project open for the football season this fall and the venue will be used for many functions for students, faculty and staff year-round.

Action: On a motion by Mr. Bunker, seconded by Ms. Katziff, the Committee unanimously recommended that the Board approve the disposition of Real Estate by Ground-Lease to the UNC Charlotte Foundation at a cost of \$1.00 per year for two years and approve the acquisition of the Real Estate leasehold improvements by gift Deed.

Request for Ratification of Designer Selection – Campus Security Cameras – Phase 1. Mr. Steele stated that the Campus Security Cameras Phase 1 project has been authorized by the Board of Trustees and the Board of Governors. He reported that this project will add security cameras to the parking decks, lots and other outdoor areas across campus to improve safety and security of the campus community. He explained that the work will include electrical and data equipment, construction of data rooms where needed and installation of cameras.

Action: On a motion by Mr. Bunker, seconded by Mr. Mildenberg, the Committee unanimously approved the ratification of the selected designer, Quality Consulting



Engineers, PLLC, and first and second alternates, Optima Engineering, McKim & Creed, Inc., respectively for the Campus Security Camera Phase 1 project.

Request for Ratification of Capital Project Designer Selection – Parking Deck Repairs. Mr. Steele stated that this project was authorized by the Board of Trustees and the Board of Governors. He explained that annual repairs are required for the parking decks to maintain safety, functionality and preserve the physical assets.

Action: On a motion by Mr. Bunker, seconded by Ms. Katziff, the Committee unanimously approved the ratification of the selected designer, THP limited, Inc., and first and second alternates, DESMAN, Inc., and WGI, Inc., respectively for the Parking Deck Repairs project.

Request for Ratification of Capital Project Designer Selection – Lot 25 Renewal. Mr. Steele stated that this project also has been approved by the Board of Trustees and the Board of Governors. He reported that Lot 25 will require complete renewal and landscaping.

Action: On a motion by Ms. Katziff, seconded by Mr. Bunker the Committee unanimously approved the ratification of the selected designer, REI, and first and second alternates, Thomas Hutton and Armstrong Glen, respectively for the Lot 25 Renewal project.

Capital Improvement Projects Update. Mr. Steele provided an update on the following capital improvement projects:

*Science Building*

The Science Building is in full use with the exception of a few research labs.

*Fretwell Cafe*

The renovation to create the Thoughtful Cup Cafe is complete and has been touted as an exceptional transformation and collaborative effort between Dining and CLAS.

*Remembrance Memorial*


The Remembrance Memorial is nearing completion of the design and groundbreaking is expected this spring.


*Residence Hall Phase XVI*

Phase XVI is scheduled to open in fall 2023 with a sufficient buffer to accommodate construction or materials delivery delays.

Adjourn

With no further business the meeting was adjourned at 11:09 am.

*Submitted:*  4/13/22  
Rich Amon Date  
Vice Chancellor for Business Affairs

*Approved:*  4/13/22  
Fred Klein Date  
Chair, Finance and Physical Properties Committee





UNIVERSITY OF NORTH CAROLINA  
**CHARLOTTE**

**The University of North Carolina at Charlotte  
Board of Trustees**

Wednesday, February 16, 2022  
*Popp Martin Student Union, Room A-F*

**Open Session Minutes**

Present

Susan DeVore, Chair  
Dennis Bunker  
Dick Beekman  
Sharon Decker  
Wendy Grubbs  
Christine Katziff  
J. Brett Keeter  
Fred Klein Jr.  
David Mildenberg  
Carlos Sanchez  
Sasha Weintraub  
Dontá Wilson  
Teross Young Jr.

Staff/Guests in Attendance

Sharon Gaber, Chancellor  
Rich Amon, Vice Chancellor for Business Affairs  
Kevin Bailey, Vice Chancellor for Student Affairs  
Jeffrey Baker, Chief, UNC Charlotte Police  
John Bogdan, Associate Vice Chancellor for Safety and Security  
Brad Bowen, Digital Media Communications – Video Support  
Kim Bradley, Chief of Staff and Assistant Secretary to the Board of Trustees  
Anna Clark, Executive Assistant to the Chief of Staff  
Celeste Corpening, Staff Council Chair  
Betty Doster, Special Assistant to the Chancellor for Constituent Relations  
Shari Dunn, Senior Executive Assistant to the Chancellor  
Susan Harden, Faculty Council President  
Harrison Hieb, Digital Media Communications, Video Support  
Mike Hill, Director of Athletics  
Mark Holton, Board of Governors Liaison  
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel  
Melva Jenkins, Administrative Liaison for University Governance  
Joan Lorden, Vice Chancellor for Academic Affairs  
David Maxwell, AGB Consultant  
Marcus Pena, AISLE – Off-site Zoom Support  
Stephen Snipes, Assistant Director, Technical Services

Niles Sorensen, Vice Chancellor for Advancement  
Natasha Stracener, AISLE – On-Site Zoom Support  
Jen Ames Stuart, Associate Vice Chancellor for University Communications  
Rick Tankersley, Vice Chancellor for Research and Economic Development  
Jeremiah Williams, Student  
Brandon Wolfe, Associate Vice Chancellor for Diversity and Inclusion and Chief Diversity Officer

Call to Order. Ms. DeVore called the meeting to order and reminded members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify her immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.

Introductions and Announcements. Mr. DeVore welcomed Trustees and guests, and then shared several announcements and upcoming events.

Approval of Previous Meeting Minutes. Ms. DeVore requested action on the minutes of the December 15, 2021, meeting.

Action. On a motion by Mr. Bunker, seconded by Dr. Weintraub, the Board unanimously approved the minutes of the December 16, 2021, meeting as distributed.

Remarks by the Board of Governors Liaison. Governor Holton commended the “platinum standard” dedication of the Board of Trustees. He shared his experience talking to students and faculty members and reflected that he walked away with “nothing but good vibes”. He commended the University for its student success, as reflected by the awarding of nearly 9000 degrees during a challenging 2021, and achieving success on meeting every metric.

Next, Governor Holton provided an update on the work of the System Office and Board of Governors, beginning with the revision of the funding formula. He asserted that the campuses’ comments and observations to the Committee and Board are being heard. Governor Holton also reported that the System Office strategic plan refresh process is beginning, indicating that the new plan will have student success at the forefront.

He then reported on the importance of the Governance Committee's continued focus on free speech on campus. He also reported on the System Office’s collaboration with the Federal Bureau of Investigation on a two-day symposium, dealing with research integrity and campus security. He complimented Chief Baker's participation in the symposium.

Chancellor’s Report. Chancellor Gaber first updated the Board on the status of campus operations through the recent omicron variant surge, reporting a campus positivity rate of 1.1% after resuming in-person classes on January 24 and mandating re-entry testing for unvaccinated students and employees returning to campus. She reported that weekly surveillance testing and wastewater surge testing is still required for those who are not fully vaccinated. She also advised that the University will offer flu shot clinics and continue to ask for students and employees to complete flu shot attestations as an additional action to keep our campus healthy.



Chancellor Gaber then announced the arrival of Malcolm Butler, the new dean of the Cato College of Education on January 31, 2022.

Next, Chancellor Gaber reported on three recent gifts totaling \$5.8 million to create three endowed professorships for the University: the Spangler Distinguished Professor of Early Child Literacy in the Cato College of Education, the Steven Ott Distinguished Professor in Real Estate in Belk College of Business, and the Dowd Professorship in Capitalism Studies.

Chancellor Gaber also reported on a \$10 million gift from an anonymous donor to fund the construction of a three-acre outdoor complex on UNC Charlotte's campus to provide year-round opportunities for informal and organized student gatherings, including tailgating. She stated that the Student Outdoor Event Venue and Tailgate Park is scheduled to open in time for the fall 2022 football season. She reflected on how the facility will help sustain momentum for becoming a nationally recognized institution as the Charlotte 49ers prepare to join the American Athletic Conference.

She then reported the record high \$58 million in research awards, an increase of nearly 12% from the previous year and 44% from 2016. Chancellor Gaber indicated that the expansion of Charlotte's research enterprise is one component of the University's comprehensive strategic plan.

Next, Chancellor Gaber reported that the University continues to make progress in gaining national recognition for its excellent academic programs. She added that UNC Charlotte is now ranked 10<sup>th</sup> in the nation by U.S. News and World Report for its online bachelor's programs and 14<sup>th</sup> for the master's of education programs, a testament to UNC Charlotte's progress as a national leader in this critical area of focus.

Chancellor Gaber continued by reporting that UNC Charlotte has once again been named among "America's Best Mid-Sized Employers" by Forbes. She shared that Charlotte is the 8<sup>th</sup> highest ranked university and the only higher education institution in North Carolina in this category.

Chancellor Gaber next reported that the University hosted a meeting of the Charlotte Executive Leadership Council (CELC) at the Dubois Center on February 6, at which special guests NC House Speaker Tim Moore and Senate President Phil Berger provided a legislative update and heard the CELC's priorities. Chancellor Gaber also reported that Ms. Doster and she were invited to meet with some of the leaders of the Mayor's Racial Equity Task Force in response to a letter sent by the Board of Trustees. She reported that they had a productive meeting, during which the leaders acknowledged the strong impact that UNC Charlotte is having on upward mobility, the outstanding diversity of the student body and success in the graduation rates of black and brown students. Chancellor Gaber was also invited to serve on the Task Force's Oversight Board, which will set the path for three initiatives, including Eliminating the Digital Divide, Investing in Corridors of Opportunity, and Catalyzing the Employer Commitment.

Next, Chancellor Gaber updated the Board on the status of the Provost Search, led by co-chairs, Deans Catrine Tudor-Locke and Rob Keynton. She reported that the position description was developed and posted in November, and that the first round of interviews were being conducted later in the week. She indicated that the intention is to announce the chosen candidate in March and have the new provost in place by the start of the next academic year.

Lastly, Chancellor Gaber provided an update on the following salary actions:



- December – Paid out bonuses authorized by the legislature. All employees received a \$1,000 bonus, with employees with annual salaries under \$75,000 and all law enforcement officers receiving an additional \$500 bonus.
- January – Paid the 2.5% legislative salary increase, retroactive back to July 1.
- February – Paid merit increases to eligible EHRA faculty and non-faculty staff (Merit pool was based on 2.34%; no employee could receive more than 5%).
- March – Give market increases to selected SHRA staff to address compression and equity issues.
- Plan another round of market increases for SHRA employees in December

Campus Security and Safety. Mr. Bogdan and Chief Baker provided an overview of campus safety and security for the University. Mr. Bogdan provided an overview of the department's units, including recent accomplishments for EHS and OEM. Chief Baker then shared the accomplishments of the Police Department, as well as some recent data about crime statistics on campus.

Report of the University Faculty Council. Dr. Harden acknowledged and thanked the Board, the Chancellor, and the System Office for the collaborative navigation of the pandemic. She noted the faculty's acknowledgement and support of the strategic plan, giving special attention to the mission statement, which she characterized as profoundly academic. She expressed the concern of the faculty about the impact of the new funding model on master's programs. Dr. Harden then stressed that adequately funding our academic enterprise, through both public funding and private fundraising, should be the highest priority. She stated that academic investments in scholarship, research, endowments pay multi-generational dividends in economic mobility and workforce development and are winning, long-term investments. Dr. Harden concluded with illustrating how the compensation gap between faculty in higher education and similarly educated and experienced industry colleagues can result in faculty being recruited by industry.

Report of the University Staff Council. Ms. Corpening reported on the staff council's partnerships and training opportunities on campus. She then shared the topics of discussion from the last Staff Assembly meeting and reported the launch of the System engagement survey in April 2022. Ms. Corpening encouraged the nomination of campus employees for three staff recognition awards supported by Staff Assembly, including the Erksine B Bowles Staff Service Award, the Thomas Ross Award, and the Janet B. Royster Scholarship. Ms. Corpening next reported on the speakers who have addressed the Staff Council. Lastly, she reported on activities of the various committees of the Staff Council, as they resume regular activities post-pandemic.

Report of the Student Government Association. Mr. Beekman began by expressing appreciation for the newly announced student tailgate area. He reported that the SGA has been focusing on returning to normal campus operations. He has been formally communicating with the Faculty Council regarding accommodations to support student success, including flexible deadlines, flexible attendance policies, and allowing classroom lecture recordings. He further stated that the support of the Faculty Council, Administration, and Department chairs speaks to the commitment to shared governance at UNC Charlotte. Mr. Beekman also reported on recent structural student government changes. Mr. Beekman reported that UNC Charlotte will host the March UNC Association ASG meeting. Charlotte will be the first institution to host since the pandemic and it will be an opportunity to showcase the shared governance at UNC Charlotte. Mr. Beekman next reported that spring elections for 28 college-based Senate seats, 3 class presidents, and the student body president and vice president seats will be March



15-16, with winners announced on March 17. He concluded with his appreciation for the opportunity to serve as a member of the Board of Trustees.

Report of the Executive Committee. Ms. DeVore reported that the Committee unanimously approved the Steven Ott Distinguished Professor for Real Estate. She then reported that Trustee Katziff has agreed to once again chair the Nominating Committee and Trustees Bunker and Klein have also agreed to once again serve on the committee. Ms. Katziff will outline the nomination process at the April meeting. Ms. DeVore also reported that the Executive Committee approved two time sensitive matters by email that arose after the last meeting. On January 4, 2022, the Committee approved proposed revisions to the Procedures for Resolving Faculty Grievances (arising under Section 607(3) of The Code of the University of North Carolina) and on January 28, 2022, the Committee approved merit increases for Tier 1 employees.

Report of the Audit, Compliance, and Enterprise Risk Management Committee. Ms. Katziff reported that Mr. Zach Rogers, Financial Audit Manager for the Office of State Auditor reported that the Fiscal Year 2021 financial statement audit for UNC Charlotte was complete and received an unmodified (clean) opinion with no findings. Next, she reported that Mr. Verret informed the Committee that the FY21 financial statement audits for the University's Foundation, Athletic Foundation, the Facilities Development Corporation, and UNC Charlotte Investment Fund, Inc. were completed by external auditors, and that all audits received were unmodified (clean) with no findings. Ms. Katziff then shared that Mr. Spease reported that the independent accountant's review of revenues and expenses in accordance with NCAA's agreed-upon procedures guidelines had no major findings. Ms. Katziff then reported that the Committee received an update on two internal audit reports with no high-risk findings, as well as an update on the status of staffing and turnover within the Internal Audit team. Ms. Katziff next reported that the Committee received an Enterprise Risk Management report focused on the University's auxiliary risk. Lastly, Ms. Katziff reported that the Committee unanimously approved a resolution in support of mandatory Title IX education for students, faculty, and staff.

Report of the Academic and Student Affairs Committee. Mr. Keeter reported that the Committee were provided an overview from the Veteran Services Office of the veteran services on campus, and the financial impact of these programs on both the student and the institution, as well as the impact of the pandemic on the veteran student population at UNC Charlotte. Mr. Keeter also reported that the Committee received a presentation from the Bioinformatics Research Center (BRC), which discussed current research projects, new missions in Pandemic-Related Research in the BRC, hiring a cluster of new faculty, and student success, which has all been greatly enhanced by the \$9M grant approved by the legislature last year.

Mr. Keeter then reported that the Committee voted unanimously to recommend full Board approval of a resolution regarding residence status of students receiving full scholarships. He explained that state law permits UNC universities to treat nonresident students who receive full tuition scholarships as residents for tuition purposes. Per UNC BOG policy, the entities providing the scholarships must be recognized by the Board of Trustees, or by the Chancellor or a designee of the Chancellor under delegated authority from the Board, in order for the scholarships to qualify under the statute. The resolution

Ms. DeVore requested action on the Committee's motion for approval of the Resolution Regarding Residence Status of Students Receiving Full Scholarships.



Action. The Board unanimously approved the Resolution Regarding Residence Status of Students Receiving Full Scholarships.

Report of the Athletics Committee. Mr. Young reported that the Committee received updates from the men's soccer head coach, as well as from Mr. Hill, the Director of Athletics on a number of other sports. Mr. Young next reported that the Committee received an update on the conference move for which the timeline has yet to be confirmed. Mr. Young also reported that the Committee received a detailed update on the NCAA convention, where the constitution passed as discussed in prior meetings. Mr. Young reported that the Committee received a detailed update on athletic giving, and upcoming events and goals for the Athletic Foundation in support of student-athletes. Lastly, the Committee received updates on the classroom performance and graduation rates of student-athletes from the Athletic Academic Center.

Report of the Finance and Physical Properties Committee. Mr. Klein reported that the Committee approved ratifications of three selected capital project designers for campus security cameras, parking deck repairs, and lot 25 renewal. He reported that the Committee also received updates on the University budget, capital improvement projects, and proposed changes to the university system's funding model.

**Request to Dispose of Real Estate by Ground-Lease – Outdoor Event Venue and Tailgating Park.**

Mr. Klein provided a summary of the details of the request and stated that the Committee recommends that the Board approve: 1) the disposition of real estate by ground-lease to UNC Charlotte Foundation at a cost of \$1 per year for two years; 2) the acquisition of the real estate leasehold improvements by gift deed.

Ms. DeVore requested action on the Committee's motion for approval of 1) the disposition of real estate by ground-lease to UNC Charlotte Foundation at a cost of \$1 per year for two years; 2) the acquisition of the real estate leasehold improvements by gift deed.

Action. The Board unanimously approved the 1) the disposition of real estate by ground-lease to UNC Charlotte Foundation at a cost of \$1 per year for two years; 2) the acquisition of the real estate leasehold improvements by gift deed.

Motion to Convene in Closed Session. Ms. DeVore called for a motion to convene in closed session, welcoming Cabinet members to stay.

Action. On a motion by Mr. Bunker, seconded by Mr. Keeter, the Board convened in closed session pursuant to the following General Statutes of the State of North Carolina:

- a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;
- b. to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee pursuant to N.C.G.S §143-318.11 (a)(6);
- c. to prevent the premature disclosure of an honorary degree, scholarship, prize, or similar award pursuant to N.C.G.S. §143-318.11 (a)(2).

**Closed Session**

*Closed session minutes are filed in the office of the Assistant Secretary to the Board of Trustees.*

**Open Session**

Board Self-Assessment. Ms. DeVore invited Dr. Maxwell to share the results of the Board’s self-assessment, including the written survey answers, and individual interview responses. Dr. Maxwell provided a thorough report of his findings and summarized the major takeaways for the Board to consider and plan future action to implement desired changes. The Board members then engaged in a discussion about the findings of the self-assessment.

Adjourn. There being no further business to come before the Board, the meeting was adjourned at 3:33 p.m.

*Submitted:* Kim S. Bradley 4/13/22  
Kim S. Bradley Date  
Assistant Secretary to the Board  
UNC Charlotte Board of Trustees

*Approved:* Susan D. DeVore 4-13-22  
Susan D. DeVore Date  
Chair  
UNC Charlotte Board of Trustees