



**The University of North Carolina at Charlotte
Board of Trustees**

Audit, Compliance, and Enterprise Risk Management Committee Meeting

Wednesday, April 13, 2022, 9:00–10:00 a.m.

Popp Martin Student Union, Third Floor, Room A-F

[View YouTube Public Livestream](#)

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 9:00 a.m.
Christine Katziff, Chair

2. Approval of the February 16, 2022, Meeting Minutes 9:01 a.m.
Christine Katziff, Chair
Documents: [February 16, 2022, Meeting Minutes—Open Session](#)
February 16, 2022, Meeting Minutes—Closed Session

3. Internal Audit Update 9:02 a.m.
Jennifer Walker, Chief Audit Officer
Documents: [FY22 Audit Plan Update](#)
[Summary of Internal Audit Reports Issued](#)
[Internal Audit Findings Exception Report](#)
[Proposed FY23 Audit Plan](#)
[Proposed FY23 Internal Audit Budget](#)

4. Enterprise Risk Management (ERM) Update 9:17 a.m.
Steven Dunham, Chief Risk Officer
Document: [Enterprise Risk Management \(ERM\) Update](#)

5. Motion to Convene in Closed Session 9:32 a.m.
Christine Katziff, Chair
 - a. *Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not*

considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;

- b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3);*
- c. to consider the qualifications, competence, performance, or condition of appointment of an employee pursuant to N.C.G.S. §143-318.11(a)(6); and*
- d. to prevent the disclosure of sensitive public security information, as defined in N.C.G.S. §132-1.7(a).*

Closed Session

- 6. Division of Institutional Integrity Organizational Update 9:32 a.m.
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
- 7. Report on Litigation and Administrative Case Updates 9:42 a.m.
Sam Sears, Associate Vice Chancellor for Legal Affairs and Deputy General Counsel
- 8. Internal Audit Executive Session 9:47 a.m.
Jennifer Walker, Chief Audit Officer
- 9. Motion to Resume in Open Session 9:57 a.m.
Christine Katziff, Chair

Open Session

- 10. Other Business 9:58 a.m.
Christine Katziff, Chair
- 11. Adjourn 10:00 a.m.
Christine Katziff, Chair

Members

Christine Katziff, Chair
Carlos Sanchez, Vice Chair
Dennis Bunker III
Sasha Weintraub
Susan DeVore, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Executive Committee Meeting

Wednesday, April 13, 2022 10:05 – 11:05 a.m.

Popp Martin Student Union, 340 A-F

[View YouTube Public Livestream](#)

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Susan Devore, Chair
2. Approval of Previous Meeting Minutes
Susan Devore, Chair
Documents: [February 16, 2022, Meeting Minutes - Open Session](#)
February 16, 2022, Meeting Minutes - Closed Session
3. Nominating Committee
Christine Katziff, Chair
4. Reappointment, Promotion and Tenure Policy
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Documents: Memo - Proposed Revisions to University Policy 102.13
University Policy 102.13, Tenure Policies, Regulations, and
Procedures of the University of North Carolina at Charlotte – redline
University Policy 102.13, Tenure Policies, Regulations, and
Procedures of the University of North Carolina at Charlotte – clean
5. Annual Human Resources Compliance Report
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Documents: Annual Human Resources Compliance Report
Additional Compensation for Professional Services to the University
UNC System Office Faculty Salary Ranges-UNC Charlotte Campus Response,
August 31, 2021

6. Motion to Convene in Closed Session

Susan Devore, Chair

- a. Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and
- b. prevent the disclosure of information that is privileged or confidential pursuant to the law of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes pursuant to N.C.G.S. §143-318.11 (a)(1);
- c. to establish, or to instruct the public body's staff concerning the amount of compensation and other material terms of an employment contract or proposed employment contract pursuant to N.C.G.S. §143-318.11 (a)(5);

Closed Session

7. Quarterly Report of Faculty Actions and Salary Adjustments

Joan Lorden, Provost and Vice Chancellor for Academic Affairs

Documents: Quarterly Report of Faculty Actions

Quarterly Report of Faculty Salary Adjustments

8. Faculty Tenure Recommendations

Joan Lorden, Provost and Vice Chancellor for Academic Affairs

Document: Promotion and Tenure – New Appointments with Tenure – 2021-22

9. Annual Concurrent Employment Report

Joan Lorden, Provost and Vice Chancellor for Academic Affairs

Documents: University Policy 101.4

Concurrent Employment of Related Persons Report

10. Quarterly Report of EHRA Non-Faculty Actions and Salary Adjustments

Rich Amon, Vice Chancellor for Business Affairs

Documents: Quarterly Report of EHRA Non-Faculty Actions

Quarterly Report of EHRA Non-Faculty Salary Adjustments

11. Reappointment to the Board of Trustees of the Endowment Fund

Rich Amon, Vice Chancellor for Business Affairs

Documents: BOTEF 2022 Reappointment

Biography for Reappointment

BOTEF 2022 Members List

12. Petition Regarding Political Activity

Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel

Document: UNC General Administration Petition Regarding Political Activity

13. Athletics Employment Agreement Amendment
Sharon Gaber, Chancellor
Document: Athletics Employment Agreement Amendment Summary
14. Motion to Resume in Open Session
Susan Devore, Chair

Open Session

15. Action on Faculty Tenure Recommendations
Susan DeVore, Chair
16. Action on Reappointment to the Board of Trustees of the Endowment Fund
Susan DeVore, Chair
17. Action on Petition Regarding Political Activity
Susan DeVore, Chair
18. Action on Athletics Employment Agreement
Susan DeVore, Chair
19. Other Business
Susan Devore, Chair
20. Adjourn
Susan Devore, Chair

Executive Committee Members

Susan DeVore, Chair
Dick Beekman
Dennis Bunker III
Christine Katziff
Brett Keeter
Fred W. Klein Jr.
Carlos Sanchez
Teross W. Young Jr.



**The University of North Carolina at Charlotte
Board of Trustees**

Academic and Student Affairs Committee Meeting
Wednesday, April 13, 2022, 11:10 a.m.- 12:10 p.m.
Popp Martin Student Union, Room 261

[View YouTube Public Livestream](#)

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Brett Keeter, Chair
2. Approval of the February 16, 2022, Meeting Minutes
Brett Keeter, Chair
Document: [February 16, 2022, Meeting Minutes](#)
3. School of Professional Studies
Joan F. Lorden, Provost and Vice Chancellor for Academic Affairs
Asher Haines, Associate Provost, School of Professional Studies
Document: [UNC Charlotte School of Professional Studies](#)
4. Associated Entity Status for UNC Charlotte Student Legal Services, Inc.
Kevin W. Bailey, Vice Chancellor for Student Affairs
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel Document:
[Approval of Student Legal Services as Associated Entity Resolution of the Board
of Trustees of the University of North Carolina at Charlotte
Student Legal Services](#)
5. Other Business
Brett Keeter, Chair
6. Adjourn
Brett Keeter, Chair

Members

Brett Keeter, Chair

Carlos Sanchez, Vice Chair

Wendy Grubbs

Dontá Wilson

Susan DeVore, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Athletics Committee Meeting

Wednesday, April 13, 2022, 11:10 a.m. -12:10 p.m.
Popp Martin Student Union, Room 265

[View YouTube Public Livestream](#)

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Teross Young Jr., Chair
2. Approval of the February 16, 2022, Meeting Minutes
Teross Young Jr., Chair
Document: [February 16, 2022, Meeting Minutes](#)
3. Athletic Department Update
Mike Hill, Director of Athletics
4. Sports Performance – Nutrition and Mental Health Enhancements
Chris Thomasson, Executive Associate Athletic Director
5. Other Business
Teross Young Jr., Chair
6. Adjourn
Teross Young Jr., Chair

Members

Teross W. Young Jr., Chair
Sharon Decker, Vice Chair
Dick Beekman
Sasha Weintraub
Susan DeVore, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Finance and Physical Properties Committee Meeting

Wednesday, April 13, 2022, 11:10 a.m.– 12:10 p.m.

Popp Martin Student Union, Room A-F

Agenda

[View YouTube Public Livestream](#)

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 11:10 a.m.
Fred W. Klein, Chair

2. Approval of Meeting Minutes 11:12 a.m.
Fred W. Klein, Chair
Document: [February 16, 2022, Meeting Minutes](#)

3. Financial Dashboard 11:15 a.m.
Rich Amon, Vice Chancellor for Business Affairs
Document: [Financial Dashboard](#)

4. UNC Charlotte Budget Update 11:20 a.m.
Rich Amon, Vice Chancellor for Business Affairs
Ken Smith, Associate Vice Chancellor for Budget
Document: [UNC Charlotte Comparative Financial Information: Operating Budget](#)

5. All Funds Budget 11:25 a.m.
Rich Amon, Vice Chancellor for Business Affairs
Document: [All Funds Budget](#)

6. Request for Authorization for Capital Project 11:30 a.m.
Rich Amon, Vice Chancellor for Business Affairs
Rich Steele, Associate Vice Chancellor for Facilities Management
Document: [Stormwater Master Plan Implementation Phase 1](#)

7. Request for Authorization for a Capital Project 11:35 a.m.
Rich Amon, Vice Chancellor for Business Affairs
Rich Steele, Associate Vice Chancellor for Facilities Management
Document: [Energy Projects Phase III](#)

8. Request for Authorization for a Capital Project 11:40 a.m.
Rich Amon, Vice Chancellor for Business Affairs
Rich Steele, Associate Vice Chancellor for Facilities Management
Documents: [Softball Locker Rooms and Offices](#)

9. Request for Approval of Capital Project Designer Selections Rich Amon, Vice Chancellor for Business Affairs 11:45 a.m.
Rich Steele, Associate Vice Chancellor for Facilities Management
Documents: [Open End Professional Services](#)

10. Capital Improvement Projects Update 11:50 a.m.
Rich Amon, Vice Chancellor for Business Affairs
Rich Steele, Associate Vice Chancellor for Facilities Management
Document: [Capital Improvement Projects Update](#)

11. Parking Ordinance and Rates 2022/2023 11:55 a.m.
Rich Amon, Vice Chancellor for Business Affairs
Rich Steele, Associate Vice Chancellor for Facilities Management
Document: [Parking Ordinance and Rates for 2022/2023](#)
[Proposal to Change Parking Ordinance and Rates 2022/2023](#)
[Ordinance Governing Parking, Traffic and Registration](#)
[of Motor Vehicles](#)
[Appendix Parking Schedule of Fees](#)

12. Adjournment 12:10 p.m.
Fred W. Klein, Chair

Members

Fred W. Klein, Chair
David Miltenberg, Vice Chair
Dennis Bunker
Christine Katziff
Susan DeVore, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Wednesday, April 13, 2022
Popp Martin Student Union, 340 A-F

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Schedule

9:00–10:00 a.m.	Audit, Compliance, and Enterprise Risk Management (ACERM) Committee Meeting <i>Popp Martin Student Union, 340 A-F</i>
10:05–11:05 a.m.	Executive Committee Meeting <i>Popp Martin Student Union, 340 A-F</i>
11:10 a.m. –12:10 p.m.	Academic and Student Affairs (ASA) Committee Meeting <i>Popp Martin Student Union, Room 261</i>
11:10 a.m. –12:10 p.m.	Athletics Committee Meeting <i>Popp Martin Student Union, Room 265</i>
11:10 a.m. –12:10 p.m.	Finance and Physical Properties Committee (FPPC) Meeting <i>Popp Martin Student Union, 340 A-F</i>
12:15 – 1:15 p.m.	Lunch with UNC Charlotte Foundation Board <i>Popp Martin Student Union, 340 HI</i>
1:30 – 4:30 p.m.	Board Will Convene in the Committee of the Whole <i>Popp Martin Student Union, 340 A-F</i>

Board of Trustees Agenda

The Board Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Susan DeVore, Chair

2. Announcements
Susan DeVore, Chair
3. Approval of Previous Meeting Minutes
Susan DeVore, Chair
Documents: [February 16, 2022, Meeting Minutes - Open Session](#)
February 16, 2022, Meeting Minutes - Closed Session
4. Recognition of Outgoing Student Body President and Trustee
Susan DeVore, Chair
Dick Beekman, Outgoing Student Body President and Trustee
5. Swearing-In of Incoming Student Body President and Trustee
Susan DeVore, Chair
Tatiyana Larson, Incoming Student Body President and Trustee
Anna Clark, Assistant to Chief of Staff, Notary Public, Officer of the Chancellor
6. Remarks by the Board of Governors Liaison
Mark Holton, Board of Governors Liaison
7. Chancellor's Report
Sharon Gaber, Chancellor
8. Proposed Changes to Board Structure
Susan DeVore, Chair
Document: [Recommended Changes to Board of Trustees Structure](#)
9. Academic Affairs: Accreditation Overview
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
John Smail, Associate Provost for Undergraduate Education and Dean of University College
Document: [Accreditation, General Education, and the Quality Enhancement Plan](#)
10. Report of the University Staff Council
Celeste Corpening, Staff Council Chair
Document: [Staff Council Report](#)
11. Report of the Student Government Association
Tatiyana Larson, Student Body President and Trustee
Document: [Student Government Association Updates](#)
12. Report of the University Faculty Council
Susan Harden, Faculty Council President
Document: [Faculty Council Report](#)
13. Report of the Executive Committee
Susan DeVore, Chair

14. Report of the Audit, Compliance, and Enterprise Risk Management Committee
Christine Katziff, Chair
15. Report of the Academic and Student Affairs Committee
Brett Keeter, Chair
16. Report of the Athletics Committee
Teross Young Jr., Chair
17. Report of the Finance and Physical Properties Committee
Fred Klein, Chair
18. All Funds Budget
Rich Amon, Vice Chancellor for Business Affairs
Document: All Funds Budget
19. Motion to Convene in Closed Session
Susan DeVore, Chair
 - a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;
 - b. to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee pursuant to N.C.G.S §143-318.11 (a)(6);

Closed Session

20. Report of the Audit, Compliance, and Enterprise Risk Management Committee
Christine Katziff, Chair
21. Report of the Executive Committee
Susan DeVore, Chair
22. Motion to Resume in Open Session
Susan DeVore, Chair

Open Session

23. Other Business
Susan DeVore, Chair
24. Adjourn
Susan DeVore, Chair

Members of the Board of Trustees

Susan D. DeVore, Chair

Dick J. Beekman

Dennis N. Bunker III

Sharon Allred Decker

Wendy J. Grubbs

Christine P. Katziff

J. Brett Keeter

Fred W. Klein Jr.

David W. Mildenberg

Carlos E. Sanchez

Sasha J. Weintraub

Dontá L. Wilson

Teross W. Young Jr.