



**The University of North Carolina at Charlotte  
Board of Trustees**

**Audit, Compliance, and Enterprise Risk Management Committee**  
Wednesday, April 18, 2018, 8:00 a.m.  
Popp Martin Student Union, Multipurpose Room I

**Meeting Minutes**

Present

Laura A. Schulte, Chair  
Mary Ann Rouse  
Michael L. Wilson  
Joe L. Price, *ex officio*

Absent

Teross W. Young Jr.

Staff/Guests in Attendance

Philip L. Dubois, Chancellor  
Kim Bradley, Chief of Staff and Assistant Secretary to the Board of Trustees  
Susan Burgess, Chief Compliance Officer (*via conference call*)  
Mike Carlin, Vice Chancellor for Information Technology/Chief Information Officer  
Jesse Beauman, Assistant Vice Chancellor for Enterprise Infrastructure  
Beth Hardin, Vice Chancellor for Business Affairs  
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel  
Joan Lorden, Provost and Vice Chancellor for Academic Affairs  
Greg Verret, Director of Treasury Services  
Jennifer Walker, Chief Audit Officer  
Tina Dadio, University Public Records Officer/Legal Specialist

**Open Session**

Call to Order. Ms. Schulte called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify her immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts were reported.

Approval of Minutes. Ms. Schulte requested action on the February 15, 2018, Audit, Compliance, and Enterprise Risk Management Committee meeting minutes.

Action. On a motion by Mr. Wilson, seconded by Mr. Price, the Committee approved the February 15, 2018, Audit, Compliance, and Enterprise Risk Management Committee minutes.

Report on IRS Form 990 (Tax Year 2017) for Affiliated Entities. Ms. Schulte welcomed Greg Verret, Director of Treasury Services for the University. Mr. Verret stated that there were no issues to report and that there is no requirement for the Board to take action regarding these forms, beyond reviewing the forms. Mr. Verret stated that the forms will be filed by the May 15, 2018, IRS deadline.

Information Security Actions Taken. Mr. Carlin presented recent information security actions taken by the University. Mr. Carlin indicated that in January 2018 the Board of Governors directed the UNC System and UNC constituent institutions to establish an information security program and to designate a senior officer responsible for information security. Mr. Carlin was selected as the University's responsible officer. Mr. Carlin presented the components of the information security program, including risk assessment and managing risk, policy changes, and security challenges on campus. Mr. Carlin noted that the focus for fiscal year 2019 is two high-risk areas: malicious cyber attacks and unmanaged devices. Mr. Carlin also indicated the possibility of collaboration with UNC Chapel Hill, Duke University, and Davidson College.

Internal Audit Update. Ms. Walker reported that Julie Earls, staff auditor, recently resigned and the position has been upgraded to an advanced level with a title of Internal Audit Manager. Ms. Walker updated the Committee on the annual audit plan for fiscal year 2019, summarized the Internal Audit Reports issued since the last Committee meeting, and reviewed the Internal Audit Findings Exception Report. Ms. Walker presented the proposed 2019 Internal Audit Plan and potential Key Performance Indicators. Ms. Schulte requested a motion to approve the plan as presented.

Action. On a motion by Ms. Rouse, seconded by Mr. Wilson, the Committee approved the Internal Audit Plan for Fiscal Year 2018-2019.

Environmental Health and Safety Office Remediation Update. Ms. Hardin provided an update on the ongoing Environmental Health and Safety Office (EHSO) remediation. Ms. Hardin discussed the remaining open Internal Audit recommendations and actions taken to date. Ms. Hardin also updated the Committee on the status of elements of the Chancellor's guidance memos dated December 8, 2017, and February 14, 2018. Ms. Hardin stated that challenges still remaining include the evacuation plans, building signage, and the recruitment and training of safety monitors for more than 90 buildings. Ms. Hardin stated that EHSO is two months into the recruitment process. Ms. Hardin also noted that EHSO received funding for and is creating eight new positions. Ms. Hardin stated that the Committee will receive updates on this matter at each meeting until all findings are remediated.

Compliance Update. Ms. Burgess joined the meeting via conference call to discuss her Summary of Activities for fiscal year 2018 and the 2019 Proposed Plan of Work. Ms. Burgess will continue to monitor the regulatory environment for emerging compliance risk areas and changes to current regulatory obligations. Ms. Burgess will continue to work with Mr. Humphrey on the recruitment of a Chief Risk Officer and the development and implementation of an Enterprise Risk Management (ERM) program. Ms. Burgess informed the Committee that Mr. Humphrey, Ms. Walker, and she

will attend a training session presented by the Public Risk Management Association on the development and implementation of an institutional ERM program.

Action. On a motion by Ms. Rouse, seconded by Mr. Price, the Committee approved the Proposed Plan of Work for fiscal year 2019.

Motion to Convene in Closed Session. Ms. Schulte called for a motion to convene in closed session.

Action. On a motion by Mr. Price, seconded by Ms. Rouse, the Board convened in closed session pursuant to the following General Statutes of the State of North Carolina:

- a. *N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidential arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and*
- b. *N.C.G.S. §143-318.11(a)(3), to consult with and receive advice from counsel; and*
- c. *N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, prospective public officer or employee.*

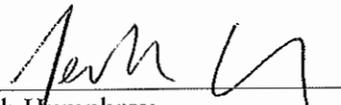
Ms. Schulte excused all attendees except the Committee members, Chancellor Dubois, Ms. Bradley, Ms. Dadio, Ms. Hardin, Mr. Humphrey, Ms. Lorden, Ms. Reinken, and Ms. Walker.

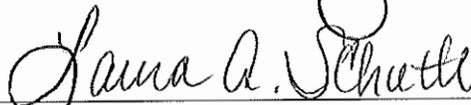
#### Closed Session

*Closed session minutes are filed in the office of the Assistant Secretary to the Board of Trustees.*

#### Open Session

Adjourn. There being no other business before the Committee, Ms. Schulte adjourned the meeting.

Submitted:  10/12/18  
Jesh Humphrey Date  
Vice Chancellor for Institutional Integrity and  
General Counsel

Approved:  10/2/18  
Laura A. Schulte Date  
Chair, Audit, Compliance, and  
Enterprise Risk Management Committee



# UNC CHARLOTTE

## The University of North Carolina at Charlotte Board of Trustees

**Executive Committee Meeting**  
Wednesday, April 18, 2018, 9:30 a.m.  
Student Union, Multipurpose Room F

### **Meeting Minutes**

#### Present

Joe L. Price, Chair  
Theresa J. Drew  
Dhiala Jamil  
Mary Ann Rouse  
Laura A. Schulte  
Michael L. Wilson

#### Absent

Sharon A. Decker  
Susan D. DeVore

#### Staff/Guests in Attendance

Philip L. Dubois, Chancellor  
Kim Bradley, Chief of Staff and Assistant Secretary to the Board of Trustees  
Beth Hardin, Vice Chancellor for Business Affairs  
Jesh Humphrey, Vice Chancellor and General Counsel  
Ryan Johnson, American Council on Education Fellow  
Joan Lorden, Provost and Vice Chancellor for Academic Affairs  
Jennifer Ward, Liaison to the Board of Trustees and the Chancellor's Cabinet

### **Open Session**

Call to Order. Mr. Price called the meeting to order and reminded the Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify him immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.

Approval of Minutes. Mr. Price requested action on the Executive Committee's February 15 and March 19, 2018, open and closed session meeting minutes.

Action. On a motion by Mr. Wilson, seconded by Ms. Schulte, the Committee approved the February 15 and March 19, 2018, Executive Committee minutes.

Statements of Economic Interest. Mr. Humphrey explained the requirement for Trustees to complete annual Statements of Economic Interest and he reviewed the North Carolina Ethics Commission's evaluation of the Trustees' submissions. Mr. Humphrey noted that Ms. Rouse's statement was not included on the list and stated that he would verify the status of her statement.

Faculty and Staff Representation on the Board of Trustees. Chancellor Dubois discussed his recommendation that the UNC Charlotte Faculty Council President and Staff Council Chair both be invited to sit at the Trustees' table during full Board meetings as a means of honoring the contributions they make to University governance. The Chancellor stated that the individuals will not have voting rights, which would require the North Carolina legislature's approval, but that the Councils' representation on the Board would further the University's collaborative leadership culture.

Governance Authorities Discussion. Chancellor Dubois discussed a recent University of North Carolina System request that each constituent institution make recommendations for governance authorities that could be given to the individual Chancellors and Board of Trustees. Chancellor Dubois stated that he plans to recommend changes such as improving the University's ability to offer appropriate salaries to highly compensated faculty and staff and raising the cap on Chancellor and Board approval of capital project expenditures.

Motion to Convene in Closed Session. Mr. Price requested a motion to convene in closed session.

Action. On a motion by Mr. Wilson, seconded by Mr. Jamil, the Committee convened in closed session pursuant to the following General Statutes of the State of North Carolina:

- a. *N.C.G.S. §143-318.11 (a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee; and*
- b. *N.C.G.S. §143-318.11(a)(2), to prevent the premature disclosure of an award.*

*Closed session minutes are filed in the office of the assistant secretary to the Board of Trustees.*

### **Open Session**

Action on Faculty Tenure Actions. Mr. Price requested a motion to approve the faculty tenure actions as presented in closed session.

Action. On a motion by Ms. Rouse, seconded by Ms. Drew, the Committee voted to approve the faculty tenure actions as presented.

Action on Reappointments to the Board of Trustees of the Endowment Fund. Mr. Price requested a motion to approve the reappointments to the Board of Trustees of the Endowment Fund as discussed in closed session.

Action. On a motion by Ms. Schulte, seconded by Mr. Jamil, the Committee approved the reappointments to the Board of Trustees of the Endowment Fund as discussed.

Action on Petition Regarding Political Activity. Mr. Price requested a motion to approve the Petition Regarding Political Activity as discussed in closed session.

Action. On a motion by Mr. Wilson, seconded by Ms. Schulte, the Committee approved the Petition Regarding Political Activity as discussed in closed session.

Action on Athletics Employment Agreement. Mr. Price requested a motion to approve the Director of Athletics' employment agreement as discussed in closed session.

Action. On a motion by Mr. Wilson, seconded by Mr. Jamil, the Committee approved the Director of Athletics' employment agreement as discussed in closed session.

Adjourn. There being no further business before the Committee, Mr. Price adjourned the meeting.

Submitted: Kim S. Bradley 10/2/18  
Date  
Assistant Secretary to the Board  
UNC Charlotte Board of Trustees

Approved: Joe L. Price 2-05-18  
Date  
Chair  
UNC Charlotte Board of Trustees



# UNC CHARLOTTE

The University of North Carolina at Charlotte  
Board of Trustees

Academic and Student Affairs Committee Meeting  
Wednesday, April 18, 2018, 10:30 a.m.  
Popp Martin Student Union, Multipurpose Room F

## Meeting Minutes

### Present

Michael L. Wilson, Chair  
Sharon A. Decker  
J. Brett Keeter  
Mary Ann Rouse

### Absent

Sharon A. Decker

### Staff in Attendance

Kevin Bailey, Vice Chancellor for Student Affairs  
Jose Gamez, Associate Professor and Associate Director, Architecture and Urban Design  
Chris Jarrett, Professor and Director, School of Architecture  
Richard Leeman, Faculty Council President  
Joan F. Lorden, Provost and Vice Chancellor for Academic Affairs  
Mike Mazzola, Director, Energy Production and Infrastructure Center (EPIC)  
Robert Wilhelm, Vice Chancellor for Research and Economic Development  
Leslie Zenk, Associate Provost, Academic Affairs

## Open Session

Call to Order. Mr. Wilson called the meeting to order at 10:40 a.m. and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify him immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.

Approval of Minutes. Mr. Wilson requested action on the minutes of the February 15, 2018, Academic and Student Affairs Committee meeting.

Action. On a motion by Mr. Keeter, seconded by Ms. Rouse, the Committee approved the February 15, 2018, Academic and Student Affairs Committee meeting minutes.

Request for Exemption from Credit Hour Limitation. Dr. Lorden introduced Mr. Jarrett and Dr. Gamez from the School of Architecture, who discussed a request to exempt the Bachelor of Arts in Architecture from a new credit hour limitation. Mr. Jarrett and Dr. Gamez stated that in January 2018 the UNC Board of Governors approved changes to the Fostering Undergraduate Student Success policy, including a new requirement that undergraduate degrees not exceed 120 credit hours unless approved by the campus’s Board of Trustees. Dr. Lorden stated that the Office of Academic Affairs requests an exemption for the B.A. in Architecture, a 128-credit program consistent with the requirements of the National Architectural Accrediting Board, the accrediting body for the School of Architecture.

Action. On a motion by Ms. Decker, seconded by Ms. Rouse, the Committee approved the request for exemption from the credit hour limitation for the Bachelor of Arts in Architecture.

Research Spotlight. Dr. Wilhelm introduced Dr. Mazzola, Duke Energy Distinguished Professor of Electrical and Computer Engineering and director of EPIC, to share a presentation titled “The Day of Reckoning with Solar Power: What Did We Learn from the 2017 Solar Eclipse that is Shaping Future Research at EPIC?” Dr. Mazzola discussed the implications of the August 21, 2017, total solar eclipse on solar-generated electricity and energy use during the event, including how the lessons learned from the event will shape future research at EPIC.

Student Affairs and the Student Experience. Dr. Bailey discussed elements of the realignment of the Division of Student Affairs and short-term goals related to enhancing the student experience. Dr. Bailey stated that the reorganization included moving oversight of fraternities and sororities from the Dean of Students Office to the Office of Student Activities, combining all diversity-related units, aligning units related to student health and wellness, partnering with off-campus apartment complexes, and improving services for on-campus residents. Dr. Bailey also discussed potential future initiatives to improve student success.

The Academic and Student Affairs Committee recognized Dr. Wilhelm during his last meeting with the Committee before he departs UNC Charlotte for a new role at the University of Nebraska.

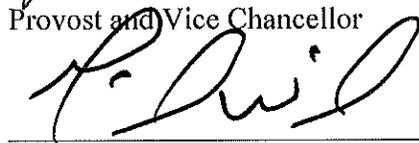
Adjourn. There being no further business before the Committee, Mr. Wilson adjourned the meeting.

Submitted:

  
Joan F. Lorden  
Provost and Vice Chancellor

10/2/2018  
Date

Approved:

  
Michael Wilson  
Chair, Academic and Student Affairs Committee

10. 02. 18  
Date



# UNC CHARLOTTE

The University of North Carolina at Charlotte  
Board of Trustees

**Athletics Committee Meeting**  
Wednesday, April 18, 2018, 10:30 a.m.  
Harris Alumni Center, Tate Hall

## Meeting Minutes

### Present

Tracey B. Allsbrook  
Susan D. DeVore  
Laura A. Schulte  
Joe L. Price, *ex officio*

### Staff in Attendance

Kim Bradley, Chief of Staff  
Scott Byrd, Assistant Athletic Director for Compliance and Championships  
Anna Clark, Executive Assistant to the Chief of Staff  
Mike Hill, Director of Athletics  
Mike Hummer, Executive Director, Athletic Foundation  
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel  
Ryan Johnson, American Council on Education Fellow  
Allison Roach, Executive Assistant  
Darin Spease, Deputy Athletic Director  
Jennifer Walker, Chief Audit Officer  
Kim Whitestone, Executive Associate Athletic Director

## Open Session

Call to Order. Ms. DeVore called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify her immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.

Athletics Budget Annual Report. Mr. Spease reviewed the Athletics budget and stated that student enrollment is one concern moving forward because student fees have remained level. Mr. Price commented on the men's soccer budget. Mr. Spease explained line items across the conference comparisons.

NCAA Compliance Report. Mr. Byrd reviewed UNC Charlotte's violation report and reviewed

the education, monitoring, and enforcement of institutional control.

Athletics Department Update. Mr. Hill thanked the Athletics Staff and the Committee members for their warm welcome into the Niner Nation. Mr. Hill commented on the NCAA Tournament, Commissioners' Academic Medals, SAAC, the CUSA Softball Tournament, and the national athletics landscape.

Athletics Committee Annual Report Schedule. Ms. DeVore stated that no changes have been made to the Committee's report schedule but that the schedule will be update for 2018-2019.

Adjournment. There being no further business, Ms. DeVore adjourned the meeting.

*Submitted:*  10.2.18  
Mike Hill Date  
Director of Athletics

*Approved:*  10-2-18  
Susan D. DeVore Date  
Chair, Academic and Student Affairs Committee



# UNC CHARLOTTE

The University of North Carolina at Charlotte  
Board of Trustees

**Facilities and Physical Properties Committee Meeting**  
Wednesday, April 18, 2018  
Popp Martin Student Union Room I

## Meeting Minutes

### Present

Theresa J. Drew, Chair  
Dennis N. Bunker III  
Dhiaa Jamil  
Fred W. Klein

### Staff/Guests in Attendance

Philip Dubois, Chancellor  
Beth Hardin, Vice Chancellor for Business Affairs  
Phil Jones, Associate Vice Chancellor for Facilities Management  
Kathryn Horne, Director of University Space Management and Utilization  
Keith Wassum, Associate Vice Chancellor for Business Services  
Douglas Lape, Director for Parking and Transportation Services  
Chris Bates, Assistant Vice Chancellor for Administration  
Nelda Tatum, Boards and Committees Specialist, Business Affairs  
Jeffrey Jensen, Senior Associate General Counsel  
Betty Doster, Special Assistant to the Chancellor for Constituent Relations

## Open Session

Call to Order. Ms. Drew called the meeting to order and reminded the Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify her immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interests were reported.

Approval of the Minutes. Ms. Drew requested action on the February 15, 2018, Facilities and Physical Properties Committee minutes,

Action. On a motion by Mr. Bunker, seconded by Mr. Klein, the Committee unanimously approved the February 15, 2018, Facilities and Physical Properties Committee meeting minutes.

Request for Authorization of Three Capital Projects.

*Intercollegiate Swimming Upfit* –Mr. Jones stated that the University is establishing an intercollegiate women’s swim team to begin competition in 2021. Mr. Jones stated that to comply with NCAA regulations, the Belk Gym pool modifications will be made, including the addition of a bulkhead to meet length and starting platform requirements, and the pool will be used for practice and NCAA swim meets. Mr. Jones stated that the budget for the Belk Gym pool project is \$3.5 million and will be funded by excess football fees.

Action. On a motion by Mr. Klein, seconded by Mr. Bunker, the Committee unanimously recommended that the board authorize the University to undertake a capital improvement project, Intercollegiate Swimming Upfit, with a budget of \$3,500,000.

*Miltimore-Wallis Roof Replacement* – Mr. Jones stated the roof on Miltimore-Wallis, an athletic training and academic center constructed in 2003, has reached the end of its useful life and must be replaced.

Action. On a motion by Mr. Jamil, seconded by Mr. Bunker, the Committee unanimously recommended that the Board authorize the University to undertake the capital improvement project, Miltimore-Wallis Roof Replacement, with a budget of \$400,000.

*Bioinformatics Fourth Floor Build-Out* – Mr. Jones noted that when the Bioinformatics Building was built in 2007 funds were not available to complete the fourth floor and as such it has remained a shell space. The build-out is approximately 24,000 gross square feet.

Consultant LS3P conducted a feasibility study and engaged faculty across campus in identifying options to support the growing research enterprise on campus. Based on that input, this new space will include both computational and experimental research labs, lab support space, faculty offices, and space for graduate research assistants.

Action. On a motion by Mr. Jamil, seconded by Mr. Bunker, the Committee unanimously recommended that the Board authorize the University to undertake the capital improvement project, Bioinformatics Fourth Floor Build-Out, with a budget of \$11,500,000.

Requests for Increase in Capital Project Authorizations.

*Scott Hall Renovation* – Mr. Jones stated that the Scott Hall renovation project uncovered additional construction concerns, and that the concrete sunshades need to be replaced and concrete spalling has resulted in exposed rebar. Additional funds of \$700,000 are requested.

Mr. Jones stated that HVAC, mechanical and electrical systems renovations have begun for Scott Hall, which was built in 1969, and new windows and all ADA modifications will be included.

Action. On a motion by Mr. Bunker, seconded by Mr. Jamil, the Committee unanimously recommended that the board approve an increase in the authorization for the Scott Hall Renovation project from \$22,500,000 to \$23,200,000.

*Parking Lot 8 Expansion* – Mr. Jones stated that Parking Lot 8 expansion, which will increase the lot by 89 or 90 spaces, is necessary because of the addition of the Admissions and Visitor Center to the South Village area and an increased student population. Mr. Jones stated that the parking lot entrance will be adjusted to accommodate the Admissions and Visitors Center. Mr. Jones stated that the original authorization was \$475,000, and that an additional \$475,000 is requested.

Action. On a motion by Mr. Jamil, seconded by Mr. Bunker, the Committee unanimously recommended that the Board approve an increase in the authorization for Parking Lot 8 Expansion from \$475,000 to \$900,000.

*Campus Circulation Improvements* – Mr. Jones stated that in February 2016 the Board approved an authorization of \$1,000,000 for Campus Circulation Improvements, which will provide site improvements to accommodate increased traffic and changed pedestrian and vehicular patterns associated with the Charlotte Area Transit System (CATS) light rail extension. Mr. Jones stated an additional \$400,000 is requested to complete additional work on Cameron Boulevard at the main station, the installation of a speed table, and a pedestrian traffic signal. Sidewalks will also be included to improve access to the northeast area of campus.

Action. On a motion by Mr. Bunker, seconded by Mr. Jamil, the Committee unanimously recommended that the Board approve an increase in the authorization for the Campus Circulation Improvements project from \$1,000,000 to \$1,400,000.

Request for Approval Ratification of Capital Project Designer Selections. Mr. Jones stated that the open-end professional service agreement process allows the University to preselect a group of architects, engineers, and consultants for professional services needed in the future. Once selected, the firms can be called at short notice by the University to fulfill design needs on informal projects, small capital projects, and studies up to \$500,000.

This year University staff are requesting that the existing Professional Service Agreements be extended for one additional year through FY2019 because of the amount of staff time required to make these selections, as well as the time and expense required of the submitting firms. Mr. Jones stated that revising the selections every year is not cost effective.

Action. On a motion by Mr. Bunker, seconded by Mr. Jamil, the Committee unanimously ratified a one-year extension of the list of firms for the Open End Professional Service Agreement for Fiscal Year 2018-2019.

Approval of Parking System Rates/Regulations. Ms. Hardin stated that parking rates on campus have not increased in four years. Ms. Hardin stated that parking is not subsidized by state funds, and all parking costs are covered by fees that are used to build and maintain parking decks, provide parking systems hardware and software, and pay for administrative staff.

Ms. Hardin stated that a modest, 3 percent increase in parking rates is requested and will assist with funding several projects already underway:

- Parking systems hardware and software replacement
- Union deck expansion
- Facilities Operations/ Parking and Transportation Services (PaTS) office complex

The Committee members discussed possible future scenarios for parking permit increases. Ms. Hardin noted that PaTS is looking at many options going forward.

Action. On a motion by Mr. Klein, seconded by Mr. Bunker, the Committee unanimously approved the proposed changes in the ordinance governing parking, traffic, and the registration of motor vehicles for the University.

Approval of Campus Transportation Policies Manual for UNC Charlotte. Keith Wassum, Associate Vice Chancellor for Business Services, and Douglas Lape, Director of PaTS, stated that PaTS has developed a Campus Transportation Policies Manual that will provide the campus community with documented policies and procedures directly related to campus transportation services. Mr. Wassum and Mr. Lape stated that the detailed policies manual outlines all modes of transportation used or available on campus. Mr. Wassum stated that a few of the policies directly related to transportation were included in the parking ordinance document in the past because it was the best place for them at the time.

Action. On a motion by Mr. Jamil, seconded by Mr. Bunker, the Committee unanimously approved the Campus Transportation Policies Manual for UNC Charlotte as stated.

Request to Dispose of Real Estate by Gift. Mr. Jones stated the UNC Charlotte Foundation plans to build a full service Marriott-branded hotel/conference center adjacent to the CATS light rail station at the intersection of J.W. Clay Blvd. and Robert D. Snyder Rd. Mr. Jones stated that the Foundation requested a “no cost” land conveyance of seven-tenths of an acre (.7 acres) of adjacent State land, and that the approximately 100 ft. x 300 ft. (.7acres) cannot be use for future campus development because of its limited size. Mr. Jones stated that conveyance at “no cost” is in the best interest of the University and state.

Mr. Jensen, senior associate general counsel, stated that the UNC Board of Governors and the Council of State must approve the land transfer

Action. On a motion by Mr. Klein, seconded by Mr. Jamil, the Committee unanimously recommended that the Board authorize the University to dispose of seven-tenths of an acres (.7acres) of remnant real property by gift to the UNC Charlotte Foundation at “no cost.”

Capital Project Update. The capital projects update was included in the Committee’s boardbook but not directly discussed during the Committee meeting.

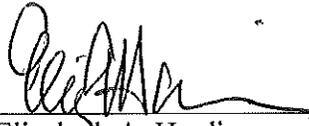
Other Business. Ms. Hardin shared that Mr. Jones would be retiring at the end of August after a seventeen-year career at the University. Ms. Hardin noted that Mr. Jones completed a 29-year career as a U.S. Army engineer officer before joining the University staff.

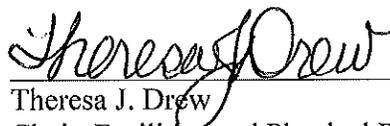
Ms. Hardin stated that, during Mr. Jones' tenure with the University, capital construction has been the most extensive in UNC Charlotte's history and has included the creation of the Charlotte Research Institute campus and the reshaping of the campus master plan. Ms. Hardin noted that under Mr. Jones' direction UNC Charlotte's capital construction exceeded \$500 million and included the addition of more than twenty-five major facilities.

Ms. Hardin also stated that under Mr. Jones' leadership, UNC Charlotte received the APPA award, the highest award in higher education facilities management, and at the 37<sup>th</sup> Annual NC State Construction Conference Mr. Jones received the Frank B. Turner Award, which recognizes a state employee who has made an outstanding professional contribution to the built environment, as exemplified by the award's namesake.

The committee thanked Mr. Jones for his productive and successful contributions to the University.

Adjourn. There being no further business, the meeting was adjourned.

Submitted:  10/02/2018  
Elizabeth A. Hardin Date  
Vice Chancellor for Business Affairs

Approved:  10/2/18  
Theresa J. Drew Date  
Chair, Facilities and Physical Properties Committee



# UNC CHARLOTTE

## The University of North Carolina at Charlotte Board of Trustees

Wednesday, April 18, 2018, 11:45 a.m.  
Popp Martin Student Union, Multipurpose Room ABDE and GH

### Meeting Minutes

#### Present

Joe L. Price, Chair  
Tracey B. Allsbrook  
Dennis N. Bunker III  
Sharon A. Decker  
J. Brett Keeter  
Fred W. Klein  
Niayai L. Lavien  
Mary Ann Rouse  
Laura A. Schulte  
Michael L. Wilson  
Teross W. Young

#### Absent

Susan D. DeVore  
Dhiaa Jamil  
Theresa J. Drew

#### Staff and Guests in Attendance

Philip L. Dubois, Chancellor  
Jesh Humphrey, Vice Chancellor and General Counsel  
Kevin Bailey, Vice Chancellor for Student Affairs  
Rob Bryan, Board of Governors Liaison  
Susan Burgess, Director of University Compliance  
Kris Caudle, Higher Education Legal Fellow  
Anna Clark, Executive Assistant to the Chief of Staff  
Betty Doster, Special Assistant to the Chancellor for Constituent Relations  
Beth Hardin, Vice Chancellor for Business Affairs  
Melva Jenkins, Administrative Support Associate, Chancellor's Office  
Ryan Johnson, American Council on Education Fellow  
Richard Leeman, Faculty Council President  
Joan Lorden, Provost and Vice Chancellor for Academic Affairs  
Karen Popp, Chair, UNC Charlotte Foundation Board

Brenda Shue, Executive Assistant to the Chancellor  
Niles Sorensen, Vice Chancellor for University Advancement  
Penny Stevens, Staff Council Chair  
Jennifer Ward, Liaison to the Board of Trustees and the Chancellor's Cabinet  
Stephen Ward, Executive Director, University Communications

Call to Order. Mr. Price called the meeting to order and reminded members of the Board of their duty to avoid conflicts of interest and appearances of conflicts under the State Government Ethics Act in accordance with Chapter 138A of the North Carolina General Statutes and advised the Trustees to make him aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the Board at any time during this meeting. No conflicts of interest were reported.

Introductions and Announcements. Mr. Price reminded the Trustees about several upcoming events, including an April 27 reception for Judy Rose, a May 3 reception for honorary degree award recipient Ophelia Garmon-Brown, and the May 7 Distinguished Service Award Luncheon honoring Cathy Bessant.

Introduction and Swearing-In of New Student Body President. Ms. Allsbrook introduced the new Student Government Association President, Niayai L. Lavien. Mr. Price called on Ms. Clark, who officially swore in Ms. Lavien as a member of the Board of Trustees.

The Board and guests continued the meeting in Multipurpose Rooms GH for a planned joint lunch with members of the Foundation Board. Mr. Price thanked the Foundation Board members for joining the Trustees for lunch, and he welcomed Board of Governors liaison Rob Bryan.

Chancellor's Remarks. Chancellor Dubois thanked the board members for their service to UNC Charlotte. The Chancellor discussed the opening of the CATS Light Rail Blue Line. The Chancellor also discussed the retirement of Judy Rose and the hiring of Mike Hill as the new Director of Athletics. The Chancellor introduced Mr. Hill and Head Men's Basketball Coach Ron Sanchez.

The Chancellor discussed several current campus construction projects, including the new University Recreation Center, the expanded Union Parking Deck, and the renovated Belk Plaza. The Chancellor stated that University staff have begun to discuss the 2021-2026 Capital Plan and have identified several major projects, including the renovation of the Cone Center and the Burson Building, the completion of the new Science Building, and renovations in Atkins Library.

Chancellor Dubois stated that Dr. Lorden and her staff have developed a Civic Action Plan for the University, the goal of which is a more focused effort to address issues associated with the documented lack of economic mobility within the Charlotte region.

The Chancellor discussed several senior administrative positions that will need to be filled in the fall.

Remarks by Board of Trustees Liaison. Mr. Price invited Mr. Bryan to address the Trustees and the Foundation Board members. Mr. Bryan provided a "top ten" list of ways in which the Trustees and Foundation Board members can maximize their engagement, including knowing the

University's areas of growth and other points of interest, advocating for UNC Charlotte, focusing on the big picture, and offering diverse thoughts and opinions.

Remarks from the UNC Charlotte Foundation Board Chair. Ms. Popp thanked Mr. Bryan for his comments. Ms. Popp stated that in her 12 years on the Board of Trustees and subsequently the Foundation Board, she has taken pride in the University's tremendous growth. Ms. Popp thanked the board members for their service and noted the number of alumni that currently sit on UNC Charlotte's boards. Ms. Popp noted the success of the current capital campaign. Ms. Popp thanked Chancellor Dubois for his leadership and stated that members of his administrative team, including Dr. Lorden, Ms. Hardin, and Mr. Sorensen have some of the longest track records within the UNC constituent institutions. Ms. Popp echoed four of Mr. Bryan's comments, urging the board members to stay connected to the University, know the University, advocate, and speak up.

Following Ms. Popp's remarks, the Board of Trustees returned to Multipurpose Rooms ABDE.

Approval of Minutes. Mr. Price requested action on the minutes of the February 15 and February 28, 2018, meetings of the Board of Trustees.

Action. On a motion by Mr. Wilson, seconded by Ms. Schulte, the Trustees approved the February 15 and February 28, 2018, meeting minutes.

Hotel and Conference Center Update. Mr. Sorensen stated that a request for proposals for a general contractor will be issued in May and that a June 18 hearing is scheduled to discuss the project with the City Council. Mr. Sorensen showed the Trustees a schematic of the project and stated that Sage Hospitality is benchmarking conference centers across the country to help determine what the University will want. Ms. Rouse asked how many parking spaces the hotel deck will provide, and Mr. Sorensen stated that the current plan provides 132 spaces.

Legislative Update. Ms. Doster discussed the work of the North Carolina legislature and stated that securing funding for UNC Charlotte's two early colleges is the first priority for the University this year.

Light Rail Update. Ms. Doster discussed the leadership effort that was key to the success of the Light Rail project, including the groundwork laid by former Charlotte mayor and North Carolina governor Pat McCrory and Chancellor Dubois' efforts to keep the project moving forward. Ms. Doster stated that the events celebrating the Blue Line's opening were a great success, and she reported early ridership data.

Civic Action Plan. Dr. Lorden discussed the development of the University's new Civic Action Plan (CAP) and introduced Dr. Harden, assistant professor of education. Dr. Harden stated that she, Ms. Johnson, and Ms. Matz worked together to spearhead the development of the CAP. Dr. Harden discussed the fundamental values reflected in the CAP. Ms. Matz discussed research involved in the CAP process and the input of a 40-member CAP working group. Ms. Johnson discussed stakeholder feedback, CAP goals, and the working group's 49 actions and recommendations. Mr. Price asked Ms. Harden to discuss an example of an area of concern that the CAP might address. Ms. Harden stated that the working group hopes to focus faculty members

and students on the issue of economic mobility in order to make a systematic impact within the Charlotte community. Mr. Bunker stated that the CAP working group will find many engaged partners in the Uptown business community, and he thanked the presenters for their work.

Report of the University Faculty Council. Dr. Leeman presented the report of the Faculty Council as included in the Trustees' boardbook.

Report of the University Staff Council. Ms. Stevens presented the report of the Staff Council as included in the Trustees' boardbook.

Report of the Executive Committee. Mr. Price reported that the Executive Committee had reviewed the Trustees' Statements of Economic Interest and that Chancellor Dubois had discussed a UNC System review of governance authorities. Mr. Price asked Chancellor Dubois to discuss faculty and staff representation on the Board of Trustees, and the Chancellor reported that the Executive Committee had agreed that the Faculty Council President and the Staff Council Chair should be invited to sit at the table during the open session of Board of Trustees meetings, without voting rights but with the opportunity to comment and ask questions during Board meetings. Mr. Price stated that he would report on closed session items in the Board's closed session.

Report of the Officer Nominating Committee. Ms. Rouse thanked Mr. Price for the opportunity to chair the Officer Nominating Committee, and she stated that the Committee would meet in May to determine the slate of nominees.

Report of the Academic and Student Affairs Committee. Mr. Wilson stated that Dr. Mike Mazzola had discussed the impact of the 2017 total solar eclipse on the solar grid, and he stated that the presentation was very interesting and that he and the Committee members were proud that the University's Energy Production and Infrastructure Center (EPIC) was on the forefront of energy, including solar, research.

Mr. Wilson reported that the Committee had approved the School of Architecture's request to allow one undergraduate degree program to require more than 120 credit hours.

Report of the Athletics Committee. Mr. Price stated that Ms. DeVore had chaired the Athletics Committee meeting but was unable to attend the full Board meeting. In her absence, Mr. Price reported that the Committee reviewed Athletics budget documents, including comparisons to the University's peers within Conference USA. Mr. Price stated that Assistant Athletic Director for Compliance and Championships Scott Byrd had presented several reports focusing on NCAA compliance, and that Athletics had NCAA violations in the past year, and all had been very minor. Mr. Price reported that Mr. Byrd emphasized Athletics' strong focus strongly on preventing compliance violations and continuous engagement in staff and student education and monitoring. Mr. Price stated that new Director of Athletics Mike Hill had updated the Committee on a number of Athletics Department items.

Report of the Facilities and Physical Properties Committee. Mr. Klein, who served as chair in Ms. Drew's absence, stated that the Committee had approved increases in parking rates.

Mr. Klein presented a motion from the Committee to approve a capital improvement project, Intercollegiate Swimming Upfit, with a budget of \$3,500,000.

Action. The Board unanimously approved the capital improvement project Intercollegiate Swimming Upfit with a budget of \$3,500,000.

Mr. Klein presented a motion from the Committee to authorize the University to undertake the capital improvement project, Bioinformatics Fourth Floor Build-Out, with a budget of \$11,500,000.

Action. The Board unanimously authorized the University to undertake the capital improvement project, Bioinformatics Fourth Floor Build-Out, with a budget of \$11,500,000.

Mr. Klein presented a motion from the Committee to approve a capital improvement project, Miltimore-Wallis Roof Replacement, with a budget of \$400,000.

Action. The Board unanimously approved the capital improvement project Miltimore-Wallis Roof Replacement with a budget of \$400,000.

Mr. Klein presented a motion from the Committee to recommend that the Board approve an increase in the authorization for the Scott Hall Renovation project from \$22,500,000 to \$23,200,000.

Action. The Board unanimously approved an increase in the authorization for the Scott Hall Renovation project from \$22,500,000 to \$23,200,000.

Mr. Klein presented a motion from the Committee to recommend that the Board approve an increase in the authorization for Parking Lot 8 Expansion from \$475,000 to \$900,000.

Action. The Board unanimously recommended that the Board approve an increase in the authorization for Parking Lot 8 Expansion from \$475,000 to \$900,000.

Mr. Klein presented a motion from the Committee to recommend that the Board approve an increase in the authorization for the Campus Circulation Improvements project from \$1,000,000 to \$1,400,000.

Action. The Board unanimously recommended that the Board approve an increase in the authorization for the Campus Circulation Improvements project from \$1,000,000 to \$1,400,000.

Mr. Klein presented a motion from the Committee to recommend that the Board authorize the University to dispose of seven-tenths of an acres (.7acres) of remnant real property by gift to the UNC Charlotte Foundation at no cost.

Action. The Board unanimously authorized the University to dispose of seven-tenths of an acres (.7acres) of remnant real property by gift to the UNC Charlotte Foundation at no cost.

Report of the Audit, Compliance, and Enterprise Risk Management Committee. Ms. Schulte reported that Dr. Carlin had updated the Committee on information security actions that have recently been implemented. Ms. Schulte stated that Ms. Walker had reviewed the quarterly Internal Audit reports and that the Committee had approved the Internal Audit Plan and the Compliance Proposed Plan of Work for Fiscal Year 2019. Ms. Schulte reported that Ms. Hardin had provided an update on remediation work in the Environmental Health and Safety Office.

Report of the Student Government Association (SGA). Ms. Lavien introduced herself and discussed SGA goals for 2018-2019 academic year.

Motion to Convene in Closed Session. Mr. Price called for a motion for the Board to convene in closed session.

Action. On a motion by Ms. Schulte, seconded by Mr. Wilson, the Board convened in closed session pursuant to the following General Statutes of the State of North Carolina:

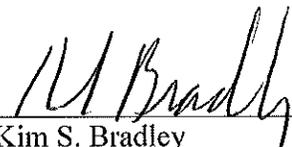
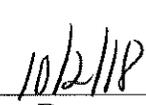
- a. *N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and*
- b. *N.C.G.S. §143-318.11(a)(3), to consult with and receive advice from counsel.*

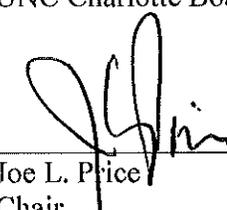
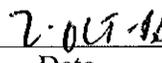
### Closed Session

*Closed session minutes are filed in the office of the assistant secretary to the Board of Trustees.*

### Open Session

Adjournment. There being no additional items, Mr. Price adjourned the meeting.

Submitted:    
Kim S. Bradley Date  
Assistant Secretary to the Board  
UNC Charlotte Board of Trustees

Approved:    
Joe L. Price Date  
Chair  
UNC Charlotte Board of Trustees