



**The University of North Carolina at Charlotte  
Board of Trustees**

**Audit, Compliance, and Enterprise Risk Management Committee Meeting**

Thursday, April 20, 2017, 8:00–9:30 a.m.

Popp Martin Student Union, Room 266

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 8:00 a.m.  
Catherine P. Bessant, Chair
  
2. Approval of the February 9, 2017, Meeting Minutes 8:00 a.m.  
Catherine P. Bessant, Chair  
*Documents:* [February 9, 2017, Open Session Meeting Minutes](#)  
[February 9, 2017, Closed Session Meeting Minutes](#)
  
3. Internal Audit Update 8:00–8:25 a.m.  
Raheel Qureshi, Interim Director of Internal Audit  
*Documents:* [FY2017 Annual Audit Plan Update](#)  
[Summary of Internal Audit Reports Issued Since February 2017](#)  
[Internal Audit Active Findings Report](#)  
[Balanced Scorecard](#)  
[FY2017 Annual Certification Requirements from the Board of Governors](#)  
[Approval of FY2018 Audit Plan](#)  
[Environmental Health and Safety Office Audit Update](#)
  
4. Title IX Education Programs (Education) 8:25–8:40 a.m.  
Sarah Edwards, Associate General Counsel  
Jennifer Newell, Title IX Coordinator  
*Document:* [Title IX Education](#)
  
5. Compliance Update 8:40–8:45 a.m.  
Sue Burgess, Director of Compliance  
*Document:* [Confidential Reports, 2016-2017](#)

6. Motion to Convene in Closed Session 8:45 a.m.  
Catherine P. Bessant, Chair

- a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;
- b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3); and
- c. to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee pursuant to N.C.G.S. §143-318.11 (a)(6).

#### **Closed Session**

7. Report on Litigation and Risk Management Issues 8:45–8:55 a.m.  
Jesh Humphrey, Vice Chancellor and General Counsel
8. Title IX Reports 8:55–9:05 a.m.  
Susan Burgess, Director of Compliance
9. Report on Status of Search for Director of Internal Audit 9:05-9:15 a.m.  
Beth Hardin, Vice Chancellor for Business Affairs
10. Motion to Resume in Open Session 9:15 a.m.  
Catherine P. Bessant, Chair

#### **Open Session**

11. Other Business 9:15 a.m.  
Catherine P. Bessant, Chair
12. Adjourn 9:30 a.m.  
Catherine P. Bessant, Chair

#### Members

Catherine P. Bessant, Chair  
Mary Ann Rouse  
Laura A. Schulte  
Michael L. Wilson  
Joe L. Price, *ex officio*



**The University of North Carolina at Charlotte  
Board of Trustees**

**Executive Committee Meeting**

Thursday, April 20, 2017, 9:30–10:30 a.m.

Popp Martin Student Union, Room 266

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 9:30 a.m.  
Joe L. Price, Chair
  
2. Approval of the February 9, 2017, Meeting Minutes 9:30 a.m.  
Joe L. Price, Chair  
*Documents:* [February 9, 2017, Open Session Meeting Minutes](#)  
[February 9, 2017, Closed Session Meeting Minutes](#)
  
3. University Policy 314, Centers and Institutes 9:30-9:40 a.m.  
Bob Wilhelm, Vice Chancellor for Research and Economic Development  
*Documents:* [University Policy 314, Centers and Institutes \(redline\)](#)  
[University Policy 314, Centers and Institutes \(clean\)](#)  
[Procedures for Establishing, Reviewing, and Discontinuing Centers and Institutes at UNC Charlotte \(redline\)](#)  
[Procedures for Establishing, Reviewing, and Discontinuing Centers and Institutes at UNC Charlotte \(clean\)](#)
  
4. Revision of Board of Trustees Bylaws 9:40-9:45 a.m.  
Jesh Humphrey, Vice Chancellor and General Counsel  
*Documents:* [Bylaws of the Board of Trustees of the University of North Carolina at Charlotte \(redline\)](#)  
[Bylaws of the Board of Trustees of the University of North Carolina at Charlotte \(clean\)](#)

5. Motion to Convene in Closed Session 9:45 a.m.  
 Joe L. Price, Chair
- a. Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and
- b. N.C.G.S. §143.318.11(a)(2), to prevent the premature disclosure of an award.

### Closed Session

6. Annual Concurrent Employment Report 9:45-9:50 a.m.  
 Joan Lorden, Provost and Vice Chancellor for Academic Affairs  
*Document:* [University Policy 101.4—Concurrent Employment of Related Persons](#)
7. Faculty Tenure Actions 9:50-9:53 a.m.  
 Joan Lorden, Provost and Vice Chancellor for Academic Affairs  
*Documents:* [Summary of Personnel Actions](#)  
[Personnel Actions Requiring Approval of the Board of Trustees](#)
8. Quarterly Report of Faculty Actions 9:53-9:56 a.m.  
 Joan Lorden, Provost and Vice Chancellor for Academic Affairs  
*Document:* [Quarterly Report of Faculty Actions](#)
9. Quarterly Report of EHRA Non-Faculty Actions 9:56-9:58 a.m.  
 Beth Hardin, Vice Chancellor for Business Affairs  
*Document:* [Quarterly Report of EHRA Non-Faculty Actions](#)
10. Athletics Employment Agreement 9:58-10:03 a.m.  
 Judy Rose, Director of Athletics  
*Document:* [Third Amendment to Employment Agreement](#)
11. Board of Trustees Nominations and Appointments 10:03-10:10 a.m.  
 Betty Doster, Special Assistant for Constituent Relations
12. Update on Vice Chancellor for Student Affairs Search 10:10-10:13 a.m.  
 Kim Bradley, Chief of Staff
13. Succession Planning 10:13-10:26 a.m.  
 Philip L. Dubois, Chancellor  
*Documents:* [Organizational Succession Plan](#)  
[Leadership Development Opportunities at UNC Charlotte](#)
14. Motion to Resume in Open Session 10:26 a.m.  
 Joe L. Price, Chair

### Open Session

- |   |                  |
|---|------------------|
| 15. Approval of Faculty Tenure Actions<br>Joe L. Price, Chair         | 10:26-10:27 a.m. |
| 16. Approval of Athletics Employment Agreement<br>Joe L. Price, Chair | 10:27-10:28 a.m. |
| 17. Other Business<br>Joe L. Price, Chair                             | 10:28-10:30 a.m. |
| 18. Adjourn<br>Joe L. Price, Chair                                    | 10:30 a.m.       |

Members

Joe L. Price, Chair  
Catherine P. Bessant  
Susan D. DeVore  
Theresa J. Drew  
Dhiaa Jamil  
Laura A. Schulte  
Michael L. Wilson



**The University of North Carolina at Charlotte  
Board of Trustees**

**Academic and Student Affairs Committee Meeting**

Thursday, April 20, 2017, 10:30 a.m.–11:30 a.m.

Popp Martin Student Union, Room 266

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Approval of the February 9, 2017, Meeting Minutes  
Laura A. Schulte, Chair  
*Document:* [February 9, 2017, Open Session Meeting Minutes](#)
2. Research Spotlight: Advanced Manufacturing Research and Siemens Collaboration  
Bob Wilhelm, Vice Chancellor for Research and Economic Development  
John Ziegert, Professor, Mechanical Engineering and Engineering Science  
*Document:* [Creating and Sustaining University/Industry Partnerships](#)
3. Graduate Education (Education)  
Joan Lorden, Provost and Vice Chancellor for Academic Affairs  
Tom Reynolds, Associate Provost and Dean, The Graduate School  
Katherine Hall-Hertel, Associate Dean for Graduate Student Affairs  
and the Center for Graduate Life  
*Document:* [Graduate Education at UNC Charlotte](#)
4. Other Business  
Laura A. Schulte, Chair
5. Adjourn  
Laura A. Schulte, Chair

Members

Laura A. Schulte, Chair

David L. Hauser

Mary Ann Rouse

Michael L. Wilson

Joe L. Price, *ex officio*



**The University of North Carolina at Charlotte  
Board of Trustees**

**Athletics Committee Meeting**  
Thursday, April 20, 2017, 10:30 a.m.–11:30 a.m.  
Popp Martin Student Union, Room 264

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order  
Susan D. DeVore, Chair
2. Approval of the February 9, 2017, Meeting Minutes  
Susan D. DeVore, Chair  
*Document:* [February 9, 2017, Meeting Minutes](#)
3. Athletics Budget Annual Report  
Darin Spease, Deputy Athletic Director  
*Documents:* [Athletics Budget](#)  
[2016-2017 Conference USA Comparison—Operations/Recruiting](#)  
[2016-2017 Conference USA Comparison—Operational Budgets](#)
4. NCAA Compliance Report  
Scott Byrd, Assistant Athletic Director for Compliance and Championships  
*Documents:* [Charlotte 49ers Athletics: Institutional Control](#)  
[Violation Report for 2016-2017 Academic Year](#)
5. Gender Equity and Title IX Report  
Kim Whitestone, Executive Associate Athletic Director and Senior Women's Administrator
6. Facilities Update  
Judy Rose, Director of Athletics
7. Athletics Department Update  
Judy Rose, Director of Athletics

8. Athletics Committee Annual Report Schedule  
Susan D. DeVore, Chair  
*Document:* Athletics Committee Calendar

9. Other Business  
Susan D. DeVore, Chair

Members

Susan D. DeVore, Chair

Catherine P. Bessant

Fahn K. Darkor

Karen A. Popp

Joe L. Price, *ex officio*





**The University of North Carolina at Charlotte  
Board of Trustees**

**Facilities and Physical Properties Committee Meeting**

Thursday, April 20, 2017, 10:30 a.m.–11:30 a.m.

Popp Martin Student Union, Room 267

**Agenda**

The Committee chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Approval of Meeting Minutes 10:30-10:32 a.m.  
Theresa Drew, Chair  
*Document:* [February 9, 2017, Open Session Meeting Minutes](#)
  
2. Request for Approval of Increase in Capital Project Authorization 10:32-10:40 a.m.  
Beth Hardin, Vice Chancellor for Business Affairs  
Phil Jones, Associate Vice Chancellor for Facilities Management  
*Document:* [Campus Stormwater Improvements Phase I – Davis Lake](#)
  
3. Request for Capital Project Authorizations 10:40-10:50 a.m.  
Beth Hardin, Vice Chancellor for Business Affairs  
Phil Jones, Associate Vice Chancellor for Facilities Management  
*Documents:* [Hawthorn Hall Basement Renovation](#)  
[Baseball Indoor Training Facility](#)  
[Softball Indoor Training Facility](#)
  
4. Request for Authorization to Acquire Real Property by Easement 10:50-10:55 a.m.  
Beth Hardin, Vice Chancellor for Business Affairs  
Phil Jones, Associate Vice Chancellor for Facilities Management  
*Document:* [Campus Circulation Improvements \(Light Rail\) Easement](#)
  
5. Request for Approval of Open-End Professional Service Agreements 10:55-11:00 a.m.  
Beth Hardin, Vice Chancellor for Business Affairs  
Phil Jones, Associate Vice Chancellor for Facilities Management  
*Document:* [Request for Approval of Open-End Professional Service Agreement FY2017-18](#)

6. Approval of Parking Systems/Rates Regulations 11:00-11:05 a.m.  
Beth Hardin, Vice Chancellor for Business Affairs  
Keith Wassum, Associate Vice Chancellor for Business Services  
Douglas Lape, Director of Parking and Transportation Services  
*Documents:* [Approval of Parking Ordinance for 2017-2018](#)  
[Summary of Ordinance Revisions](#)  
[Ordinance Governing Parking, Traffic and the Registration of Motor Vehicles for the University of North Carolina at Charlotte \(Clean\)](#)  
[Ordinance Governing Parking, Traffic and the Registration of Motor Vehicles for the University of North Carolina at Charlotte \(Redline\)](#)  
  
2017-2018 Benchmarking  
[Parking Benchmark 2017-2018](#)  
[2017-2018 Citation Benchmark](#)  
[Parking Rate Benchmark – Uptown Charlotte](#)  
[UNC Charlotte Financial Projections](#)
7. University Real Estate 11:05-11:20 a.m.  
Beth Hardin, Vice Chancellor for Business Affairs  
Phil Jones, Associate Vice Chancellor for Facilities Management  
*Document:* [University Real Estate](#)
8. Capital Projects Update 11:20-11:30 a.m.  
Beth Hardin, Vice Chancellor for Business Affairs  
Phil Jones, Associate Vice Chancellor for Facilities Management  
*Document:* [Capital Projects Update](#)

Committee Members

Theresa Drew, Chair  
Sharon Decker  
Fred Klein  
Dhiaa Jamil  
Joe Price, *ex officio*



**The University of North Carolina at Charlotte  
Board of Trustees**

Thursday, April 20, 2017  
Popp Martin Student Union

**Schedule**

- 8:00–9:30 a.m. Audit, Compliance, and Enterprise Risk Management Committee Meeting  
Student Union, Room 266
- 9:30–10:30 a.m. Executive Committee Meeting  
Student Union, Room 266
- 10:30–11:30 a.m. Academic and Student Affairs Committee Meeting  
Student Union, Room 266
- 10:30–11:30 a.m. Athletics Committee Meeting  
Student Union, Room 264
- 10:30–11:30 a.m. Facilities and Physical Properties Committee Meeting  
Student Union, Room 267
- 11:30 a.m.–  
12:00 p.m. Lunch  
Student Union, Multipurpose Room H
- 12:00–3:30 p.m. Board Will Convene in the Committee of the Whole  
Student Union, Multipurpose Room I

**Board of Trustees Agenda**

The Board Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order  
Joe L. Price, Chair
2. Introductions and Announcements  
Joe L. Price, Chair

3. Trustee Recognition  
Joe L. Price, Chair
4. Introduction and Swearing-In of New Student Body President  
Joe L. Price, Chair  
Tracey Allsbrook, Student Body President and Trustee  
Fahn K. Darkor, Former Student Body President and Trustee  
Anna Clark, Assistant to the Chief of Staff, Notary Public, Office of the Chancellor
5. Approval of the February 9, 2017, Meeting Minutes  
Joe L. Price, Chair  
*Documents:* [February 9, 2017, Open Session Meeting Minutes](#)  
[February 9, 2017, Closed Session Meeting Minutes](#)
6. Chancellor's Remarks  
Philip L. Dubois, Chancellor
7. Legislative Update  
Betty Doster, Special Assistant to the Chancellor for Constituent Relations
8. Campaign Update  
Niles Sorensen, Vice Chancellor for University Advancement  
*Document:* [Exponential Campaign Update](#)
9. Hotel and Conference Center Update  
Niles Sorensen, Vice Chancellor for University Advancement
10. Impact of Board of Governors' Strategic Plan on UNC Charlotte  
Philip L. Dubois, Chancellor  
*Document:* [UNC System Strategic Plan: Strategy, Goals, and Metrics](#)
11. Revision of Board of Trustees Bylaws  
Jesh Humphrey, Vice Chancellor and General Counsel  
*Documents:* [Bylaws of the Board of Trustees of the University of North Carolina at Charlotte \(redline\)](#)  
[Bylaws of the Board of Trustees of the University of North Carolina at Charlotte \(final\)](#)
12. The University's Financial Health (Education)  
Beth Hardin, Vice Chancellor for Business Affairs  
*Document:* [University Financial Health](#)

13. Alcohol Education, Treatment, and Enforcement Programs  
Art Jackson, Vice Chancellor for Student Affairs  
Jeff Baker, Chief of Police  
Christine Reed Davis, Dean of Students  
David Spano, Associate Vice Chancellor for Health Programs and Counseling Center Director  
*Document:* [Alcohol Education, Treatment, and Enforcement](#)
14. Report of the University Faculty Council  
Lisa Walker, Faculty President  
*Document:* [Faculty Council Report](#)
15. Report of the University Staff Council  
Penny Stevens, Chair  
*Document:* [Staff Council Report](#)
16. Report of the Executive Committee  
Joe L. Price, Chair
17. University Policy 314, Centers and Institutes  
Bob Wilhelm, Vice Chancellor for Research and Economic Development  
*Documents:* [University Policy 314, Centers and Institutes \(redline\)](#)  
[University Policy 314, Centers and Institutes \(clean\)](#)  
[Procedures for Establishing, Reviewing, and Discontinuing Centers and Institutes at UNC Charlotte \(redline\)](#)  
[Procedures for Establishing, Reviewing, and Discontinuing Centers and Institutes at UNC Charlotte \(clean\)](#)
18. Report of the Officer Nominating Committee  
Mary Ann Rouse, Chair
19. Report of the Academic and Student Affairs Committee  
Laura Schulte, Chair
20. Report of the Athletics Committee  
Susan D. DeVore, Chair
21. Report of the Facilities and Physical Properties Committee  
Theresa Drew, Chair  
*Documents:* [Campus Stormwater Improvements Phase I—Davis Lake Hawthorn Hall Basement Renovation Baseball Indoor Training Facility Softball Indoor Training Facility Campus Circulation Improvements \(Light Rail\)](#)
22. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
Catherine P. Bessant, Chair

23. Report of the Student Government Association  
Tracey Allsbrook, Student Body President and Trustee

24. Other Business  
Joe L. Price, Chair

25. Motion to Convene in Closed Session  
Joe L. Price, Chair

- a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and
- b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11(a)(3);
- c. to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee pursuant to N.C.G.S. §143-318.11(a)(6).

#### **Closed Session**

26. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
Catherine P. Bessant, Chair

27. Report of the Executive Committee  
Joe L. Price, Chair

28. Chancellor's Quadrennial Evaluation  
Michael L. Wilson, Vice Chair  
*Document:* [Quadrennial Evaluation Letter and Report](#)

29. Motion to Resume in Open Session  
Joe L. Price, Chair

#### **Open Session**

30. Adjourn  
Joe L. Price, Chair