



**The University of North Carolina at Charlotte  
Board of Trustees**

**Audit, Compliance, and Enterprise Risk Management Committee Meeting**

Wednesday, September 27, 2023, 8:00 – 9:00 a.m.

*Popp Martin Student Union, Room ABDE*

[YouTube Livestream](#)

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order

Sasha Weintraub, Chair

2. Approval of Previous Meeting Minutes

Sasha Weintraub, Chair

Documents: [April 27, 2023, Meeting Minutes - Open Session](#)

April 27, 2023, Meeting Minutes - Closed Session

3. Division of Institutional Integrity and ACERM Calendar Update

Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel

Documents: [Division of Institutional Integrity Organizational Chart](#)

[ACERM Calendar](#)

4. Internal Audit Update

Jennifer Walker, Chief Audit Officer

Documents: [FY23 Audit Plan Update](#)

[FY24 Audit Plan Update](#)

[Summary of Internal Audit Reports Issued](#)

[Internal Audit Self-Assessment Maturity Model \(SAMM\) - Themes](#)

[Internal Audit Self-Assessment Maturity Model \(SAMM\) - Topics](#)

[Internal Audit Quality Assessment Review \(QAR\)](#)

[Internal Audit Charter Update](#)

5. Enterprise Risk Management (ERM) Update

Steven Dunham, Chief Risk Officer

Documents: [ERM Executive Summary](#)  
[ERM Dashboard](#)

6. Motion to Convene in Closed Session

Sasha Weintraub, Chair

- a. *Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;*
- b. *to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3);*
- c. *to consider the qualifications, competence, performance, or condition of appointment of an employee pursuant to N.C.G.S. §143-318.11(a)(6); and*
- d. *to prevent the disclosure of sensitive public security information, as defined in N.C.G.S. §132-1.7(a).*

**Closed Session**

7. Office of Civil Rights and Title IX Update

Dr. Michelle Reinken, Assistant Vice Chancellor for Civil Rights and Title IX/  
Title IX Coordinator

Documents: Executive Summary  
Office of Civil Rights and Title IX Update

8. Summary of Confidential Internal Audit Report

Jennifer Walker, Chief Audit Officer

9. Motion to Resume in Open Session

Sasha Weintraub, Chair

**Open Session**

10. Other Business

Sasha Weintraub, Chair

11. Adjourn

Sasha Weintraub, Chair

Members

Sasha Weintraub, Chair

Christine Katziff, Vice Chair

Brett Keeter

Teross Young

Dennis Bunker, III, *ex officio*



**The University of North Carolina at Charlotte  
Board of Trustees**

**Executive Committee Meeting**  
Wednesday, September 27, 2023, 9:10–9:35 a.m.  
*Popp Martin Student Union, 340 ABDE*  
[YouTube Livestream](#)

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order

*Dennis Bunker, Chair*

2. Approval of Previous Meeting Minutes

*Dennis Bunker, Chair*

Documents: [April 27, 2023, Meeting Minutes - Open Session](#)  
April 27, 2023, Meeting Minutes - Closed Session

3. Chair Updates

*Dennis Bunker, Chair*

4. Naming of New Colleges

*Sharon Gaber, Chancellor*

Document: [Recommended Names of Two New Colleges](#)

5. Motion to Convene in Closed Session

*Dennis Bunker, Chair*

- a. *Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and*
- b. *To prevent the disclosure of information that is privileged or confidential pursuant to the law of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes pursuant to N.C.G.S. §143-318.11 (a)(1);*

## Closed Session

6. Quarterly Report of Faculty Actions and Salary Adjustments  
*Jennifer Troyer, Interim Provost and Vice Chancellor for Academic Affairs*  
Documents: Quarterly Report of Faculty Salary Adjustments
7. Quarterly Report of EHRA Non-Faculty Actions and Salary Adjustments  
  
*Rich Amon, Vice Chancellor for Business Affairs*  
Documents: Quarterly Report of EHRA Non-Faculty Actions  
Quarterly Report of EHRA Non-Faculty Salary Adjustments
8. Tier II Salary Adjustment  
*Sharon Gaber, Chancellor*  
Document: Recommended Tier II Salary Adjustment
9. Honorary Degree Process  
*Sharon Gaber, Chancellor*  
Documents: Honorary Degree Pool  
Honorary Degrees Conferred  
Policy, Criteria, and Procedures for the Awarding of Honorary Degrees
10. Distinguished Service Award Process  
*Sharon Gaber, Chancellor*  
Documents: Recipients of the Distinguished Service Award  
Policy, Criteria, and Procedure for the Selection of the Distinguished Service Award
11. Motion to Resume in Open Session  
*Dennis Bunker, Chair*

## Open Session

12. Other Business  
*Dennis Bunker, Chair*
13. Adjourn  
*Dennis Bunker, Chair*

### Executive Committee Members

Dennis N. Bunker III, Chair  
John A. Bailey  
Christine P. Katziff  
J. Brett Keeter  
I. Tife Olusesi  
Sasha J. Weintraub  
Dontá L. Wilson  
Teross W. Young Jr.



**The University of North Carolina at Charlotte  
Board of Trustees**

**Academic and Student Life Committee Meeting**  
Wednesday, September 27, 2023, 9:45 – 10:45 a.m.  
*Popp Martin Student Union, Room 340I*  
[YouTube Livestream](#)

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order  
Brett Keeter, Chair
2. Approval of the Previous Meeting Minutes  
Brett Keeter, Chair  
Document: [April 27, 2023, Meeting Minutes](#)
3. Title IX Appeals Training  
Sarah Edwards, Associate Vice Chancellor and Deputy General Counsel  
Michelle Reinken, Assistant Vice Chancellor//Title IX Coordinator  
Kaela Lindquist, Associate Dean of Students and Director of Student Accountability & Conflict Resolution  
Document: [An Appellate Officer Primer](#)
4. Athletics Update  
Mike Hill, Director of Athletics
5. Other Business  
Brett Keeter, Chair
6. Adjourn  
Brett Keeter, Chair

Members

Brett Keeter, Chair

John Bailey, Vice Chair

Geoff Gray

Tife Olusesi

Dennis Bunker, *ex officio*



**The University of North Carolina at Charlotte  
Board of Trustees**

**Advancement & Public Relations Committee**

September 27, 2023, 10:20 – 11:20 a.m.

*Popp Martin Student Union, Room ABDE*

[YouTube Livestream](#)

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order  
Teross Young Jr., Chair
2. Approval of Minutes  
Teross Young Jr., Chair  
Document: [April 27, 2023, Meeting Minutes](#)
3. Marketing and Communications Updates  
Jen Ames Stuart, Associate Vice Chancellor of University Communications  
Document: [Marketing + Communications](#)
4. Board of Visitors Discussion  
Beth Crigler, Vice Chancellor for University Advancement  
Document: [Board of Visitors](#)  
[Board of Visitors Charter](#)
5. Recommendation of University Policy 602.9  
Beth Crigler, Vice Chancellor for University Advancement  
Documents: [University Policy 602.9, Naming Opportunities Presentation](#)  
[Memo of Explanation](#)  
[University Policy 602.9, Naming Opportunities – Draft Rewrite](#)  
[Named Gift Standards](#)
6. Fundraising and Campaign Update – Campaign Counting Guidelines  
Penny Hawkins, Associate Vice Chancellor of University Development  
Documents: [Fundraising & Campaign Updates](#)  
[Campaign Counting Guidelines](#)  
[Comprehensive Campaign Counting Guidelines - DRAFT](#)

7. Motion to Convene in Closed Session  
Teross Young Jr., Chair

- a. To prevent the disclosure of information that is privileged or confidential pursuant to the law of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes pursuant to N.C.G.S. §143-318.11 (a)(1).*

8. Naming Proposal  
Beth Crigler, Vice Chancellor for University Advancement  
Document: Naming Proposal

9. Motion to Resume in Open Session  
Teross Young Jr., Chair

10. Other Business  
Teross Young Jr., Chair

11. Adjourn  
Teross Young Jr., Chair

Members

Teross Young Jr. - Chair  
Wendy Grubbs – Vice Chair  
Dontá Wilson  
Sasha Weintraub  
*Dennis Bunker, ex-officio*





**The University of North Carolina at Charlotte  
Board of Trustees**

**Finance and Physical Properties Committee Meeting**

Wednesday, September 27, 2023, 10:55 – 11:55 a.m.

*Popp Martin Student Union, Room I*

[YouTube Livestream](#)

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order  
Chair, Christine Katziff
2. Approval of Previous Meeting Minutes  
Chair, Christine Katziff  
Document: [April 27, 2023, Meeting Minutes](#)
3. Finance and Physical Properties Committee (FPPC) Overview  
Rich Amon, Vice Chancellor for Business Affairs  
Document: [FPPC Committee Overview](#)
4. All Funds Budget Overview  
Rich Amon, Vice Chancellor for Business Affairs  
Document: [All Funds Budget](#)
5. Six-Year Capital Plan Overview  
Rich Amon, Vice Chancellor for Business Affairs  
Document: [Six-year Capital Plan](#)

**Financial Information Items**

6. Investment Policy Statement for Institutional Trust Funds  
Rich Amon, Vice Chancellor for Business Affairs  
Greg Verret, Director of Treasury Services  
Document: [Investment Policy Statement for Institutional Trust Funds](#)

7. Fiscal Health Dashboard  
Rich Amon, Vice Chancellor for Business Affairs  
Greg Verret, Director of Treasury Services  
Document: [Fiscal Health Dashboard](#)
8. UNC Charlotte University Budget Update  
Rich Amon, Vice Chancellor for Business Affairs  
Ken Smith, Chief Budget Officer  
Document: [Comparative Financial Information: Operating Budgets](#)
9. UNC Charlotte Consulting Contracts Report  
Rich Amon, Vice Chancellor for Business Affairs  
Anne Brown, Associate Vice Chancellor for Finance  
Document: [UNC Charlotte Consulting Contracts Report FY 2022-23](#)

### **Capital Action Items**

9. Review Capital Projects Process  
Rich Amon, Vice Chancellor for Business Affairs  
Rich Steele, Associate Vice Chancellor for Facilities Management  
Document: [Capital Projects Process \(Information Item Only\)](#)
10. Resolution for Delegated Authority for Project Approval  
Rich Amon, Vice Chancellor for Business Affairs  
Rich Steele, Associate Vice Chancellor for Facilities Management  
Document: [Resolution for Delegated Authority](#)
11. Requests for Authorization of a Capital Project – Advance Planning  
Rich Amon, Vice Chancellor for Business Affairs  
Rich Steele, Associate Vice Chancellor for Facilities Management  
Documents: [Hawthorn Residence Hall Renovation](#)  
[Witherspoon Residence Hall Renovation](#)
12. Request for Increase in Capital Project Authorization  
Rich Amon, Vice Chancellor for Business Affairs  
Rich Steele, Associate Vice Chancellor for Facilities Management  
Document: [Softball Locker Rooms and Offices](#)
13. Requests for Authorization of a Capital Project  
Rich Amon, Vice Chancellor for Business Affairs  
Rich Steele, Associate Vice Chancellor for Facilities Management  
Documents: [Wallis Residence Hall Roof Replacement](#)  
[Parking Lot 4 Renewal](#)  
[Parking Lot 26 Renewal](#)  
[Energy Savings Project Phase V](#)  
[Woodward Roof Replacement \(previously authorized as Robinson Partial Roof Replacement\)](#)

14. Request for Designer Approval for Advance Planning  
Rich Amon, Vice Chancellor for Business Affairs  
Rich Steele, Associate Vice Chancellor for Facilities Management  
Document: [Richardson Stadium Expansion Advance Planning](#)

15. Requests for Designer Ratifications  
Rich Amon, Vice Chancellor for Business Affairs  
Rich Steele, Associate Vice Chancellor for Facilities Management  
Documents: [Holshouser Residence Hall Roof Replacement](#)  
[Parking Lot 5 Renewal](#)  
[Greek Village and Kitchen Upgrades](#)  
[Smith Engineering Building HVAC and Controls](#)  
[Envelope Repairs and Roof Replacement](#)  
[Friday Building HVAC and Electrical Upgrade](#)  
[West Deck Elevator Replacement](#)

16. Requests for Commissioning Agent Ratifications  
Rich Amon, Vice Chancellor for Business Affairs  
Rich Steele, Associate Vice Chancellor for Facilities Management  
Documents: [Duke Centennial Hall HVAC and Controls](#)  
[Woodward Controls and Lab HVAC](#)  
[Modifications RUP 2 and Fretwell HVAC and Controls](#)  
[Greek Village HVAC and Kitchen Upgrades](#)

### **Capital Information Items**

17. Notice of Designer Approvals through Delegated Authority  
Rich Amon, Vice Chancellor for Business Affairs  
Rich Steele, Associate Vice Chancellor for Facilities Management  
Document: [Designer Approval through Delegated Authority](#)

18. Capital Improvement Projects Update  
Rich Amon, Vice Chancellor for Business Affairs  
Rich Steele, Associate Vice Chancellor for Facilities Management  
Document: [Capital Improvement Projects Update](#)

19. Adjournment  
Christine Katziff, Chair

### Members

Christine Katziff, Chair  
John Bailey, Vice Chair  
Larry Shaheen Jr.  
Michael Smith  
Dennis Bunker, *ex officio*



**The University of North Carolina at Charlotte  
Board of Trustees**

Wednesday, September 27, 2023  
*Popp Martin Student Union, 340 ABDE*  
[YouTube Livestream](#)

**Schedule**

8:00–9:00 a.m.	Audit, Compliance, and Enterprise Risk Management Committee Meeting <i>Popp Martin Student Union, Room 340 ABDE</i>
9:10–9:35 a.m.	Executive Committee Meeting <i>Popp Martin Student Union, Room 340 ABDE</i>
9:45–10:45 a.m.	Academic and Student Life Committee Meeting <i>Popp Martin Student Union, Room 340 I</i>
10:20–11:20 a.m.	Advancement and Public Relations Committee Meeting <i>Popp Martin Student Union, Room 340 ABDE</i>
10:55–11:55 a.m.	Finance and Physical Properties Committee Meeting <i>Popp Martin Student Union, Room 340 I</i>
12:00 –12:45 p.m.	Lunch Break <i>Popp Martin Student Union, Room 340 H</i>
12:45–4:00 p.m.	Board Will Convene in the Committee of the Whole <i>Popp Martin Student Union, Room 340 ABDE</i>

**Board of Trustees Agenda**

The Board Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order  
*Dennis Bunker, Chair*
2. Board Chair's Remarks  
*Dennis Bunker, Chair*

3. Approval of Previous Meeting Minutes  
*Dennis Bunker, Chair*  
Documents: [April 27, 2023, Meeting Minutes - Open Session](#)  
April 27, 2023, Meeting Minutes - Closed Session  
[July 26, 2023, Meeting Minutes - Open Session](#)  
July 26, 2023, Meeting Minutes – Closed Session  
[August 3, 2023, Meeting Minutes – Open Session](#)  
August 3, 2023, Meeting Minutes – Closed Session
4. Chancellor’s Report  
*Sharon Gaber, Chancellor*
5. Strategic Plan Annual Progress  
*Sharon Gaber, Chancellor*
6. [Legislative Update](#)  
*Betty Doster, Special Assistant to the Chancellor for External Relations and Partnerships*
7. Master Plan Update  
*Page Southerland Page*
8. Diversity and Inclusion Annual Update  
*Brandon Wolfe, Associate Vice Chancellor for Diversity and Inclusion/  
Chief Diversity Officer*  
Documents: [Board of Trustees Annual Report](#)  
[Diversity and Inclusion Annual Report to the Board of Trustees](#)
9. Analysis of Impact of Supreme Court Decision  
*Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel*  
Document: [Students for Fair Admissions Decision and Impact](#)
10. Report of the University Faculty Council  
*Xiaoxia Newton, Faculty Council President*  
Document: [Faculty Council Report](#)
11. Report of the University Staff Council  
*Audra Esposito, Staff Council Chair*  
Document: [Staff Council Report](#)
12. Report of the Student Government Association  
*Tife Olusesi, Student Body President and Trustee*  
Document: [Student Government Association Updates](#)
13. Report of the Executive Committee  
*Dennis Bunker, Chair*
14. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
*Sasha Weintraub, Chair*

15. Report of the Academic and Student Life Committee  
*Brett Keeter, Chair*

16. Report of the Advancement and Public Relations Committee  
*Teross Young Jr., Chair*

17. Report of the Finance and Physical Properties Committee  
*Christine Katziff, Chair*

a. Budget Update Recap  
*Rich Amon, Vice Chancellor for Business Affairs*

18. Motion to Convene in Closed Session  
*Dennis Bunker, Chair*

a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7.

### **Closed Session**

19. Report of the Executive Committee  
*Dennis Bunker, Chair*

20. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
*Sasha Weintraub, Chair*

21. Report of the Advancement and Public Relations Committee  
*Teross Young Jr., Chair*

22. Motion to Resume in Open Session  
*Dennis Bunker, Chair*

### **Open Session**

23. Other Business  
*Dennis Bunker, Chair*

24. Adjourn  
*Dennis Bunker, Chair*

Members of the Board of Trustees

Dennis N. Bunker III, Chair

John A. Bailey

Geoffrey D. Gray

Wendy J. Grubbs

Christine P. Katziff

J. Brett Keeter

Larry Shaheen Jr.

Hugh L. McColl Jr.

I. Tife Olusesi

Michael J. Smith

Sasha J. Weintraub

Dontá L. Wilson

Teross W. Young Jr.