



**The University of North Carolina at Charlotte  
Board of Trustees**

**Audit, Compliance, and Enterprise Risk Management Committee Meeting**  
Thursday, October 6, 2016, 8:00–9:30 a.m.  
Bioinformatics 301

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 8:00 a.m.  
Catherine P. Bessant, Chair
  
2. Approval of the April 28, 2016, Meeting Minutes 8:00 a.m.  
Catherine P. Bessant, Chair  
*Document:* April 28, 2016, Meeting Minutes
  
3. Internal Audit Update 8:00 a.m.  
Raheel Qureshi, Acting Director of Internal Audit  
*Documents:* Annual Audit Plan FY2017 Update  
Summary of Internal Audit Reports Issued Since Last Meeting  
Active Findings Report  
Internal Audit Charter Revision  
Annual Certification Tasks for the Board of Governors  
Audit Department Balanced Scorecard FY 2017  
“Internal Auditors Eyeing Operational Risks.”  
Lindsay Frost, AGENDA, Money-Media Inc, 2016
  
4. Resolution of Direct Deposit Security Incident 8:20 a.m.  
Mike Carlin, Vice Chancellor for Information Technology  
*Document:* ITS Update: Direct Deposit Security Incident

5. Motion to Convene in Closed Session 8:35 a.m.  
Catherine P. Bessant, Chair

- a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and
- b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3).
- c. To consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee pursuant to N.C.G.S. §143-318.11(a)(6).

### **Closed Session**

6. Report on Litigation and Risk Management Issues 8:35 a.m.  
Jesh Humphrey, Vice Chancellor and General Counsel
7. Title IX Report 8:50 a.m.  
Jennifer Newell, Title IX Coordinator
8. Report on Status of Search for Director of Internal Audit 9:00 a.m.  
Beth Hardin, Vice Chancellor for Business Affairs
9. Motion to Resume in Open Session 9:10 a.m.  
Catherine P. Bessant, Chair

### **Open Session**

10. Other Business 9:10 a.m.  
Catherine P. Bessant, Chair
11. Adjourn 9:30 a.m.  
Catherine P. Bessant, Chair

### Members

Catherine P. Bessant, Chair  
Mary Ann Rouse  
Laura A. Schulte  
Michael L. Wilson  
Joe L. Price, *ex officio*



**The University of North Carolina at Charlotte  
Board of Trustees**

**Executive Committee Meeting**

Thursday, October 6, 2016, 9:30–10:30 a.m.  
Bioinformatics 301

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 9:30 a.m.  
Joe L. Price, Chair
  
2. Approval of the April 28, 2016, and September 7, 2016, Meeting Minutes 9:30 a.m.  
Joe L. Price, Chair  
*Documents:* April 28, 2016, Meeting Minutes  
September 7, 2016, Meeting Minutes
  
3. Delegation of Authority for EHRA Salary Adjustments 9:32 a.m.  
Philip L. Dubois, Chancellor  
*Documents:* Resolution to Delegate Expanded Authority to the Chancellor  
for Certain Salary Actions for Employees Exempt from  
the State Human Resources Act
  
4. Motion to Convene in Closed Session 9:40 a.m.  
Joe L. Price, Chair
  - a. *To consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee pursuant to N.C.G.S. §143-318.11(a)(6); and*
  - b. *to prevent the premature disclosure of an award pursuant to N.C.G.S. §143.318.11(a)(2).*

**Closed Session**

5. Quarterly Report of Faculty Actions 9:40 a.m.  
Joan Lorden, Provost and Vice Chancellor for Academic Affairs  
*Document:* Quarterly Report of Faculty Actions

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| 6. Quarterly Report of EHRA Non-Faculty Actions<br>Beth Hardin, Vice Chancellor for Business Affairs<br><i>Document:</i> Quarterly Report of EHRA Non-Faculty Actions  | 9:42 a.m.  |
| 7. Distinguished Service Award Nominations<br>Philip L. Dubois, Chancellor<br><i>Documents:</i> Policy, Criteria, and Procedure for the Selection<br>of the Distinguished Service Award<br>Recipients of the Distinguished Service Award | 9:45 a.m.  |
| 8. Appointment to the Board of Trustees of the Endowment Fund<br>Beth Hardin, Vice Chancellor for Business Affairs<br><i>Document:</i> Appointment to the Board of Trustees of the Endowment Fund  | 10:05 a.m. |
| 9. Naming of Admissions and Visitors Center<br>Philip L. Dubois, Chancellor<br><i>Document:</i> Naming of the Admissions and Visitors Center   | 10:10 a.m. |
| 10. Motion to Resume in Open Session<br>Joe L. Price, Chair  | 10:20 a.m. |

**Open Session**

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| 11. Action on Appointments to the Board of Trustees of the Endowment Fund<br>Joe L. Price, Chair | 10:20 a.m. |
| 12. Other Business<br>Joe L. Price, Chair  | 10:25 a.m. |
| 13. Adjourn<br>Joe L. Price, Chair   | 10:30 a.m. |

Members

Joe L. Price, Chair  
Catherine P. Bessant  
Susan D. DeVore  
Theresa J. Drew  
Dhiaa Jamil  
Laura A. Schulte  
Michael L. Wilson



**The University of North Carolina at Charlotte  
Board of Trustees**

**Academic and Student Affairs Committee Meeting**  
Thursday, October 6, 2016, 10:30 a.m.–11:30 a.m.  
Bioinformatics 301

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order  
Laura A. Schulte, Chair
  
2. Approval of the April 28, 2016, and July 20, 2016, Meeting Minutes  
Laura A. Schulte, Chair  
*Documents:* February 19, 2016, Meeting Minutes  
April 28, 2016, Meeting Minutes  
July 22, 2016, Meeting Minutes
  
3. Title IX Appeals Training  
Sarah Edwards, Associate General Counsel  
*Documents:* An Appellate Officer's Role in Student Title IX Cases  
Student Conduct Process: A Primer for Appellate Officers  
Policy on Minimum Substantive and Procedural Standards for Student  
Disciplinary Proceedings
  
4. Campus Behavioral Intervention Team  
Christine Reed Davis, Dean of Students  
Dave Spano, Director of Counseling Center  
*Document:* Campus Behavioral Intervention Team: Development and Current Status
  
5. Other Business  
Laura A. Schulte, Chair
  
6. Adjourn  
Laura A. Schulte, Chair

Members

Laura A. Schulte, Chair

David L. Hauser

Mary Ann Rouse

Michael L. Wilson

Joe L. Price, *ex officio*



**The University of North Carolina at Charlotte  
Board of Trustees**

**Athletics Committee Meeting**

Thursday, October 6, 2016, 10:30 a.m.–11:30 a.m.  
Bioinformatics 218

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order  
Karen A. Popp, Acting Chair
2. Approval of the April 28, 2016, Meeting Minutes  
Karen A. Popp, Acting Chair  
*Document:* April 28, 2016, Meeting Minutes
3. Review of Concentration of Classes, Selection of Academic Majors, and Five-Year Overview of Student Athlete Admissions  
Lisa Hibbs, Director of Athletic Academic Center  
*Documents:* Spring 2016 Majors by College  
Spring 2016 Minors  
Top 10 Majors for Student-Athletes, Spring 2016  
Spring 2016 Majors by Percentage  
Five-Year Overview: Student Athlete Admissions
4. Academic Progress Rate Report  
Scott Byrd, Associate Athletic Director for Compliance and Championships  
*Document:* 2014-2015 NCAA Division I 2014–2015 Academic Progress Rate, Public Report
5. Athletics Financial Indicators Report  
Darin Spease, Senior Associate Athletic Director for Business Affairs  
*Documents:* Student Fee Revenue as a Share of Total Operating Revenue for Athletics
6. Sports Expectations Scorecard  
Judy Rose, Director of Athletics  
*Document:* Sports Expectations Scorecard, Effective Fall 2016

7. Athletics Committee Annual Report Schedule  
Karen A. Popp, Acting Chair  
*Document:* Athletics Committee Calendar
8. Other Business  
Karen A. Popp, Acting Chair
9. Adjourn  
Karen A. Popp, Acting Chair

Members

Susan D. DeVore, Chair  
Catherine P. Bessant  
Fahn K. Darkor  
Karen A. Popp  
Joe L. Price, *ex officio*





**The University of North Carolina at Charlotte  
Board of Trustees**

**Facilities and Physical Properties Committee Meeting**  
Thursday, October 6, 2016, 10:30 a.m.–11:30 a.m.  
Bioinformatics 305

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 10:30 a.m.  
Theresa J. Drew, Chair
  
2. Approval of Meeting Minutes 10:30 a.m.  
Theresa J. Drew, Chair  
*Document:* April 28, 2016, Meeting Minutes
  
3. Requests for Capital Project Authorization 10:32 a.m.  
Beth Hardin, Vice Chancellor for Business Affairs  
Phil Jones, Associate Vice Chancellor for Facilities Management  
*Documents:* Campus Storm Water Improvement—Phase 2  
Reclaimed Water Infrastructure
  
4. Requests for Increase in Capital Project Authorization 10:40 a.m.  
Beth Hardin, Vice Chancellor for Business Affairs  
Phil Jones, Associate Vice Chancellor for Facilities Management  
*Documents:* Irwin Belk Track Resurfacing  
Student Counseling Center
  
5. Requests for Approval of Capital Project Designer Selection 10:45 a.m.  
Beth Hardin, Vice Chancellor for Business Affairs  
*Documents:* Science Building  
Facilities Operations/Parking Services Complex

6. Request for Approval of Capital Project Construction Manager at Risk 10:50 a.m.  
Beth Hardin, Vice Chancellor for Business Affairs  
Phil Jones, Associate Vice Chancellor for Facilities Management  
*Document:* Facilities Operations/Parking Services Complex
7. Request for Authorization to Acquire by Lease 10:55 a.m.  
Beth Hardin, Vice Chancellor for Business Affairs  
Phil Jones, Associate Vice Chancellor for Facilities Management  
*Document:* Off-site Parking Five-Year Lease
8. Requests for Ratification of Capital Project Designer Selection 11:00 a.m.  
Beth Hardin, Vice Chancellor for Business Affairs  
Phil Jones, Associate Vice Chancellor for Facilities Management  
*Documents:* Union Deck Expansion  
Sycamore Hall Renovation  
Belk Plaza  
CRI Entrance
9. Capital Improvement Projects Update 11:10 a.m.  
Beth Hardin, Vice Chancellor for Business Affairs  
Phil Jones, Associate Vice Chancellor Facilities Management  
*Document:* Capital Improvement Projects
10. Other Business 11:20 a.m.  
Theresa J. Drew, Chair
11. Adjourn  
Theresa J. Drew, Chair

Members

Theresa J. Drew, Chair  
Sharon Allred Decker  
Dhiaa Jamil  
Fred W. Klein  
Joe L. Price, *ex officio*



**The University of North Carolina at Charlotte  
Board of Trustees**

Thursday, October 6, 2016  
Bioinformatics Building

**Schedule**

- 8:00–9:30 a.m. Audit, Compliance, and Enterprise Risk Management Committee Meeting  
Bioinformatics 301
- 9:30–10:30 a.m. Executive Committee Meeting  
Bioinformatics 301
- 10:30–11:30 a.m. Academic and Student Affairs Committee Meeting  
Bioinformatics 301
- 10:30–11:30 a.m. Athletics Committee Meeting  
Bioinformatics 218
- 10:30–11:30 a.m. Facilities and Physical Properties Committee Meeting  
Bioinformatics 305
- 11:30 a.m.–  
12:00 p.m. Lunch  
Bioinformatics Atrium
- 12:00–3:30 p.m. Board Will Convene in the Committee of the Whole  
Bioinformatics 217

**Board of Trustees Agenda**

The Board Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order  
Joe L. Price, Chair

2. Introductions and Announcements  
Joe L. Price, Chair
3. Election of Assistant Secretary to the Board of Trustees  
Joe L. Price, Chair
4. Approval of the April 28, 2016, July 1, 2016, and August 25, 2016, Meeting Minutes  
Joe L. Price, Chair  
*Documents:* April 28, 2016, Meeting Minutes  
July 1, 2016, Meeting Minutes  
August 25, 2016, Meeting Minutes
5. Remarks by Board of Governors Member  
C. Philip Byers, Board of Governors Member and UNC Charlotte Liaison
6. Remarks by the Chancellor  
Philip L. Dubois, Chancellor
7. Office of International Programs Overview  
Joel Gallegos, Assistant Provost for International Programs  
*Document:* Office of International Programs Overview
8. Report of the Executive Committee  
Joe L. Price, Chair
9. Report of the Academic and Student Affairs Committee  
Laura A. Schulte, Chair
10. Report of the Athletics Committee  
Susan D. DeVore, Chair
11. Report of the Facilities and Physical Properties Committee  
Theresa J. Drew, Chair  
*Documents:* Requests for Capital Project Authorization  
Campus Storm Water Improvement—Phase 2  
Reclaimed Water Infrastructure  
Requests for Increase in Capital Project Authorization  
Irwin Belk Track Resurfacing  
Student Counseling Center  
Requests for Approval of Capital Project Designer Selection  
Science Building  
Facilities Operations/Parking Services Complex  
Request for Approval of Capital Project Construction Manager at Risk  
Facilities Operations and Parking Services Complex  
Request for Authorization to Acquire by Lease  
Off-Site Parking Five-Year Lease

12. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
Catherine P. Bessant, Chair
13. Clery Act Requirements and Campus Safety (Education) and Clery Report  
Sarah Edwards, Associate General Counsel  
Jeff Baker, Chief of Police  
*Documents:* The Clery Act  
2015 State and Clery Crime Statistics
14. Report of the Student Government Association  
Fahn K. Darkor, Student Body President and Trustee
15. Report of the University Faculty Council  
Lisa Walker, Faculty President  
*Document:* Faculty Council Report
16. Report of the University Staff Council  
Penny Stevens, Chair  
*Document:* Staff Council Report
17. Other Business  
Joe L. Price, Chair
18. Motion to Convene in Closed Session  
Joe L. Price, Chair
  - a. *Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;*
  - b. *to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11(a)(3);*

### **Closed Session**

19. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
Catherine P. Bessant, Chair
20. Report of the Executive Committee  
Joe L. Price, Chair
21. Naming Opportunity  
Philip L. Dubois, Chancellor

22. Motion to Resume in Open Session  
Joe L. Price, Chair

**Open Session**

23. Adjourn  
Joe L. Price, Chair