



UNIVERSITY OF NORTH CAROLINA
CHARLOTTE

**The University of North Carolina at Charlotte
Board of Trustees**

Audit, Compliance, and Enterprise Risk Management Committee Meeting

Wednesday, October 6, 2021 8:00 - 9:30 a.m.

Popp Martin Student Union, Third Floor, Room A-F

Open Session

Present:

Christine Katziff, Chair

Carlos Sanchez, Vice Chair

Dennis Bunker III, *Via Zoom*

Sasha Weintraub, *Via Zoom*

Susan DeVore, *ex officio*

Staff/Guests in Attendance

Sharon Gaber, Chancellor

Brad Bowen, Digital Media Communications – Video Support

Kim Bradley, Chief of Staff and Assistant Secretary to the Board of Trustees

Susan Burgess, Chief Compliance Officer, *Via Zoom*

Tina Dadio, University Public Records Officer/Paralegal

Steven Dunham, Chief Risk Officer, *Via Zoom*

Harrison Hieb, Digital Media Communications, Video Support

Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel

Melva Jenkins, Administrative Liaison for University Governance

Joan Lorden, Provost and Vice Chancellor for Academic Affairs

Kailey Meyer, Ph.D. Graduate Student, Chancellor's Office

Marcus Pena, AISLE – Off-Site Zoom Support

Michelle Reinken, Director of Title IX Compliance/Title IX Coordinator, *Via Zoom*

Sam Sears, Associate Vice Chancellor and Deputy General Counsel, *Via Zoom*

Steven Snipes, Assistant Director, Technical Services

Natasha Stracener, Video Technology Communications/Classroom Support

Jennifer Walker, Chief Audit Officer, *Via Zoom*

Melanie White, Executive Assistant to Vice Chancellor of DII and General Counsel, *Via Zoom*

Call to Order. Ms. Katziff called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act and advised Committee members to notify her immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts were reported.

Approval of Minutes. Ms. Katziff requested action on the April 6, 2021, Audit, Compliance, and Enterprise Risk Management Committee meeting minutes.

Action. On a motion by Dr. Weintraub, seconded by Mr. Bunker, the Committee approved the April 6, 2021, Audit, Compliance, and Enterprise Risk Management Committee minutes.

Division of Institutional Integrity Update. Mr. Humphrey provided a brief review of the ACERM Calendar and the Institutional Integrity organization chart.

Internal Audit Update. Ms. Walker provided the Internal Audit update for the FY21 and FY22 audit plans, and a summary of internal audit reports issued this period, with no major findings reported. Ms. Walker presented a revised Internal Audit Department Charter with minor revisions, and it was approved by the Committee. Ms. Walker also presented on the Internal Audit Self-Assessment Maturity Model and reported that Internal Audit exceeded the required scores in all six themes. Mr. Sanchez asked about Internal Audit's closing audit process. Ms. Walker informed the Committee that each audit is assigned to a lead auditor. The lead auditor records the audit findings in the audit management software program, TeamMate+, and follows up on remediation efforts as they are implemented. Ms. Walker reviews the list of open findings on a monthly basis to ensure remediation efforts are occurring as planned. After all findings have been remediated and tested, the audit is closed-out in TeamMate+.

Action. On a motion by Mr. Sanchez, seconded by Mr. Bunker, the Committee approved the 2021 Internal Audit Department Charter.

Compliance Update. Ms. Burgess provided a compliance update, including a summary of three university-wide projects: 1) oversight and monitoring compliance education activities; 2) targeting education for post-award grant management; and 3) developing a process to facilitate regular review all university policies.

Enterprise Risk Management (ERM) Update. Mr. Dunham provided the Committee with an update on the university's Enterprise Risk Management program, including a summary of the feedback from the individual ACERM member meetings that took place in May. Mr. Dunham also reviewed the top five enterprise risks reported to the UNC System Office by the university, and provided a comparison of those risks with those reported by other institutions of higher education.

Motion to Convene in Closed Session. Ms. Katziff called for a motion to convene in closed session.

Action. On a motion by Mr. Sanchez, seconded by Mr. Bunker, the Board convened in closed session pursuant to the following General Statutes of the State of North Carolina:

- a. *N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidential arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and*
- b. *N.C.G.S. §143-318.11(a)(3), to consult with and receive advice from counsel; and*
- c. *N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, prospective public officer or employee.*

Ms. Katziff excused all attendees except the Committee members and Chancellor Gaber, Mr. Bowen, Ms. Burgess, Ms. Bradley, Ms. Dadio, Mr. Dunham, Mr. Hieb, Mr. Humphrey, Mr. Pena, Dr. Reinken, Mr. Snipes, Ms. Stracener, and Ms. Walker.

Closed Session

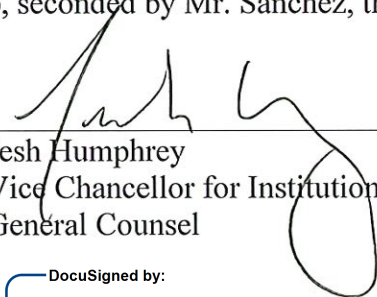
Closed session minutes are filed in the office of the Assistant Secretary to the Board of Trustees.

Open Session

Motion to Adjourn. Ms. Katziff requested a motion to adjourn.

Action. On a motion by Dr. Weintraub, seconded by Mr. Sanchez, the meeting was adjourned.


Submitted:



Jesh Humphrey
Vice Chancellor for Institutional Integrity and
General Counsel

12/15/2021
Date

Approved:

DocuSigned by:


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Christine Katziff
Chair
Audit, Compliance, and Enterprise Risk
Management Committee

12/17/2021 | 6:08 AM PST
Date



**The University of North Carolina at Charlotte
Board of Trustees**

Executive Committee Meeting
Wednesday, October 6, 2021 9:35–10:35 a.m.
Popp Martin Student Union, Room A-F

Open Session Minutes

Present

Susan DeVore, Chair
Dick Beekman
Dennis Bunker III
Christine Katziff
Brett Keeter
Fred Klein Jr.
Carlos Sanchez
Teross W. Young Jr.

Staff/Guests in Attendance

Sharon Gaber, Chancellor
Brad Bowen, Digital Media Communications – Video Support
Kim Bradley, Chief of Staff and Assistant Secretary to the Board
Anna Clark, Executive Assistant to the Chief of Staff
Susan Harden – Faculty Council President
Harrison Hieb – Digital Media Communications – Video Support
Mike Hill, Director of Athletics
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
Melva Jenkins, Administrative Liaison for University Governance
Larry Kelley, Vice Chancellor for Business Affairs
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Emily Parker – AISLE – Backup Off-Site Zoom Support
Marcus Pena – AISLE – Off-Site Zoom Support
Niles Sorensen, Vice Chancellor for Advancement
Natasha Stracener, AISLE – On-Site Zoom Support
Leslie Zenk, Assistant Provost

Call to Order. Ms. DeVore called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify her immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.

Approval of Minutes. Ms. DeVore requested action on the April 6, 2021, Executive Committee meeting minutes as distributed.

Action. On a motion by Mr. Bunker, seconded by Mr. Keeter, the Committee unanimously approved the April 6, 2021, meeting minutes.

Approval of Revised Plan for the Isaac Swift Distinguished Professor of Jewish Studies. Provost Lorden provided an overview of the revised plan for the Isaac Swift Distinguished Professor of Jewish Studies, which has been vacant for many years. Provost Lorden reported that the original plan has been updated to reflect a change in title to “Distinguished Professor” rather than “Chair”, consistent with the Rules and Regulations for the Distinguished Professors Endowment Trust Fund, and from “Judaic Studies” to “Jewish Studies”. The plan has also been updated to allow both internal and external applicants for the professorship.

Ms. DeVore requested a motion to approve the revised plan for the Isaac Swift Distinguished Professor of Jewish Studies.

Action. On a motion by Ms. Katziff, seconded by Mr. Sanchez, the Committee unanimously approved the revised plan for the Isaac Swift Distinguished Professor of Jewish Studies.

Final Approval of BOG Delegated Authority Resolution. Mr. Humphrey presented the delegated authority resolution for final approval. Mr. Humphrey advised the Committee that the draft, which was reviewed and preliminarily approved by the Board of Trustees in August, had been approved by the System Office with some minor revisions, and that the next step was to formally adopt the approved, revised resolution. Mr. Humphrey detailed the areas of revision.

Ms. DeVore requested a motion to adopt the Board of Governors Delegated Authority Resolution as revised and approved by the System Office.

Action. On a motion by Ms. Katziff, seconded by Mr. Bunker, the Committee unanimously adopted the Board of Governors Delegated Authority Resolution as revised and approved by the System Office.

Motion to Convene in Closed Session. Ms. DeVore requested a motion to convene in closed session.

Action. On a motion by Mr. Keeter, seconded by Mr. Bunker, the Committee convened in closed session pursuant to the following General Statutes of the State of North Carolina:

- a. *Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and*
- b. *prevent the disclosure of information that is privileged or confidential pursuant to the law of this State or of the United States, or not considered a*

- public record within the meaning of Chapter 132 of the General Statutes pursuant to N.C.G.S. §143-318.11 (a)(1);*
- c. *establish, or to instruct the public body's staff concerning the amount of compensation and other material terms of an employment contract or proposed employment contract pursuant to N.C.G.S. §143-318.11 (a)(5);*

Closed Session

Closed session minutes are filed in the office of the assistance secretary to the Board of Trustees.

Open Session

Action on Appointment and Tenure of Dean of College of Education. Ms. DeVore requested a motion to approve the appointment and tenure of the Dean of the College of Education recommendation as presented in closed session.

Action. On a motion by Mr. Bunker, seconded by Mr. Sanchez, the Committee unanimously approved the appointment and tenure of the Dean of the College of Education recommendation as presented in closed session.

Action on Faculty Salary Ranges. Mr. DeVore requested a motion to approve the faculty salary ranges recommendations as presented in closed session.

Action. On a motion by Mr. Bunker, seconded by Mr. Keeter, the Committee unanimously approved faculty salary ranges recommendations as presented in closed session.

Action on Appointments to the Board of Trustees of the Endowment Fund. Mr. DeVore requested a motion to approve the recommendations for appointment to the Board of Trustees of the Endowment Fund as presented in closed session.

Action. On a motion by Mr. Bunker, seconded by Mr. Sanchez, the Committee unanimously approved the recommendations for appointment to the Board of Trustees of the Endowment Fund as presented in closed session.

Action on Athletics Employment Agreements. Mr. DeVore requested a motion to approve the athletics employment agreements as presented in closed session.

Action. On a motion Mr. Bunker, seconded by Mr. Keeter, the Committee unanimously approved the athletics employment agreements as presented in closed session.

Adjourn. There being no other business, Ms. DeVore adjourned the meeting at 10:26 a.m.

Submitted: Kim S. Bradley 12/15/21
Kim S. Bradley Date
Assistant Secretary to the Board
UNC Charlotte Board of Trustees

Approved: Dennis N. Bunker, III 12/15/21
Dennis N. Bunker, III Date
Acting Chair
UNC Charlotte Board of Trustees



**The University of North Carolina at Charlotte
Board of Trustees**

Academic and Student Affairs Committee Meeting

Wednesday, October 6, 2021, 10:40-11:40 a.m.
Popp Martin Student Union, Third Floor, Room I

Minutes

Present

Brett Keeter, Chair
Carlos Sanchez, Vice Chair
Wendy Grubbs
Dontá Wilson
Susan DeVore, *ex officio*

Staff in Attendance

Kevin Bailey, Vice Chancellor for Student Affairs
Kim Bradley, Chief of Staff
Sarah Edwards, Associate General Counsel
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
Joan F. Lorden, Provost and Vice Chancellor for Academic Affairs
James Lorello, Director of Student Accountability and Conflict Resolution
Michelle Reinken, Director of Title IX Compliance/Title IX Coordinator
Rick Tankersley, Vice Chancellor for Research and Economic Development
Leslie Zenk, Assistant Provost

Call to Order. Mr. Keeter called the meeting to order and reminded members of the Committee of their duty to avoid conflicts of interest and appearances of conflicts under the State Government Ethics Act in accordance with Chapter 138A of the North Carolina General Statutes and advised the Trustees to make him aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the Committee at any time during the meeting. No conflicts of interest were reported.

Approval of Minutes. Mr. Keeter requested action on the minutes of the April 6, 2021 Academic and Student Affairs Committee meeting.

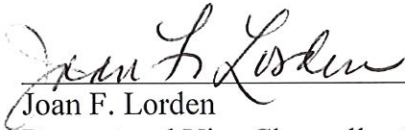
Action. On a motion by Ms. Grubbs, seconded by Mr. Wilson, the Committee unanimously approved the April 6, 2021 meeting minutes.

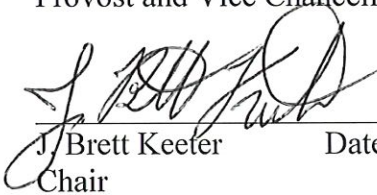
Title IX Appeals Training. As the appellate body for student appeals of Title IX cases (including sexual misconduct, domestic violence, dating violence, and stalking), the Academic and Student Affairs Committee receives annual training on the university's student conduct process, the

unique dynamics of sexual and interpersonal misconduct cases, and the parameters of the committee's review when a case is brought to it. Ms. Edwards, Dr. Reinken, and Dr. Lorello provided an in-person presentation for the committee members.

Future Topics. Mr. Keeter asked committee members to contact him with suggested or desired topics for the Academic and Student Affairs Committee.

Adjourn. There being no further business before the Committee, Mr. Keeter adjourned the meeting.

Submitted:  12/16/21
Joan F. Lorden Date
Provost and Vice Chancellor for Academic Affairs

Approved:  12-15-2021
J. Brett Keeter Date
Chair
Academic and Student Affairs Committee



**The University of North Carolina Charlotte
Board of Trustees**

Athletics Committee Meeting
Wednesday, October 6, 2021
Popp Martin Student Union, Room 265

Open Session Minutes

Present

Teross Young Jr., Chair
Dick Beekman
Sharon Decker
Sasha Weintraub

Staff in Attendance

Scott Byrd, Associate Athletic Director for Compliance
Shari Dunn, Senior Executive Assistant to the Chancellor
Chris Fuller, Deputy Athletic Director
Mike Hill, Director of Athletics
Ragean Hill, Executive Associate Athletic Director
Emily Parker – AISLE – Off-Site Zoom Support
Allison Roach, Athletic Executive Assistant
Darin Spease, Deputy Athletic Director
Sean Stallings – AISLE – On-Site Zoom Support
Chris Thomasson, Executive Associate Athletic Director
Jennifer Walker, Chief Audit Officer

Call to Order. Mr. Young called the meeting to order and reminded the committee members of their obligation under the State Government Ethics Act as stated in Chapter 138A of the N.C. General Statutes. No member indicated any conflict of interest with respect to any matter coming before the committee during this meeting.

Approval of Minutes. Mr. Young requested action on the April 6, 2021 Athletics Committee meeting minutes.

Action. On a motion by Ms. Decker, seconded by Mr. Beekman, the Committee unanimously approved the April 6, 2021 Athletics Committee meeting minutes.

GreenLight (Name, Image, and Likeness). Mr. Byrd explained the Name, Image and Likeness (NIL) rules and regulations. He reported that Charlotte Athletics has partnered with COMPASS to track and analyze NIL activities based on a multitude of categories.

Changing Landscape of College Athletics. Mr. Hill touched on the changing landscape of college athletics including: NIL, NCAA Constitutional Convention, realignment of conferences, expansion of college football playoffs, and the guidance of student athletes under the National Labor Relations Act. Mr. Hill made note of his gratitude toward C-USA, without whom we would not be playing FBS football.

Softball Facility. Mr. Spease reported that the current softball space holds 15 to 17 players and is inadequate for a growing, competitive, softball program. He reported that coaches' offices are being used to accommodate the locker room space needs of 25 plus student-athletes and that the coaches have relocated across the street to one of the baseball suites. Mr. Spease advised the Committee of the proposed new facility to be built adjacent to the current Lewis Family Softball Training facility, which would house coaches' offices, locker rooms, meeting and lounge space, as well as training and equipment rooms to better comply with Title IX expectations. Mr. Spease reported that the approximate cost is estimated at \$2.5 million and advanced planning and design was unanimously approved at the Finance and Physical Properties Committee meeting earlier that morning.

Athletic Department Update. Mr. Hill reviewed the records of our fall sports teams, reporting that student attendance has been awesome this year. He updated the Committee on the department's COVID management stating that 87 percent of total student-athletes are vaccinated.

Motion to Convene in Closed Session. Mr. Young requested a motion to move to closed session.

Action. On a motion by Beekman, seconded by Dr. Weintraub, the Committee convened in closed session pursuant to the following General Statute of the State of North Carolina:

- a. *N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidential arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and*
- b. *N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, prospective public officer or employee.*

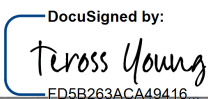
Closed Session

Closed session minutes are filed in the office of the Assistant Secretary to the Board of Trustees.

Open Session

Adjournment. There being no further business, Mr. Young adjourned the meeting.

Submitted:  12.15.21
Mike Hill
Director of Athletics
Date

Approved:  12/16/2021 | 3:30 PM PST
FD5B263ACA49416
Teross W. Young Jr.
Chair
Date



**The University of North Carolina at Charlotte
Board of Trustees**

Facilities and Physical Properties Committee Meeting
Wednesday, October 6, 2021
Popp Martin Student Union, Room I

Open Session Minutes

Present

Fred W. Klein
Christine Katziff
David Mildenberg
Dennis Bunker
Susan DeVore (ex-officio)

Staff/Guests in Attendance

Sharon L. Gaber, Chancellor
Anne Brown, Associate Vice Chancellor for Finance
Betty Doster, Special Assistant to the Chancellor for Constituent Relations
Shannon Faulcon – AISLE – Backup Off-Site Zoom Support
Kathryn Horne, Director of Planning, Design and Construction
Larry Kelley, Interim Vice Chancellor for Business Affairs
Madelayne Meza Leon – AISLE – Off-Site Zoom Support
Ken Smith, Chief Budget Officer
Darin Spease, Deputy Athletics Director
Rich Steele, Interim Associate Vice Chancellor for Facilities Management
Nelda Tatum, Board and Committee Specialist
Lorenzo Walton AISLE – On-Site Zoom Support

Call to Order. Mr. Klein called the meeting to order and reminded committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the committee members to notify him immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interests were reported.

Approval of the Minutes. Mr. Klein requested approval of the April 6, 2021, minutes of the Finance

and Physical Properties Committee (FPPC).

Action: On a motion by Mr. Mildenberg, seconded by Ms. Katziff the Committee unanimously approved the minutes for the April 6, 2021, FPPC meeting.

Mr. Kelley introduced Rich Steele to the committee. He stated that Mr. Steele has been the associate vice chancellor for business services since July 2019. Since the departure of Jon Varnell, Mr. Steele was asked to serve as the interim associate vice chancellor for facilities management. Mr. Kelley stated that Mr. Steele's experience and strong leadership skills will be of great value to facilities management, the University and the Board. Mr. Steele has broad experience in higher education, having spent a number of years at Georgia Tech and NC State before joining us. Mr. Kelley thanked Mr. Steele for his willingness to serve in this extended capacity.

University Budget Update. Mr. Smith shared with the Committee the operations budget for the University ending June 30, 2021. He discussed the original budgets for the general fund, housing and residence life, athletics, parking, and dining for FY21, and then compared the actuals for FY20 to FY21 for these same auxiliary units. Mr. Smith mentioned that the Higher Education Emergency Relief Funds (HEERF) were received by housing and residence life, parking services and dining. No HEERF funds were received by Athletics.

Mr. Kelley reminded everyone that auxiliary units, including these, do not receive any state funding. He explained that maintaining fund balances has allowed the university to meet its operational and capital requirements. We do not anticipate that HEERF funds will be received in the future. The University anticipates that revenues will be strong.

Mr. Spease stated that FY20 was a difficult year for Athletics. A great deal was lost in ticket sales and other areas.

Mr. Kelley stated, however, with all adversities, the University's enrollment is strong and the residence halls are full. The dining program is going well.

Request for Authorization for Advance Planning for Softball Locker Rooms and Offices. Mr. Spease stated that the softball program has grown tremendously. He reported that the softball coaches have been moved from their offices and now located in the baseball suites. Mr. Spease further reported that the footprint of the space will be expanded by 50-feet, allowing space for future women's sports to help comply with Title IX division regulations. Mr. Spease stated that UNC Charlotte is proud to be first in the eastern division.

Action: On a motion by Ms. Katziff, seconded by Mr. Mildenberg, the Committee recommends the board authorize the University to undertake advance planning for the capital improvement project – Softball Locker Rooms and Offices with an advance planning budget of \$250,000 and a total project cost of \$2,500,000.

Request for Authorization of Parking Deck Repairs. Mr. Steele stated the repairs to the parking decks will allow the University to maintain safety, functionality, and keep them in proper condition. The project aggregate cost is \$1,300,000.

Action: On a motion by Mr. Bunker seconded by Mr. Mildenberg, the Committee recommends the Board authorize the University to undertake a capital improvement project – Parking Deck Repairs with a budget of \$1,300,000.

Request for Authorization for Campus Security Cameras Phase I. Mr. Steele stated that this is Phase I for this project of campus security cameras. He reported that there are security cameras in place but only in the entrance and exits to the parking decks. Mr. Steele explained that cameras will be added in the parking decks, parking lots, and other outdoor areas across the campus to improve the safety and security for our campus community.

Action: On a motion by Mr. Bunker, seconded by Mr. Mildenberg, the Committee recommends the Board authorize the University to undertake a capital improvement project – Campus Security Cameras – Phase I with a budget of \$1,500,000.

Request for Authorization for Parking Lot 25 Renewal. Mr. Steele stated that Parking Lot 25 was originally a temporary parking lot serving the north campus and the light rail, which, as such, it now requires renovation because of its extensive use.

Action: On a motion by Mr. Bunker seconded by Mr. Mildenberg, the Committee recommends the board authorize the University to undertake a capital improvement project – Parking Lot 25 Renewal with a budget of \$1,700,000.

Request for Authorization for Atkins Café Renovation. Mr. Steele reported that the population of the Atkins Library has grown significantly, explaining that renovation of the café space will triple the capacity to accommodate this growth. Mr. Steele reported that the proposed renovation will feature a new layout with upgraded finishes, food service equipment, and furniture. Starbucks will be added and the Peet's concept will be removed.

Action: On a motion by Mr. Bunker seconded by Ms. Katziff, the Committee recommends the Board authorize the University to undertake a capital improvement project – Atkins Café Renovation with a budget of \$750,000.

Request for Ratification for Kennedy Second Floor Renovation. Mr. Steele reported that Kennedy Second Floor will be renovated to provide space for Distance Education and the Audiovisual Integration and Support for Learning Environments (AISLE) Group with a budget of \$500,000.

On July 27, 2021, the selection team reviewed three submittals and recommended the following for this project.

Selected: Gensler Architecture, Design & Planning, P.C.
1st Alternate: Watson Tate Savory, Inc.

2nd Alternate: BHDP, PLLC

Action: On a motion by Mr. Bunker, seconded by Mr. Mildenberg, the Committee unanimously approved the ratification of the selected designer, Gensler Architecture, Design & Planning, P.C., and first and second alternates, Watson Tate Savory, Inc., and BHDO PLLC respectively, for the Kennedy Floor Renovation project.

Capital Improvement Projects Update. Mr. Steele provided an update on the following capital improvement projects:

McEniry

This project is on time and on budget.

Popp Martin Student Dining Renovations

The construction manager agreement with Shiel Sexton was terminated due to their inability to meet the required project schedule. A competitive bid process will be used to identify a new construction manager.

Remembrance Memorial

Focus group sessions and workshops with the design team, faculty, students, and staff are being scheduled to gather feedback.

Residence Hall Phase XVI

The bidding process was successful and we will move forward with construction to begin in November or December. New Atlantic, who has done excellent work on this campus previously, was selected as the contractor. Mr. Kelley stated that Phase XVI will be a more traditional style of residence hall priced at a lower level. He stated that studies show repeatedly that students living on campus are likely to progress to a timely degree and be successful in their studies, adding that safety and convenience are also advantages to living on campus.

Science Building

The Science Building is on target to achieve full beneficial occupancy in November and laboratory classes will commence in the spring semester.

UNC System All-Funds Budget Initiative. Mr. Smith presented the UNC System All-Funds Budget outline to the Committee. He stated that before the System Office presented this idea to the system universities, Chancellor Gaber had asked for our budget office to take on this project. Mr. Smith indicated that today's presentation is to show what the System Office is looking at for our best practices of resource allocations.

Mr. Smith reported that financial resources have shifted away from state appropriations, stating that it is more important to understand the resource "buckets". Mr. Smith explained that the template is still being developed and the system is still deciding on how the final output will be displayed.



UNIVERSITY OF NORTH CAROLINA
CHARLOTTE

**The University of North Carolina at Charlotte
Board of Trustees**

Wednesday, October 6, 2021
Popp Martin Student Union, Room A-F

Open Session Minutes

Present

Susan DeVore, Chair
Dick Beekman
Sharon Decker
Wendy Grubbs
Christine Katziff
J. Brett Keeter
Fred Klein Jr.
David Mildenberg
Carlos Sanchez
Sasha Weintraub
Dontá Wilson
Teross Young Jr.

Staff/Guests in Attendance

Sharon Gaber, Chancellor
Kevin Bailey, Vice Chancellor for Student Affairs
George Banks, Associate Professor of Management, Strategic Planning Committee Co-Chair
Brad Bowen, Digital Media Communications – Video Support
Kim Bradley, Chief of Staff and Assistant Secretary to the Board
Mike Carlin, Vice Chancellor for OneIT and Chief Information Officer
Anna Clark, Executive Assistant to the Chief of Staff
Celeste Corpening, Staff Council Chair
Betty Doster, Special Assistant to the Chancellor for Constituent Relations
Shari Dunn, Senior Executive Assistant to the Chancellor
Lauren Gregg, Senior Director of University Events
Susan Harden, Faculty Council President
Harrison Hieb, Digital Media Communications, Video Support
Mike Hill, Director of Athletics
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
Christy Jackson, Senior Director, Reputation Management and Communications
Melva Jenkins, Administrative Liaison for University Governance
Lawrence Kelley, Vice Chancellor for Business Affairs
Joan Lorden, Vice Chancellor for Academic Affairs
Sharon McDade, Practice Leader for Strategic Services & Senior Executive Leadership Consultant,
Greenwood/Asher & Associates, LLC

Pinku Mukherjee, Professor, Department of Biological Sciences, Strategic Planning Committee
Co-Chair

Marcus Pena, AISLE – Off-site Zoom Support

Brenda Shue, Executive Assistant to the Chancellor and Division Budget Manager

Niles Sorensen, Vice Chancellor for University Advancement

Cheryl Waites Spellman, Interim Special Assistant to the Chancellor for Diversity and Inclusion

Natasha Stracener, AISLE – On-Site Zoom Support

Jen Ames Stuart, Interim Associate Vice Chancellor for University Communications

Rick Tankersley, Vice Chancellor for Research and Economic Development

Jennifer Walker, Chief Audit Officer

Call to Order. Ms. DeVore called the meeting to order and reminded members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify her immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.

Introductions and Announcements. Ms. DeVore welcomed Trustees and guests, and then shared several announcements and upcoming events.

Approval of Previous Meeting Minutes. Ms. DeVore requested action on the minutes of the April 6, 2021, July 13, 2021, and August 24, 2021, meetings as distributed.

Action. On a motion by Dr. Weintraub, seconded by Mr. Young, the Board unanimously approved the minutes of the April 6, 2021, July 13, 2021, and August 24, 2021, minutes as distributed.

Student Body President Swearing-In. Ms. DeVore announced that Mr. Beekman's swearing-in was postponed from the April 2021 meeting which was held virtually. Ms. DeVore then invited Mr. Beekman to the podium to be sworn-in.

Remarks by the Chancellor. Chancellor Gaber began by reporting on Fall 2021's record enrollment numbers. She then provided an update on the vaccination rates of on-campus faculty, staff and students, as well as the ongoing efforts to keep the campus safe, including mitigation and wastewater surge testing, and mask and event mandates, Chancellor Gaber also reported that employees and students will once again be required to submit flu shot attestations and flu shot clinics will be held on campus. Chancellor Gaber then celebrated Charlotte's achievement of being recognized as one of the nation's most innovative universities and a leader in social mobility by US News & World Report's 2022 Best Colleges Rankings.

Next, Chancellor Gaber provided an update on the status of the Hotel and Conference Center's operations, including occupancy levels, the Golden Owl, refinanced debt, and staffing.

Lastly, Chancellor Gaber announced that the new associate vice chancellor for diversity and inclusion, and chief diversity officer, Brandon Wolfe, will begin his new role in November. She thanked Ms. Waites Spellman for her service in the interim role.

Strategic Plan. Chancellor Gaber began by thanking the strategic planning committee for its hard work over the past year. She then provided a recap of the planning process and an explanation of the major components of the plan.

Chancellor Gaber then reviewed the revised mission statement, reminding the Trustees that the Board of Governors must approve any changes to a constituent institutions' mission. She stated that the University had submitted our revised mission statement in June, with original plans for review in September. She explained that the System Office subsequently determined that more consistent guidelines needed to be in place for the review process of constituent institutions' mission statements, and recently issued draft regulations. We revised our mission statement to align it with the new regulations. Chancellor Gaber advised that the Board's approval of the revised mission statement would be requested as part of the motion to approve the strategic plan.

Chancellor Gaber next reviewed the vision, guiding commitments, strategic focus areas, and goals. She also discussed the 44 objectives and 153 actions that make the plan actionable. She then discussed the success metrics and reiterated that while it is a 10-year plan, it will be reviewed and updated in five years.

Robust discussion ensued.

Ms. DeVore requested a motion to approve the strategic plan, including the revised mission statement.

Action. On a motion by Dr. Weintraub, seconded by Mr. Sanchez, the Board unanimously agreed to approve the strategic plan, including the revised mission statement.

Legislative Update. Ms. Doster reported that the fiscal year ended on June 30 with no new state budget; however, she is hopeful that there will be a resolution in the next couple of weeks. Ms. Doster then discussed expected allocations for UNC Charlotte.

OneIT Security Update. Dr. Carlin presented the results of a recent Red Team Assessment conducted by FireEye Mandiant. He explained that red teaming is the practice of testing the security of systems by emulating a malicious actor trying to hack into the systems.

Dr. Carlin reported that Mandiant was unable to bypass our multi-layered defenses for two weeks and that only after enabling them access to the system in week three, was the team able to eventually move laterally across campus mimicking a breach. Dr. Carlin reported that during the 4-week engagement, our strong perimeter defenses frustrated the hackers. Then, he reviewed the strengths and improvement areas, as well as the next steps for continued enhancement of OneIT security.

Report of the University Faculty Council. Ms. Harden, the new faculty council president, raised the question of faculty compensation. Ms. Harden articulated the critical role that faculty play in the success of the strategic plan. She then shared data on salary and bonus history of faculty since 2007. Ms. Harden emphasized the urgency of addressing faculty compensation and stated that the Council will be conducting a faculty compensation.

Report of the University Staff Council. Ms. Corpening reported on the record-setting Golden Nugget awards presented in the last quarter, and provided a summary of the Staff Assembly chairs meeting that took place on September 9. Ms. Corpening also reported on the activities of the Events and Education

Committee and issues being addressed by the Staff Relations Committee, including telework options, parking reimbursements for those who worked during the pandemic, and lengthy wait times when using the campus mobile food app.

Report of the Student Government Association. Mr. Beekman reported on the Student Government Association's (SGA) continued work to encourage student compliance with COVID-10 protocols. Mr. Beekman then reported that SGA Senate elections were held on September 21 and 22 and that the SGA has a full senate for the first time in four years. Also, the election for freshman class president was held on September 29. Mr. Beekman then highlighted the SGA's recent student engagement and fundraising strategies and activities. Lastly, Mr. Beekman addressed a question regarding the student perspective on vaccinations and testing, stating that students are grateful to be back in person and most are willing to take the necessary steps to remain in-person.

Report of the Executive Committee. Ms. DeVore reported that the Executive Committee had unanimously approved a revised plan for the Isaac Swift Distinguished Professor of Jewish Studies. She then reported that the Committee unanimously approved the final BOG Delegated Authority Resolution that the Board had approved at its August retreat and sent to the President for review and approval. Ms. DeVore then reported that the Committee unanimously approved several items, including proposed faculty salary ranges, nominations for the 2021 Board of Trustees of the Endowment fund, and several athletics agreements. Lastly, Ms. DeVore apprised the Board of an email vote on April 26 in which the Board unanimously approved the revision of Goal D1 of the strategic plan framework.

Report of the Audit, Compliance, and Enterprise Risk Management Committee. Ms. Katziff reported that the Committee reviewed the internal audit update for FY21 and FY22, a summary of the internal audit reports, which had no significant findings. She also reported that the exception report contained no past due items. Ms. Katziff then reported that the Committee approved the internal audit charter with minor revisions. Ms. Katziff also reported that the Internal Audit exceeded the required scores in all six themes of the self-assessment maturity model presented by the System. Lastly, Ms. Katziff reported that the Committee also received an ethics and compliance update, and an enterprise risk management update.

Report of the Academic and Student Affairs Committee. Mr. Keeter reported that the Committee received annual training on the Title IX student conduct process as the appellate body for student appeals.

Report of the Athletics Committee. Mr. Young reported that the Committee received an overview of the Greenlight program, which focuses on assisting students with the new Name, Image, and Likeness policy. Mr. Young also reported that Mr. Hill will attend a constitutional convention regarding how the NCAA does business and supports its student-athletes. Lastly, Mr. Young reported that the Committee received a presentation on the proposed softball facility which will be a good investment for current athletes, as well as future recruits.

Report of the Facilities and Physical Properties Committee. Mr. Klein reported that the Committee received an update on the Phase XVI project.

Request for Full Authorization of Advance Planning for Softball Locker Rooms and Offices. Mr. Klein reported that the Committee is recommending approval of the authorization for the University to undertake a capital improvement project – Softball Locker Rooms and Offices project with an advance planning budget of \$250,000 and a project cost of \$2,500,000.

Ms. DeVore called for action on the Committee motion to approve authorization for the University to undertake a capital improvement project – Softball Locker Rooms and Offices project with an advance planning budget of \$250,000 and a project cost of \$2,500,000.

Action. The Board unanimously approved authorization of the capital improvement project – Softball Locker Rooms and Offices project with an advance planning budget of \$250,000 and a project cost of \$2,500,000.

Request for Authorization of Capital Project – Parking Deck Repairs. Mr. Klein reported that the Committee is recommending approval of the authorization for the University to undertake a capital improvement project – Parking Deck Repairs with a budget of \$1,300,000.

Ms. Devore called for action on the Committee motion to approve authorization for the University to undertake a capital improvement project – Parking Deck Repairs with a budget of \$1,300,000.

Action. The Board unanimously approved authorization of the capital improvement project – Parking Deck Repairs with a budget of \$1,300,000.

Request for Authorization of Capital Project – Campus Security Cameras – Phase 1. Mr. Klein reported that the Committee is recommending approval of the authorization for the University to undertake a capital improvement project – Campus Security Cameras – Phase 1 with a budget of \$1,500,000.

Ms. Devore called for action on the Committee motion to approve authorization for the University to undertake a capital improvement project – Campus Security Cameras – Phase 1 with a budget of \$1,500,000.

Mr. Sanchez abstained from voting on this project to avoid any potential conflict of interest due to his employment with AT&T.

Action. The Board approved authorization of the capital improvement project – Campus Security Cameras – Phase 1 with a budget of \$1,500,000, with a vote of 12-0-1.

Request for Authorization of Capital Project – Parking Lot 25 Renewal. Mr. Klein reported that the Committee is recommending approval of the authorization for the University to undertake a capital improvement project – Parking Lot 25 Renewal with a budget of \$1,700,000.

Ms. Devore called for action on the Committee motion to approve authorization for the University to undertake a capital improvement project – Parking Lot 25 Renewal with a budget of \$1,700,000.

Action. The Board unanimously approved authorization of the capital improvement – Parking Lot 25 Renewal with a budget of \$1,700,000.

Request for Authorization of Capital Project – Atkins Café Renovation. Mr. Klein reported that the Committee is recommending approval of the authorization for the University to undertake a capital improvement project – Atkins Café Renovation with a budget of \$750,000.

Ms. Devore called for action on the Committee motion to approve authorization for the University to

undertake a capital improvement project – Atkins Café Renovation with a budget of \$750,000.

Action. The Board unanimously approved authorization of the capital improvement – Atkins Café Renovation with a budget of \$750,000.

Motion to Convene in Closed Session. Ms. DeVore called for a motion to convene in closed session, welcoming Cabinet members to stay.

Action. On a motion by Mr. Sanchez, seconded by Mr. Bunker, the Board convened in closed session pursuant to the following General Statutes of the State of North Carolina:

- a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;
- b. to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee pursuant to N.C.G.S §143-318.11 (a)(6);
- c. establish, or to instruct the public body's staff concerning the amount of compensation and other material terms of an employment contract or proposed employment contract pursuant to N.C.G.S. §143-318.11 (a)(5);

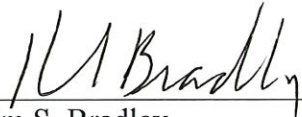
Closed Session

Closed session minutes are filed in the office of the Assistant Secretary to the Board of Trustees.

Open Session


Adjourn. There being no further business to come before the Board, the meeting was adjourned at 2:51 p.m.

Submitted:

 12/15/21

Kim S. Bradley Date
Assistant Secretary to the Board
UNC Charlotte Board of Trustees

Approved:

 12/15/21

Dennis N. Bunker, III Date
Acting Chair
UNC Charlotte Board of Trustees