



**The University of North Carolina at Charlotte
Board of Trustees**

Audit, Compliance, and Enterprise Risk Management Committee Meeting
Tuesday, November 28, 2017, 8:00 a.m.
Harris Alumni Center Boardroom

Open Session Minutes

Present

Laura A. Schulte, Chair
Mary Ann Rouse
Michael L. Wilson
Joe L. Price, *ex officio*

Absent

Teross W. Young Jr.

Staff/Guests in Attendance

Philip L. Dubois, Chancellor
Kim Bradley, Chief of Staff and Assistant Secretary to the Board of Trustees
Susan Burgess, Chief Compliance Officer
Mike Carlin, Vice Chancellor for Information Technology/Chief Information Officer
Tina Dadio, University Public Records Officer/Legal Specialist
Darius Griffin, Director of Environmental Health and Safety
Beth Hardin, Vice Chancellor for Business Affairs
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
Ryan Johnson, Fellow, American Council on Education
Phil Jones, Associate Vice Chancellor for Facilities Management
Rowanne Joyner, Chief Information Security Officer
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Jeanne Madorin, Executive Director of Human Resources
Raheel Qureshi, Staff Auditor
Amanda Simpson, Paralegal
Gary Stinnett, Associate Vice Chancellor for Human Resources
Alex Tompkins, Interim Title IX Coordinator and Case Manager
Jennifer Walker, Chief Audit Officer

Call to Order. Ms. Schulte called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify her immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts were reported.

Approval of Minutes. Ms. Schulte requested action on the October 11, 2017, Audit, Compliance, and Enterprise Risk Management Committee meeting minutes.

Action. On a motion by Mr. Price, seconded by Ms. Rouse, the Committee approved the October 11, 2017, Audit, Compliance, and Enterprise Risk Management Committee minutes.

EHRA and SHRA Employee Classifications. Mr. Stinnett presented to the Committee an overview of the differences between employees subject to the Human Resources Act (SHRA) and employees exempt from the Human Resources Act (EHRA). Mr. Stinnett provided detailed summaries of EHRA and SHRA employee data on retirement, reduction in force, severance pay without cause, grievances, and performance management. Mr. Stinnett noted that SHRA employee performance is reviewed under a formal, state-mandated system requiring numerical rankings and that the University is now moving toward a more defined performance management process for EHRA employees. Mr. Stinnett provided SHRA and EHRA employee data and reported that the University has undergone 51 percent employment growth over the last ten years, primarily in EHRA staffing. Mr. Stinnett provided a brief summary of the several key strategic human resources issues, including recruitment, retention, competitive salaries and benefits, performance management, and leadership development.

Mr. Stinnett reported that the North Carolina General Assembly has expanded its definition of EHRA to include University information technology professional positions. Mr. Stinnett noted that universities sought this flexibility to be more competitive with respect to certain compensation and leave issues given a competitive IT labor market. Mr. Stinnett stated that the University has the authority to offer these employees the choice to become exempt or remain in their current SHRA status.

Mr. Stinnett informed the Committee that the Board of Governors' strategic plan calls for every University of North Carolina employee to be surveyed during a five-year period, with a focus on areas of concern such as engagement, recruitment, and retention. Mr. Stinnett reported that a survey will be released in January 2018 to UNC Charlotte employees and that results will be made available later in the year.

Internal Audit Update. Ms. Walker updated the Committee on the annual audit plan for fiscal year 2018. Ms. Walker stated that she outsourced the Payment Card Industry ("PCI") compliance audit because the current internal audit staff may not have the qualifications to complete the technical aspects of the project and because outsourcing frees up staff to work on other projects on the very aggressive audit plan. Ms. Walker reported that CampusGuard, a compliance and security consulting firm for higher education, will conduct the PCI project under her supervision beginning December 11, 2017.

Ms. Walker presented a summary of Internal Audit Reports issued since October 2017, including the Registrar/Academic Integrity Follow-up, Time & Attendance Investigation Report, and Bookstore Investigation Report. Ms. Walker updated the Committee on the current Internal Audit Active Findings Report. Ms. Walker stated that in the future she will replace the Active Findings Report with an Exceptions Findings Report that will show findings that have missed their remediation date, or for which the remediation date has been extended. Mr. Price agreed but

requested that the report retain high-risk items. Ms. Walker agreed stated that she will present a proposed revised report at the next Committee meeting.

Environmental Health and Safety Office (EHSO) Remediation Update. Ms. Walker indicated that the University Safety and Health Committee has been re-established. Mr. Jones was asked to provide an update on the remediation efforts related to floor plans. Mr. Jones indicated that there is an initiative to bring all buildings up to code. Mr. Griffin reported that EHSO will be providing additional fire drills, will update evacuation plans, and engage in campus-wide training.

Compliance Update. Ms. Burgess discussed the status of the State Board of Elections and Ethics Enforcement (SBE) Biennial Review of Statements of Economic Interests (SEI) for Calendar Year 2016. Ms. Burgess reported that the SBE review of the Cabinet members' SEIs is complete, with one exception. Ms. Burgess noted that reviews of Trustee SEI submissions have been delayed because of staff turnover within SBE.

Ms. Burgess briefly reviewed the University Ethics and Compliance Programs and Activities report from September 2017 through October 2017. Ms. Burgess updated the Committee on the status of two external reviews: a Department of Defense Voluntary Educational Institutional Compliance Review, and the Department of Labor Office of Federal Contract Compliance Program Review. Ms. Burgess stated that both reviews are ongoing. Ms. Burgess also noted that the University is participating in a Critical Assessment Survey conducted by the Department of Defense.

Mr. Humphrey reported to the Committee that the Enterprise Risk Management (ERM) position description is being finalized and that the search will open to applications soon.

Changes to Title IX (Education). Mr. Humphrey presented to the Committee recent changes to the Office of Civil Rights (OCR) Title IX guidance. Specifically, Mr. Humphrey reported that in February 2017 the OCR withdrew the 2016 Dear Colleague Letter on transgender students, and in September 2017, the OCR rescinded the 2011 Dear Colleague Letter. Mr. Humphrey noted that one major revision to UNC Charlotte's University Code of Student Responsibility is the removal of the strict timeline of sixty calendar days to complete a Title IX investigation. Mr. Humphrey briefly summarized respondent litigation at other universities in 2014 and 2015. Mr. Humphrey reported that approximately 50 percent of these were reversed and at least twenty of these cases received favorable results for respondent lawsuits.

Mr. Humphrey noted that the Board of Governors has instituted a working task force, and that Jonathan Adams, Associate Dean of Students and Director of Student Conduct, will be part of that group. Mr. Humphrey will report on any developments from that working group at a later time. Mr. Humphrey stated the University is unsure of the future direction of Title IX. Mr. Humphrey indicated that at this time the University does not plan to make any significant changes to its Title IX process and that most universities are taking the same position. Mr. Humphrey stated that, until further guidance, the "law of the land" for the University is OCR's 2001 Revised Guidance on Sexual Harassment.

Other Business. Mr. Humphrey introduced the Audit, Compliance, and Enterprise Risk Management Committee calendar with a list of all regular and as-needed agenda items and their due dates.

Motion to Convene in Closed Session. Ms. Schulte called for a motion to convene in closed session.

Action. On a motion by Ms. Rouse, seconded by Mr. Price, the Board convened in closed session pursuant to the following General Statutes of the State of North Carolina:

- a. *N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidential arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and*
- b. *N.C.G.S. §143-318.11(a)(3), to consult with and receive advice from counsel; and*
- c. *N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, prospective public officer or employee.*

Ms. Schulte excused all attendees except the Committee members, Chancellor Dubois, Ms. Bradley, Ms. Burgess, Mr. Carlin, Ms. Dadio, Ms. Hardin, Mr. Humphrey, Ms. Lorden, Ms. Simpson, Mr. Tompkins, and Ms. Walker.

Closed Session

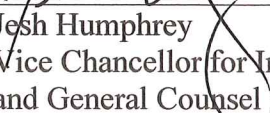
Closed session minutes are filed in the office of the assistant secretary to the Board of Trustees.

Open Session

Motion to Adjourn. Ms. Schulte requested a motion to adjourn.

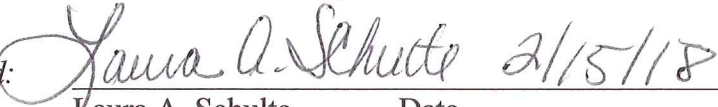
Action. On a motion by Ms. Rouse, seconded by Mr. Price, the meeting was adjourned.

Submitted:

 2/15/18

Jesh Humphrey Date
Vice Chancellor for Institutional Integrity
and General Counsel

Approved:

 2/15/18

Laura A. Schulte Date
Chair
Audit, Compliance, and
Enterprise Risk Management Committee



**The University of North Carolina at Charlotte
Board of Trustees**

Executive Committee Meeting
Tuesday, November 28, 2017, 9:30 a.m.
Harris Alumni Center Boardroom

Open Session Minutes

Present

Joe L. Price, Chair
Susan D. DeVore
Theresa J. Drew
Dhiaa Jamil
Mary Ann Rouse
Laura A. Schulte
Michael L. Wilson

Staff and Guests in Attendance

Philip L. Dubois, Chancellor
Kim Bradley, Chief of Staff and Assistant Secretary to the Board
Mike Carlin, Vice Chancellor for Institutional Technology
Beth Hardin, Vice Chancellor for Business Affairs
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
Ryan Johnson, American Council on Education Fellow
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Judy Rose, Director of Athletics
Jennifer Ward, Liaison to the Board of Trustees and the Cabinet
Leslie Zenk, Assistant Provost, Academic Affairs

Call to Order. Mr. Price called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify him immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.

Approval of Minutes. Mr. Price requested action on the October 11, 2017, Executive Committee meeting minutes.

Action. On a motion by Mr. Wilson, seconded by Ms. Schulte, the Committee approved the October 11, 2017, meeting minutes.

Department of Defense Resolution. Chancellor Dubois reviewed the Department of Defense Resolution excluding Trustees and senior University staff from security clearance requirements for classified research. Mr. Price called for a motion to approve the Resolution for Exclusion of Certain Directors or Officers of the University of North Carolina at Charlotte.

Action. On a motion by Ms. Drew, seconded by Mr. Wilson, the Committee approved the resolution.

IT Centralization—Scope and Plan. Chancellor Dubois stated that a recent state audit of the University's IT organization resulted in three findings related to decentralization and that as a result he had asked Mr. Carlin to develop a plan to address those findings. Mr. Carlin reviewed the audit findings, which included increased demand for IT services, siloed IT service delivery, and a need to better leverage the University's IT capabilities and resources. Mr. Carlin reviewed the plan to address these concerns, including the University's objectives, approach, and means of assessment. Mr. Carlin stated that consulting firm BerryDunn has been hired to conduct an assessment of the University's central and distributed IT organizational structures. Ms. Schulte asked whether efforts to manage risk and improve security will include cybersecurity, and Mr. Carlin stated that he is personally managing cybersecurity and that a risk matrix will be developed.

Motion to Convene in Closed Session. Mr. Price requested a motion to convene in closed session.

Action. On a motion by Mr. Wilson, seconded by Ms. Schulte, the Committee convened in closed session pursuant to the following General Statutes of the State of North Carolina:

- a. *N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and*
- b. *N.C.G.S. §143.318.11(a)(2), to prevent the premature disclosure of an honorary degree, scholarship, prize, or similar award.*

Closed Session

Closed session minutes are filed in the office of the assistant secretary to the Board of Trustees.

Open Session

Adjourn. There being no further business before the Committee, Mr. Price adjourned the meeting.

Submitted: Kim S. Bradley 2/15/18
Kim S. Bradley Date
Assistant Secretary
UNC Charlotte Board of Trustees

Approved: Joe L. Price 15-FEB-18
Joe L. Price Date
Chair
UNC Charlotte Board of Trustees



UNC CHARLOTTE

The University of North Carolina at Charlotte
Board of Trustees

Academic and Student Affairs Committee Meeting
Tuesday, November 28, 2017, 10:30
Harris Alumni Center, Tate Hall

Open Session Minutes

Present

Michael Wilson, Chair
Sharon A. Decker
Mary Ann Rouse

Absent

J. Brett Keeter

Staff and Guests in Attendance

Jonathan Adams, Associate Dean of Students and Director of Student Conduct
Kevin Bailey, Vice Chancellor for Student Affairs
Susan Burgess, Chief Compliance Officer
Michael Carlin, Vice Chancellor for Information Technology
Christine Reed Davis, Dean of Student Affairs
Michael Dulin, Professor of Public Health Sciences and Director for the Academy of Population Health Innovation
Richard Leeman, Faculty Council President
Joan F. Lorden, Vice Chancellor for Academic Affairs
Jay Raja, Senior Associate Provost
Heather Smith, Professor of Geography, Faculty Research Associate in the UNC Charlotte Urban Institute
Jennifer Walker, Chief Audit Officer
Robert Wilhelm, Vice Chancellor for Research and Economic Development
Leslie R. Zenk, Assistant Provost

Call to Order. Mr. Wilson called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify him immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.

Approval of Minutes. Mr. Wilson requested action on the minutes of the October 11, 2017, Academic and Student Affairs Committee meeting.

Action. On a motion by Mary Ann Rouse seconded by Sharon Decker, the Committee approved the October 11, 2017, meeting minutes.

Research Spotlight. Dr. Wilhelm introduced Dr. Dulin and Dr. Smith. Drs. Dulin and Smith presented an overview of the formation and evolution of a unique partnership between medical practitioners and staff at Carolina's Healthcare System's Department of Family Medicine; UNC Charlotte faculty and students in Geography, Nursing and Public Health; and community agencies and advocates working with underserved populations in under-resourced neighborhoods across the Charlotte region. Dr. Dulin stated that the Mecklenburg Area Partnership for Primary Care Research (MAPPR), which was established in 2005 and of which he is director, is a practice based research network that uses the principles of community-based participatory research to build interventions that improve access to primary and preventative care, advance health outcomes, and enhance quality of life. Dr. Dulin stated that since its inception, MAPPR has received funding from the National Institutes of Health, the Agency for Healthcare Research and Quality, The Duke Endowment, The Patient Centered Outcomes Research Institute, and the Charlotte Research Institute.

Dr. Smith stated that, with its community partners, MAPPR has examined patterns of healthcare utilization, identified barriers to healthcare access, and explored social and spatial determinants of health. Dr. Smith noted that the results of these investigations have been used to develop a comprehensive, web-based community resource guide (ranging in topics from health, legal, government, and education); expand a nutrition and exercise class to help combat obesity among Latina families; launch a Spanish-language radionovela program profiling stories of health behavior and nutritional choices; and hold monthly wellness fairs at in elementary schools to provide medical and social support services.

IT Centralization—Scope and Plan. Mr. Carlin reviewed the findings of a recent state audit, including increased demand for IT services, siloed IT service delivery, and a need to better leverage the University's IT capabilities and resources. Mr. Carlin reviewed the plan to address these concerns, including the University's objectives, approach, and means of assessment. Mr. Carlin stated that consulting firm BerryDunn has been hired to conduct an assessment of the University's central and distributed IT organizational structures. Mr. Carlin presented a timeline of project milestones. Ms. Schulte asked whether efforts to manage risk and improve security will include cybersecurity, and Mr. Carlin stated that he is personally managing cybersecurity and that a risk matrix will be developed in January 2018. Dr. Carlin stated that BerryDunn's assessment is expected in spring or summer 2018.

Demonstration Activities Response Team (DART). Dr. Bailey and Mr. Adams provided an overview of the University's Demonstration Activity Resource Team (DART), a multidisciplinary team charged with providing information for and observing and responding to campus demonstration activities such as protests, marches, camp outs, and sit-ins. The team's goal is to

support the University's commitment to facilitating a safe environment in which members of the community can exercise their First Amendment rights but in which any interference with normal University operations is minimized. Mr. Adams reviewed roles and responsibilities for DART team members and the process for activating DART.

Adjourn. There being no further business before the committee, Mr. Wilson adjourned the meeting.

Submitted: Joan F. Lorden 2/15/2018
Joan F. Lorden Date
Provost and Vice Chancellor for Academic Affairs

Approved: M. Wilson 02.15.18
Michael Wilson Date
Chair
Academic and Student Affairs Committee



UNC CHARLOTTE

The University of North Carolina at Charlotte Board of Trustees

Athletics Committee Meeting
Tuesday, November 28, 2017, 10:30 a.m.
Harris Alumni Center, Tate Hall

Open Session Minutes

Present

Susan D. DeVore ,Chair
Tracey B. Allsbrook
Joe L. Price
Laura A. Schulte

Absent

Teross W. Young, Jr.

Staff and Guests in Attendance

Philip L. Dubois, Chancellor
Kim Bradley, Assistant Secretary to the Board and Chief of Staff
Bob Brannan, President, Charlotte 49ers Athletic Foundation
Susan Burgess, Chief Compliance Officer
Andrew Burnett, Student
Scott Byrd, Assistant Athletic Director for Compliance and Championships
Anna Clark, Executive Assistant to Chief of Staff
Shari Dunn, Senior Executive Assistant to the Chancellor
Meredith Harris, Student-Athlete
Mike Hummer, Executive Director Athletic Foundation
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
Melva Jenkins, Administrative Support Associate
Ryan Johnson, American Council on Education Fellow
Allison Roach, Executive Assistant
Judy Rose, Director of Athletics
Darin Spease, Deputy Athletic Director
Chris Thomasson. Senior Associate Athletic Director
Jennifer Ward, Liaison to the Board of Trustees and the Chancellor's Cabinet
David Whitely, Student
Kim Whitestone, Executive Associate Athletic Director

Call to Order. Ms. DeVore called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify her immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.

Approval of Minutes. Ms. DeVore requested action on the minutes of the October 11, 2017, Athletics Committee meeting.

Action. On a motion by Mr. Price, seconded by Ms. Schulte, the Committee approved the October 11, 2017, meeting minutes.

Sport Update. Head Women's Basketball Coach Cara Consuegra presented an overview of the Women's Basketball program for the 2017-2018 academic year.

Athletic Foundation Update. Athletic Foundation President Bob Brannan reviewed the Athletic Foundation's work in support of Athletics programs.

Student-Athlete Update. Softball player Meredith Harris addressed the Committee and discussed why she chose UNC Charlotte and Charlotte Athletics.

Institutional Effectiveness Report. Ms. Whitestone provided the Committee with a snapshot of the Athletics Department's performance in relation to its established goals and objectives.

Athletics Department Update. Ms. Rose presented an update on fall sports; the Let Me Play luncheon, which raised \$179,335; and a fully executed Nike Contract.

Athletics Committee Annual Report Schedule. Ms. Schulte reviewed the annual report schedule with the Committee. No changes were made.

Motion to Convene in Closed Session. Ms. DeVore called for a motion to convene in closed session.

Action. On a motion by Mr. Price, seconded by Ms. Allsbrook, the Board convened in closed session pursuant to the following General Statutes of the State of North Carolina:

- a. *N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and*
- b. *N.C.G.S. §143-318.11(a)(3), to consult with and receive advice from counsel; and*

c. *N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee.*

Ms. Schulte excused all attendees except the Committee members, Chancellor Dubois, Ms. Rose, Ms. Roach, and Mr. Humphrey.

Closed Session

Closed session minutes are filed in the office of the assistant secretary to the Board of Trustees.

Open Session

Adjournment. There being no further business, Ms. Devore adjourned the meeting.

Submitted: Judy Rose 2-15-18
Judy Rose Date
Director of Athletics

Approved: Susan D. DeVore 2-15-18
Susan D. DeVore Date
Chair
Academic and Student Affairs Committee



**The University of North Carolina at Charlotte
Board of Trustees**

Tuesday, November 28, 2017, 12:00 p.m.
Harris Alumni Center, Tate Hall

Open Session Minutes

Presiding: Joe L. Price, Chair

Assistant Secretary: Kim S. Bradley, Chief of Staff

Present

Tracey B. Allsbrook
Dennis N. Bunker III
Sharon A. Decker
Susan D. DeVore
Theresa J. Drew
Dhiala Jamil
Fred W. Klein Jr.
Mary Ann Rouse
Laura A. Schulte
Michael L. Wilson

Absent

J. Brett Keeter
Teross W. Young Jr.

Staff and Guests in Attendance

Philip L. Dubois, Chancellor
Jesh Humphrey, Vice Chancellor and General Counsel
Kim Bradley, Chief of Staff and Assistant Secretary to the Board
Susan Burgess, Director of University Compliance
Mike Carlin, Vice Chancellor for Information Technology and Chief Information Officer
Betty Doster, Special Assistant to the Chancellor for Constituent Relations
Beth Hardin, Vice Chancellor for Business Affairs
Art Jackson, Vice Chancellor for Student Affairs
Ryan Johnson, Fellow, American Council on Education
Richard Leeman, Faculty Council President
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Paul Nowell, Senior Communications Manager
Judy Rose, Director of Intercollegiate Athletics

Niles Sorensen, Vice Chancellor for University Advancement
Buffie Stephens, Associate Director for Media Relations
Penny Stevens, Staff Council Chair
Raheel Qureshi, Interim Director of Internal Audit
Jennifer Ward, Liaison to the Board of Trustees and the Chancellor's Cabinet
Stephen Ward, Executive Director, University Communications
Bob Wilhelm, Vice Chancellor for Research and Economic Development
Leslie Zenk, Assistant Provost for Academic Affairs

Call to Order. Mr. Price called the meeting to order and reminded members of the Board of their duty to avoid conflicts of interest and appearances of conflicts under the State Government Ethics Act in accordance with Chapter 138A of the North Carolina General Statutes and advised the Trustees to make him aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the Board at any time during this meeting. No conflicts of interest were reported.

Announcements. Mr. Price reminded the Trustees about the Board's next meeting, Thursday, February 15, 2018. Mr. Price also reminded the Trustees that they are invited to attend the 2018 Association of Governing Boards National Conference on Trusteeship, April 22-24 in San Francisco.

Mr. Price asked Mr. Wilson to briefly describe his experience at a recent Board of Trustees workshop in Chapel Hill. Mr. Wilson stated that the workshop was informative and covered a number of topics that are of concern to boards throughout the UNC system.

Approval of Minutes. Mr. Price requested action on the minutes of the September 20 and October 11, 2017, meetings of the Board of Trustees.

Action. On a motion by Mr. Wilson, seconded by Ms. DeVore, the Trustees approved the September 20 and October 11, 2017, open and closed session meeting minutes.

Remarks by the Chancellor. Chancellor Dubois stated that he is keeping busy with public presentations to a number of regional workforce and economic development entities and to the Tampa Bay Partnership, which is visiting Charlotte to learn more about light rail. The Chancellor also stated that he is meeting with candidates who sought public office in the fall 2017 elections.

The Chancellor stated that he and Dr. Lorden have been meeting with a number of school officials to discuss the University's K-12 initiatives and its effort to find private funding to help build a permanent building for the two early colleges housed on campus. The Chancellor also noted that they have briefed the leadership of the Following Opportunity Task Force, which is the second phase of the Charlotte Mecklenburg Opportunity Task Force effort to address issues of economic mobility, access, and higher education.

Chancellor Dubois stated that the Cabinet had met with staff members who are developing a Community Engagement Plan focused on how to leverage the University's engagement in the

greater Charlotte region in a host of critical areas including K-12 education, healthcare, and socio-economic equity.

The Chancellor reported that the Board of Governors has approved several new academic programs, including a Master of Science in Management, and a Master of Arts in Architecture, and a Bachelor of Science in Health Systems Management.

The Chancellor also reported that the American Association of State Colleges and Universities recognized faculty member Janni Sorensen for her community engagement work and that Dr. Lorden was recognized with the Charlotte Hornets Foundation Education Hero Award for her work in the community.

Chancellor Dubois stated that a new University Transfer Center, launched in the fall semester, is helping transfer students engage in campus life and benefit from targeted advising.

2018-2019 Fee Adjustments. Chancellor Dubois presented proposed changes to undergraduate fees for 2018-2019. The Chancellor stated that the Board of Governors is very concerned about the cost of attendance throughout the UNC system, and he explained the rationale for the decision to not raise tuition for 2018-2019. The Chancellor noted that the Board of Governors is requiring that fee increases not exceed 3 percent, including the facilities debt service fee. The Chancellor presented the fees requested by campus units, the recommendations of the Tuition and Fees Advisory Board, and his final recommendation, a \$21 increase in general fees, and he noted that, even with an additional \$50 transportation fee that will be assessed, the University will still come in under the 3 percent cap.

2018-2019 Graduate Program Fee Adjustments. Dr. Lorden presented a number of changes to graduate and certificate program fees. Mr. Price requested a motion to approve the undergraduate and graduate fee adjustments for 2018-2019 as proposed.

Action. On a motion by Ms. DeVore, seconded by Mr. Wilson, the Board of Trustees unanimously approved the fee adjustments as proposed.

Hazing Prevention (Education). Ms. Guobadia and Mr. Byrd presented data on hazing incidents since 2014 and discussed common hazing behaviors. Ms. Guobadia discussed anti-hazing practices and standards for fraternities and sororities, and she noted that a number of new practices were recently implemented. Mr. Byrd discussed anti-hazing practices required of student-athletes. Ms. Guobadia and Mr. Byrd also discussed their efforts to involve students and student-athletes in National Hazing Prevention Week and in training opportunities presented in partnership with Student Activities, various student organizations, and Athletics.

Niner Central (Education). Ms. McEntire described the University's new Niner Central Student Services project. Ms. McEntire discussed some of the reasons why students leave college, and she noted that frustration with disparate student services is among those concerns. Ms. McEntire stated that a "OneStop" solution, focusing on student needs, is at the core of a new culture of student-focused services that can educate and enable students to stay in school. Ms. McEntire reviewed

the planning process for Niner Central, technology solutions that will support customer relationship management, and the online Niner Central student portal.

Report of the University Faculty Council. Dr. Leeman reported that the Faculty Council is continuing to work on issues related to financial support for graduate students, methods of student evaluation of teaching, and new certification requirements for online courses. Dr. Leeman noted that the Faculty Council recently passed a review and clarification of the policy governing the appointment of full-time, non-tenure-track faculty, a category that includes approximately 23 percent of the University's full-time faculty. Dr. Leeman stated that the Council also suggested a number of revisions to a free speech policy being developed by the Board of Governors.

Report of the University Staff Council. Ms. Stevens stated that the Staff Council had not met since the last Board of Trustees meeting and that she looked forward to reporting to the Board at its February meeting.

Report of the Executive Committee. Mr. Price reported that Mr. Carlin discussed the first steps that Information Technology is taking to assess the University's central and distributed IT organizational structures. Mr. Price stated that all other Executive Committee items would be reported in closed session.

Report of the Academic and Student Affairs Committee. Mr. Wilson reported that Dr. Michael Dulin and Dr. Heather Smith had reported on their work to improve the quality of and access to preventative health services for underserved populations in Charlotte. Mr. Wilson stated that Dr. Bailey and Mr. Adams presented an overview of the work of the new Demonstration Activities Response Team and Dr. Carlin had presented an overview of the IT assessment.

Report of the Athletics Committee. Ms. DeVore stated that head women's basketball coach Cara Consuegra had updated the Committee on the team's success and efforts to help team members pursue excellence in athletics and academics. Ms. DeVore stated that Bob Brannon discussed the success of Athletics' annual giving campaign, and she stated that he had reported that, to date, \$38 million of Athletics' \$40 million capital campaign goal has been raised.

Report of the Facilities and Physical Properties Committee. Ms. Drew presented a motion from the Committee to authorize the University to undertake a capital improvement project, Student Union Student Government Office Renovation, with a budget of \$1,200,000.

Action. The Board unanimously authorized the University to undertake a capital improvement project, Student Union Student Government Office Renovation, with a budget of \$1,200,000.

Ms. Drew presented a motion from the Committee to authorize the University to undertake a capital improvement project, Campus Infrastructure Renewal – Phase 2, with a budget of \$2,000,000.

Action. The Board unanimously authorized the University to undertake a capital improvement project, Campus Infrastructure Renewal – Phase 2, with a budget of \$2,000,000.

Ms. Drew presented a motion from the Committee to approve an increase in the authorization for the Admissions and Visitors Center project from \$8,000,000 to \$8,500,000.

Action. The Board unanimously approved the increase in the authorization for the Admissions and Visitors Center project from \$8,000,000 to \$8,500,000.

Ms. Drew presented a motion from the Committee to authorize the University to undertake a capital improvement project, Residence Hall Phase XVI, with a budget of \$58,500,000.

Action. The Board unanimously authorized the University to undertake a capital improvement project, Residence Hall Phase XVI, with a budget of \$58,500,000.

Ms. Drew presented a motion from the Committee to approve the request to approve an increase in the authorization for the Baseball Indoor Training Facility project from \$850,000 to \$1,100,000.

Action. The Board unanimously approved the increase in the authorization for the Baseball Indoor Training Facility project from \$850,000 to \$1,100,000.

Ms. Drew presented a motion from the Committee to approve the increase in the authorization for the Softball Indoor Training Facility project from \$650,000 to \$1,150,000.

Action. The Board unanimously approved the increase in the authorization for the Softball Indoor Training Facility project from \$650,000 to \$1,150,000.

Report of the Audit, Compliance, and Enterprise Risk Management Committee. Ms. Schulte stated that Ms Hardin and Mr. Stinnett discussed differences between state employment subject to the State Resources Act (SHRA) and state employment not subject to the Act (EHRA). Ms. Schulte also stated that the Committee discussed ongoing progress in remediating Environmental Health and Safety Office (EHSO) audit findings. Ms. Schulte stated that EHSO is working to remediate several findings from an internal audit and she noted that the Committee has asked EHSO to provide a risk-based prioritization of the remediation work. Ms. Schulte also stated that Mr. Humphrey and Ms. Edwards made an education presentation on recent changes to Title IX guidance. Ms. Schulte stated that she would present the Committee's remaining items in closed session.

Report of the Student Government Association (SGA). Ms. Allsbrook stated that 124 students participated in the annual safety walk with Police Chief Jeff Baker and Lieutenant Sarah Smyre. Ms. Allsbrook stated that SGA is working with Facilities Management to achieve three zero-waste sporting events. Ms. Allsbrook also stated that SGA is working on a communications plan to notify students about the change to a Monday-Wednesday-Friday class schedule in fall 2018.

Motion to Convene in Closed Session. Mr. Price called for a motion for the Board to convene in closed session.

Action. On a motion by Mr. Wilson, seconded by Mr. Klein, the Board convened in closed session pursuant to the following General Statutes of the State of North Carolina:

- a. *N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and*
- b. *N.C.G.S. §143-318.11(a)(3), to consult with and receive advice from counsel.*

Closed Session

Closed session minutes are filed in the office of the assistant secretary to the Board of Trustees.

Open Session

Adjournment. There being no additional items, Mr. Price adjourned the meeting.

Submitted: Kim S. Bradley 2/15/18
Date
Kim S. Bradley
Assistant Secretary to the Board
UNC Charlotte Board of Trustees

Approved: Joe L. Price 15-FEB-18
Date
Joe L. Price
Chair
UNC Charlotte Board of Trustees