



**The University of North Carolina at Charlotte
Board of Trustees**

Audit, Compliance, and Enterprise Risk Management Committee Meeting

Thursday, December 3, 2015, 8:00–9:30 a.m.
Student Union, Room 261

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. At this time, I will ask whether any member of this committee is aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the committee during this meeting. Should you become aware of a conflict of interest or the appearance of a conflict of interest later in this meeting, please notify me immediately.*

1. Approval of the October 2, 2015, Meeting Minutes 8:00 a.m.
Catherine P. Bessant, Chair
Document: October 2, 2015, Meeting Minutes

2. Internal Audit Update 8:00-8:15 a.m.
Tom York, Director of Internal Audit
Documents: Introduction of Internal Audit Staff
Annual Audit Plan FY2016 Update
Summary of Internal Audit Reports Issued Since Last Meeting
Construction Audits Update
Active Findings Report
Quality Assurance and Improvement Program

3. Compliance Update 8:15-8:25 a.m.
Sue Burgess, Director of Compliance
Documents: Compliance Risk Assessment

4. ITS: Meeting the ISO Standards and Ensuring Security 8:25-8:45 a.m.
and Access (Orientation)
Mike Carlin, Vice Chancellor for Information Technology and Chief Information Officer
Rowanne Joyner, Chief Information Security Officer
Documents: UNC Charlotte Information Security Program
Reference Indexing ISO 27002 Framework

5. Motion to Convene in Closed Session 8:45 a.m.
Catherine P. Bessant, Chair

- a. *Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and*
- b. *to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3); and*
- c. *to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee pursuant to N.C.G.S. §143-318.11(a)(6).*

Closed Session

6. Report on Litigation and Risk Management Issues 8:45-9:00 a.m.
David Broome, Vice Chancellor and General Counsel
7. Title IX Reports 9:00-9:10 a.m.
Dawn Floyd, Title IX Coordinator
8. Motion to Resume in Public Session 9:10 a.m.
Catherine P. Bessant, Chair

Public Session

9. Internal Audit and Compliance Executive Session (with Trustees and Assistant Secretary) 9:10-9:30 a.m.
Tom York, Director of Audit
Susan Burgess, Director of Compliance
10. Adjourn 9:30 a.m.
Catherine P. Bessant, Chair

Members

Catherine P. Bessant, Chair
Mary Ann Rouse
Laura A. Schulte
Michael L. Wilson
Karen A. Popp, *Ex Officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Executive Committee Meeting
Thursday, December 3, 2015, 9:30-10:30 a.m.
Student Union, Room 261

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. At this time, I will ask whether any member of this committee is aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the committee during this meeting. Should you become aware of a conflict of interest or the appearance of a conflict of interest later in this meeting, please notify me immediately.*

1. Approval of the October 2, 2015, Meeting Minutes
Karen A. Popp, Chair
Document: October 2, 2015, Meeting Minutes
2. Associated Entities (Orientation)
Jesh Humphrey, Deputy General Counsel
Document: Associated Entities
3. Department of Defense Resolution
Philip L. Dubois, Chancellor
Document: Resolution for Exclusion of Certain Directors or Officers
4. Belk Tower Update
Philip L. Dubois, Chancellor
5. Health and Wellness Center Update
Philip L. Dubois, Chancellor
Judy Rose, Director of Athletics
Jim Hoppa, Associate Vice Chancellor, Student Union, Activities, and Recreation
Document: Health and Wellness Center

6. Connect NC Bond Resolution
Philip L. Dubois, Chancellor
Betty Doster, Special Assistant to the Chancellor for Constituent Relations
Document: Connect NC Bond Resolution

7. Motion to Convene in Closed Session
Karen A. Popp, Chair
 - a. *To consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee pursuant to N.C.G.S. §143-318.11(a)(6);*
 - b. *to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11(a)(3); and*
 - c. *to prevent the premature disclosure of an honorary degree, scholarship, prize, or similar award pursuant to N.C.G.S. §143.318.11(a)(2).*

Closed Session

8. Quarterly Report of EPA Non-Faculty Actions
Beth Hardin, Vice Chancellor for Business Affairs
Document: Quarterly Report of EPA Non-Faculty Actions

9. Women's Golf Coach Contract
Judy Rose, Director of Athletics
Document: Draft Employment Agreement

10. Honorary Degree Nominations
Philip L. Dubois, Chancellor
Documents: Honorary Degree Recommendation Letter
Fannie Flono Nomination Letter
Emily Zimmern Nomination Letter
Policy, Criteria, and Procedures for the Awarding of Honorary Degrees
Honorary Degrees Conferred
Honorary Degree Pool

11. Distinguished Service Award Selection Update
Philip L. Dubois, Chancellor

12. Appointments to the Board of Trustees of the Endowment Fund
Beth Hardin, Vice Chancellor for Business Affairs
Document: Board of Trustees of the Endowment Fund New Appointments
Board of Trustees of the Endowment Fund Membership

13. Motion to Resume in Open Session
Karen A. Popp, Chair

Open Session

14. Action on Women's Golf Coach Contract

Karen A. Popp, Chair

15. Action on Appointments to the Board of Trustees of the Endowment Fund

Karen A. Popp, Chair

16. Other Business

Karen A. Popp, Chair

17. Adjourn

Karen A. Popp, Chair

Members

Karen A. Popp, Chair

Catherine P. Bessant

Theresa J. Drew

Dhiaa Jamil

Joe L. Price

Laura A. Schulte

Michael L. Wilson



**The University of North Carolina at Charlotte
Board of Trustees**

Academic and Student Affairs Committee Meeting
Thursday, December 3, 2015, 10:30 a.m.–11:30 a.m.
Student Union, Room 261

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. At this time, I will ask whether any member of this committee is aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the committee during this meeting. Should you become aware of a conflict of interest or the appearance of a conflict of interest later in this meeting, please notify me immediately.*

1. Approval of Meeting Minutes
Laura A. Schulte, Chair
Document: October 2, 2015, Meeting Minutes
October 16, 2015 Special Meeting Minutes
2. Education Dashboards
Ellen McIntyre, Dean, College of Education
Website: <http://tqdashboard.northcarolina.edu/>
3. Research and Economic Development Spotlight
Bob Wilhelm, Vice Chancellor for Research and Economic Development
Christine Davis, Professor, Department of Communication Studies
Document: Compassionate Communication
Article: Life, Death and Reality, by Christine S. Davis
4. ITS: Meeting the ISO Standards and Ensuring Security and Access (Orientation)
Mike Carlin, Vice Chancellor for Information Technology and Chief Information Officer
Rowanne Joyner, Chief Information Security Officer
Documents: UNC Charlotte Information Security Program
Reference Indexing ISO 27002 Framework

5. Motion to Convene in Closed Session

Laura A. Schulte, Chair

- a. *Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and*
- b. *to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3).*

Closed Session

6. Student Disciplinary Appeal

Dave Broome, Vice Chancellor and General Counsel

7. Motion to Resume in Public Session

Laura A. Schulte, Chair

Public Session

8. Adjourn

Laura A. Schulte, Chair

Members

Laura A. Schulte, Chair

Susan D. DeVore

Mary Ann Rouse

Michael L. Wilson

Karen A. Popp, *Ex Officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Athletics Committee Meeting
Thursday, December 3, 2015, 10:30 a.m.–11:30 a.m.
Student Union, Room 264

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. At this time, I will ask whether any member of this committee is aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the committee during this meeting. Should you become aware of a conflict of interest or the appearance of a conflict of interest later in this meeting, please notify me immediately.*

1. Approval of the October 2, 2015, Meeting Minutes
Dhiala Jamil, Chair
Document: October 2, 2015, Athletics Committee Meeting Minutes
2. Volleyball Update
Judy Rose, Director of Athletics
Karen Weatherington, Head Volleyball Coach
3. Athletic Academic Services (Orientation)
Lisa Hibbs, Director of Athletic Academic Services
Document: The Charlotte 49ers Athletic Academic Center
4. Institutional Effectiveness Report
Kim Whitestone, Senior Associate Athletics Director
Document: Strategic Plan Scorecard 2014-2015: Athletics
5. Athletics Mission Statement
Judy Rose, Director of Athletics
Document: Department of Athletics Mission Statement
6. Athletics Committee Annual Report Schedule
Dhiala Jamil, Chair
Document: Athletics Committee Calendar

7. Chapel Hill Athletic Guidelines
Phil Dubois, Chancellor
Judy Rose, Director of Athletics
Document: UNC-CH Academic Processes for Student-Athletes

8. Other Business
Dhiala Jamil, Chair

Members

Dhiala Jamil, Chair
Catherine P. Bessant
Mitchell J. Daratony
David L. Hauser
Karen A. Popp, *Ex Officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Facilities and Physical Properties Committee Meeting

Thursday, December 3, 2015
Student Union, Room 263

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. At this time, I will ask whether any member of this committee is aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the committee during this meeting. Should you become aware of a conflict of interest or the appearance of a conflict of interest later in this meeting, please notify me immediately.*

1. Approval of Meeting Minutes 10:30-10:32 a.m.
Theresa Drew, Chair
Document: October 2, 2015, Meeting Minutes

2. Belk Tower Update 10:32-10:40 a.m.
Philip L. Dubois, Chancellor
Chris Gilbert, Director of Facilities Planning

3. Health and Wellness Center Update 10:40-10:50 a.m.
Philip L. Dubois, Chancellor
Jim Hoppa, Associate Vice Chancellor, Student Union, Activities, and Recreation
Document: Health and Wellness Center

4. Request for Capital Project Authorizations 10:50-11:00 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
Documents: Belk Plaza Revitalization
Colvard Renovations
Sycamore Hall Renovation
Irwin Belk Track Resurfacing
Hickory/Cedar Swing Space Renovation

5. Real Property Transactions and Procedures (Orientation) 11:00-11:15 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
Document: Real Property Transactions and Procedures

6. Five-Year Capital Plan Update 11:15-11:20 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
Document: Five-Year Capital Plan

7. Capital Improvement Projects Update 11:20-11:30 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
Document: Capital Improvement Projects Update

Members

Theresa Drew, Chair

Sharon Decker

Fred Klein

Joe Price

Karen A. Popp, *Ex Officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Thursday, December 3, 2015
Student Union, Third Floor, Multipurpose Room I

Schedule

- 8:00-9:30 a.m. Audit, Compliance, and Enterprise Risk Management Committee Meeting
Student Union, Room 261
- 9:30-10:30 a.m. Executive Committee Meeting
Student Union, Room 261
- 10:30-11:30 a.m. Academic and Student Affairs Committee Meeting
Student Union, Room 261
- 10:30-11:30 a.m. Athletics Committee Meeting
Student Union, Room 264
- 10:30-11:30 a.m. Facilities and Physical Properties Committee Meeting
Student Union, Room 263
- 12:00-3:30 p.m. Board of Trustees
Student Union, Third Floor, Multipurpose Room I

Board of Trustees Agenda

The Board Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of their duty to avoid conflicts of interest and appearances of conflicts of interest. At this time, I will ask whether any member of this Board is aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the Board during this meeting. Should you become aware of a conflict of interest or the appearance of a conflict of interest later in this meeting, please notify me immediately.*

1. Call to Order
Karen A. Popp, Chair

2. Introductions and Announcements
Karen A. Popp, Chair
3. Introduction and Swearing In of New Trustee
Karen A. Popp, Chair
Sharon Allred Decker, Trustee
Anna Clark, Administrative Support Associate, Legal Affairs
4. Approval of the October 2, 2015, Meeting Minutes
Karen A. Popp, Chair
Documents: October 2, 2015, Board of Trustees Meeting Minutes
5. UNC Board of Governors' Liaison Remarks
Philip Byers, UNC Board of Governors' Liaison to UNC Charlotte
6. Remarks by the Chancellor
Philip L. Dubois, Chancellor
7. Connect NC Bond Act of 2015
Philip L. Dubois, Chancellor
Betty Doster, Special Assistant to the Chancellor for Constituent Relations
Document: 2016 Bond Campaign
8. Department of Defense Resolution
Philip L. Dubois, Chancellor
Document: Resolution for Exclusion of Certain Directors or Officers
9. School-Based Tuition Adjustment
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Document: 2016-17 Graduate Tuition Requests
10. Open Meetings, the Public Records Act, and Document Retention Requirements
Dave Broome, Vice Chancellor and General Counsel
Document: NC Public Records Act and Open Meeting Law - Orientation
11. Report of the Executive Committee
Karen A. Popp, Chair
12. Report of the Academic and Student Affairs Committee
Laura Schulte, Chair
13. Data Science Initiative
Bob Wilhelm, Vice Chancellor for Research and Economic Development
Mirsad Hadzikadic, Executive Director, Data Science Initiative
Document: Data Science Initiative at UNC Charlotte

14. Report of the Athletics Committee
Dhiaa Jamil, Chair
15. Report of the Facilities and Physical Properties Committee
Theresa J. Drew, Chair
Documents: Request for Authorization of Capital Projects:
 - Belk Plaza Revitalization
 - Colvard Renovation
 - Sycamore Hall Renovation
 - Irwin Belk Track Resurfacing
 - Hickory/Cedar Swing Space Renovation
16. Facility Funding, Design, and Construction Process (Orientation)
Beth Hardin, Vice Chancellor for Business Affairs
Phil Jones, Associate Vice Chancellor for Facilities Management
Document: Facility Funding, Design, and Construction Process
17. Report of the Audit, Compliance, and Enterprise Risk Management Committee
Catherine P. Bessant, Chair
18. Report of the Student Government Association
Mitchell J. Daratony, Student Body President and Trustee
19. Report of the University Faculty Council
Jeff Leak, Faculty President
Document: Faculty Council Report
20. Report of the University Staff Council
Jim Kay, Chair
Document: Staff Council Report
21. Other Business
Karen A. Popp, Chair
22. Motion to Convene in Closed Session
Karen A. Popp, Chair
 - a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;
 - b. to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee pursuant to N.C.G.S. §143-318.11(a)(6);

- c. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11(a)(3);
- d. to prevent the premature disclosure of an award pursuant to N.C.G.S. §143.318.11(a)(2).

Closed Session

- 23. Report of the Audit, Compliance, and Enterprise Risk Management Committee
Catherine P. Bessant, Chair
- 24. Athletics Workers' Compensation Claim
Dave Broome, Vice Chancellor and General Counsel
- 25. Report of the Executive Committee
Karen A. Popp, Chair
- 26. Chancellor's Compensation
Karen A. Popp, Chair
- 27. Honorary Degree Recipient Selection
Philip L. Dubois, Chancellor
Documents: Honorary Degree Recommendation Letter
Fannie Flono Nomination Letter
Emily Zimmern Nomination Letter
Policy, Criteria, and Procedures for the Awarding of Honorary Degrees
Honorary Degrees Conferred
Honorary Degree Pool
- 28. Distinguished Service Award Selection Update
Philip L. Dubois, Chancellor
- 29. Motion to Resume in Open Session
Karen A. Popp, Chair

Open Session

- 30. Adjourn
Karen A. Popp, Chair