



**The University of North Carolina at Charlotte
Board of Trustees**

Audit, Compliance, and Enterprise Risk Management Committee Meeting

Wednesday, October 6, 2021, 8:00–9:30 a.m.

Popp Martin Student Union, Third Floor, Room A-F

[Public YouTube Live Stream](#)

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 8:00 a.m.
Christine Katziff, Chair
2. Approval of the April 6, 2021, Meeting Minutes 8:01 a.m.
Christine Katziff, Chair
Documents: April 6, 2021, Meeting Minutes—[Open Session](#) and Closed Session
3. Division of Institutional Integrity Update 8:02 a.m.
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
Document: [Division of Institutional Integrity Organizational Chart](#)
4. Internal Audit Update 8:05 a.m.
Jennifer Walker, Chief Audit Officer
Documents: [FY 2021 Audit Plan Update](#)
[FY 2022 Audit Plan Update](#)
[Summary of Internal Audit Reports Issued \(This Period\)](#)
[Internal Audit Findings Exception Report](#)
[Internal Audit Charter Update](#)
[Self-Assessment Maturity Model](#)
5. Ethics and Compliance Update 8:25 a.m.
Susan Burgess, Chief Compliance Officer
Document: [Ethics and Compliance Update](#)
6. Enterprise Risk Management Update 8:35 a.m.
Steven Dunham, Chief Risk Officer
Document: [Enterprise Risk Management Presentation](#)
7. Motion to Convene in Closed Session 8:50 a.m.
Christine Katziff, Chair

- a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;
- b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3); and
- c. to consider the qualifications, competence, performance, or condition of appointment of an employee pursuant to N.C.G.S. §143-318.11(a)(6).

Closed Session

- | | |
|--|-----------|
| 8. Title IX Update
Michelle Reinken, Title IX Coordinator
Document: Title IX Compliance Program “Snapshot” | 8:50 a.m. |
| 9. Report on Litigation and Risk Management Issues
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel | 9:05 a.m. |
| 10. Investigation and Confidential Findings Update
Jennifer Walker, Chief Audit Officer | 9:15 a.m. |
| 11. Motion to Resume in Open Session
Christine Katziff, Chair | 9:25a.m. |

Open Session

- | | |
|--|-----------|
| 12. Other Business
Christine Katziff, Chair | 9:26 a.m. |
| 13. Adjourn
Christine Katziff, Chair | 9:30 a.m. |

Members

Christine Katziff, Chair
 Carlos Sanchez, Vice Chair
 Dennis Bunker III
 Sasha Weintraub
 Susan DeVore, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Executive Committee Meeting

Wednesday, October 6, 2021 9:30–10:35 a.m.
Popp Martin Student Union, Third Floor, Room A-F
[Public YouTube Live Stream](#)

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Susan DeVore, Chair
2. Approval of Previous Meeting Minutes
Susan DeVore, Chair
Documents: April 6, 2021, Meeting Minutes - [Open Session](#)
April 6, 2021, Meeting Minutes - Closed Session
3. Approval of Revised Plan for the Isaac Swift Distinguished Professor of Jewish Studies
Susan DeVore, Chair
Documents: [Letter to the Chancellor](#)
[Revised Plan for the Isaac Swift Distinguished Professor of Jewish Studies](#)
4. Final Approval of BOG Delegated Authority Resolution
Document: [Restatement of Delegations of Board of Trustees Authority](#)
Jesh Humphrey, General Counsel and Vice Chancellor for Institutional Integrity
5. Motion to Convene in Closed Session
Susan DeVore, Chair
 - a. Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and
 - b. prevent the disclosure of information that is privileged or confidential pursuant to the law of this State or of the United States, or not considered a

- public record within the meaning of Chapter 132 of the General Statutes pursuant to N.C.G.S. §143-318.11 (a)(1);*
- c. establish, or to instruct the public body's staff concerning the amount of compensation and other material terms of an employment contract or proposed employment contract pursuant to N.C.G.S. §143-318.11 (a)(5);*

Closed Session

6. Appointment and Tenure of Dean of College of Education
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Document: Personnel Action Requiring Approval of the BOT
7. Quarterly Report of Faculty Actions and Salary Adjustments
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Documents: Quarterly Report of Faculty Actions
Quarterly Report of Faculty Salary Adjustments
8. Quarterly Report of EHRA Non-Faculty Actions and Salary Adjustments
Larry Kelley, Interim Vice Chancellor for Business Affairs
Documents: Quarterly Report of EHRA Non-Faculty Actions
Quarterly Report of EHRA Non-Faculty Salary Adjustments
9. Faculty Salary Ranges
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Document: UNC Charlotte Faculty Salary Ranges
10. Appointments to the Board of Trustees of the Endowment Fund
Larry Kelley, Interim Vice Chancellor for Business Affairs
Document: Board of Trustees of the Endowment Fund, 2021 Members' List
11. Executive Searches
Sharon Gaber, Chancellor
12. Athletics Employment Agreements
Sharon Gaber, Chancellor
Documents: Employment Agreement Amendments
13. Honorary Degree Nominations
Sharon Gaber, Chancellor
Documents: Honorary Degrees Conferred
Policy, Criteria, and Procedures for the Awarding of Honorary Degrees
14. Distinguished Service Award Nominations
Sharon Gaber, Chancellor
Documents: Recipients of the Distinguished Service Award
Policy, Criteria, and Procedure for the Selection of the Distinguished Service Award

15. Chancellor Evaluation
Susan DeVore, Chair

16. Motion to Resume in Open Session
Susan DeVore, Chair

Open Session

17. Action on Appointment and Tenure of Dean of College of Education
Susan DeVore, Chair

18. Action Faculty Salary Ranges
Susan DeVore, Chair

19. Action on BOTEF Appointments
Susan DeVore, Chair

20. Action on Athletics Employment Agreements
Susan DeVore, Chair

21. Other Business
Susan DeVore, Chair

22. Adjourn
Susan DeVore, Chair

Executive Committee Members

Susan DeVore, Chair

Dick Beekman

Dennis Bunker III

Christine Katziff

Brett Keeter

Fred W. Klein Jr.

Carlos Sanchez

Teross W. Young Jr.



**The University of North Carolina at Charlotte
Board of Trustees**

Academic and Student Affairs Committee Meeting

Wednesday, October 6, 2021, 10:40-11:40 a.m.

Popp Martin Student Union, Third Floor, Room TBD

[Public YouTube Livestream](#)

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Brett Keeter, Chair
2. Approval of the April 6, 2021, Meeting Minutes
Brett Keeter, Chair
Document: [April 6, 2021, Meeting Minutes](#)
3. Title IX Appeals Training
Sarah Edwards, Associate General Counsel
Document: [An Appellate Officer Primer](#)
Michelle Reinken, Director of Title IX Compliance/Title IX Coordinator
James Lorello, Director of Student Accountability and Conflict Resolution
4. Future Topics
Brett Keeter, Chair
5. Other Business
Brett Keeter, Chair
6. Adjourn
Brett Keeter, Chair

Members

Brett Keeter, Chair

Carlos Sanchez, Vice Chair

Wendy Grubbs

Dontá Wilson

Susan DeVore, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Athletics Committee Meeting

Wednesday, October 6, 2021, 10:40-11:40 a.m.

Popp Martin Student Union – Third Floor – Room 265

[Public YouTube LiveStream](#)

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

Open Session

1. Call to Order
Teross Young Jr., Chair
2. Approval of the April 6, 2021, Meeting Minutes
Teross Young Jr., Chair
Document: [April 6, 2021, Meeting Minutes](#)
3. GreenLight (NIL)
Chris Fuller, Deputy Athletic Director
Scott Byrd, Associate Athletic Director for Compliance
Document: [GreenLight](#)
4. Changing Landscape of College Athletics
Mike Hill, Director of Athletics
5. Softball Facility
Chris Thomasson, Executive Associate Athletic Director
Darin Spease, Deputy Athletic Director
Document: [Softball Facility](#)
6. Athletic Department Update
Mike Hill, Director of Athletics
7. Motion to Convene in Closed Session
Teross Young Jr., Chair

- a. *Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;*
- b. *to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee pursuant to N.C.G.S §143-318.11 (a)(6);*
- c. *establish, or to instruct the public body's staff concerning the amount of compensation and other material terms of an employment contract or proposed employment contract pursuant to N.C.G.S. §143-318.11 (a)(5);*

Closed Session

8. Employment Contracts
Mike Hill, Director of Athletics
Darin Spease, Deputy Athletic Director
9. Motion to Resume in Open Session
Teross Young Jr., Chair

Open Session

10. Other Business
Teross Young Jr., Chair
11. Adjourn
Teross Young Jr., Chair

Members

Teross W. Young Jr., Chair
Sharon Decker, Vice Chair
Dick Beekman
Sasha Weintraub
Susan DeVore, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Finance and Physical Properties Committee Meeting

Wednesday, October 6, 2021, 10:40 a.m.–11:40 a.m.

Popp Martin Student Union, Third Floor, Room I

[Public YouTube LiveStream](#)

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 10:40 a.m.
Fred W. Klein, Chair

2. Approval of Meeting Minutes 10:41 a.m.
Fred W. Klein, Chair
Document: [April 6, 2021, Meeting Minutes](#)

3. UNC Charlotte Budget Update 10:45 a.m.
Larry Kelley, Interim Vice Chancellor for Business Affairs
Ken Smith, University Budget Director
Document: [Budget Update](#)

4. Request for Authorization for Advance Planning for Softball Locker
Rooms and Offices 10:55 a.m.
Larry Kelley, Interim Vice Chancellor for Business Affairs
Rich Steele, Interim Associate Vice Chancellor for Facilities Management
Document: [Advance Planning for Softball Lockers and Offices](#)

5. Request for Authorization for Parking Deck Repairs 11:00 a.m.
Larry Kelley, Interim Vice Chancellor for Business Affairs
Rich Steele, Interim Associate Vice Chancellor for Facilities Management
Document: [Parking Deck Repairs](#)

6. Request for Authorization for Campus Security Cameras Phase I 11:05 a.m.
Larry Kelley, Interim Vice Chancellor for Business Affairs
Rich Steele, Interim Associate Vice Chancellor for Facilities Management
Document: [Security Cameras in Parking Decks Phase 1](#)

7. Request for Authorization for Parking Lot 25 Renewal 11:10 a.m.
Larry Kelley, Interim Vice Chancellor for Business Affairs
Rich Steele, Interim Associate Vice Chancellor for Facilities Management
Document: [Parking Lot 25 Renewal](#)
8. Request for Authorization for Atkins Café Renovation 11:15 a.m.
Larry Kelley, Interim Vice Chancellor for Business Affairs
Rich Steele, Interim Associate Vice Chancellor for Facilities Management
Document: [Atkins Café Renovation](#)
9. Request for Ratification for Kennedy Second Floor Renovation 11:20 a.m.
Larry Kelley, Interim Vice Chancellor for Business Affairs
Rich Steele, Interim Associate Vice Chancellor for Facilities Management
Document: [Kennedy Second Floor Renovation](#)
10. Capital Improvement Projects Update 11:25 a.m.
Larry Kelley, Interim Vice Chancellor for Business Affairs
Rich Steele, Interim Associate Vice Chancellor for Facilities Management
Document: [Capital Improvement Projects Update](#)
11. All Funds Budget 11:35 a.m.
Larry Kelley, Interim Vice Chancellor for Business Affairs
Ken Smith, University Budget Director
Document: [All Funds Budget Initiative](#)
12. Adjournment 11:40 a.m.
Fred W. Klein, Chair

Members

Fred W. Klein, Chair
David Mildenberg, Vice Chair
Dennis Bunker
Christine Katziff
Susan DeVore, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Wednesday, October 6, 2021
Popp Martin Student Union, Third Floor, Room A-F
[Public YouTube LiveStream](#)

Schedule

- | | |
|-----------------------|--|
| 8:00–9:30 a.m. | Audit, Compliance, and Enterprise Risk Management (ACERM) Committee Meeting
<i>Popp Martin Student Union, Third Floor, Room A-F</i> |
| 9:35–10:35 a.m. | Executive Committee Meeting
<i>Popp Martin Student Union, Third Floor, Room A-F</i> |
| 10:40–11:40 a.m. | Academic and Student Affairs (ASA) Committee Meeting
<i>Popp Martin Student Union, Room 261</i> |
| 10:40–11:40 a.m. | Athletics Committee Meeting
<i>Popp Martin Student Union, Room 265</i> |
| 10:40–11:40 a.m. | Finance and Physical Properties Committee (FPPC) Meeting
<i>Popp Martin Student Union, Third Floor, Room I</i> |
| 11:40 a.m.–12:30 p.m. | Lunch Break
<i>Popp Martin Student Union, Third Floor, Room A-F</i> |
| 12:30–3:30 p.m. | Board Will Convene in the Committee of the Whole
<i>Popp Martin Student Union, Third Floor, Room A-F</i> |

Board of Trustees Agenda

The Board Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Susan DeVore, Chair

2. Introductions and Announcements
Susan DeVore, Chair
3. Approval of Previous Meeting Minutes
Susan DeVore, Chair
Documents: [April 6, 2021, Meeting Minutes - Open Session](#)
April 6, 2021, Meeting Minutes - Closed Session
[July 13, 2021, Meeting Minutes - Open Session](#)
July 13, 2021, Meeting Minutes – Closed Session
[August 24, 2021, Meeting Minutes – Open Session](#)
August 24, 2021, Meeting Minutes – Closed Session
4. Student Body President Swearing-In
Susan DeVore, Chair
Dick Beekman, Student Body President and Trustee
Anna Clark, Assistant to the Chief of Staff, Notary Public, Office of the Chancellor
5. Remarks by the Chancellor
Sharon Gaber, Chancellor
6. Strategic Plan
Sharon Gaber, Chancellor
Document: [Strategic Plan - Shaping What's Next, 2021-31](#)
7. Legislative Update
Betty Doster, Special Assistant to the Chancellor for Constituent Relations
8. OneIT Security Update
Mike Carlin, Vice Chancellor for OneIT and Chief Information Officer (CIO)
Document: Defending Against a Red Team Cyber Attack
9. Report of the University Faculty Council
Susan Harden, Faculty Council President
Document: [Faculty Council Report](#)
10. Report of the University Staff Council
Celeste Corpening, Staff Council Chair
Document: [Staff Council Report](#)
11. Report of the Student Government Association
Dick Beekman, Student Body President and Trustee
Document: [Student Government Association Updates](#)
12. Report of the Executive Committee
Susan DeVore, Chair
13. Report of the Audit, Compliance, and Enterprise Risk Management Committee
Christine Katziff, Chair

14. Report of the Academic and Student Affairs Committee

Brett Keeter, Chair

15. Report of the Athletics Committee

Teross Young Jr., Chair

16. Report of the Finance and Physical Properties Committee

Fred Klein, Chair

17. Motion to Convene in Closed Session

Susan DeVore, Chair

- a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;
- b. to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee pursuant to N.C.G.S. §143-318.11 (a)(6);
- c. establish, or to instruct the public body's staff concerning the amount of compensation and other material terms of an employment contract or proposed employment contract pursuant to N.C.G.S. §143-318.11 (a)(5);

Closed Session

18. OneIT Security Update

Mike Carlin, Vice Chancellor for OneIT and Chief Information Officer (CIO)

Document: Defending Against a Red Team Cyber Attack

19. Report of the Executive Committee

Susan DeVore, Chair

20. Report of the Audit, Compliance, and Enterprise Risk Management Committee

Christine Katziff, Chair

21. Motion to Resume in Open Session

Susan DeVore, Chair

Open Session

22. Other Business

Susan DeVore, Chair

23. Adjourn

Susan DeVore, Chair

Members of the Board of Trustees

Susan D. DeVore, Chair

Dick J. Beekman

Dennis N. Bunker III

Sharon Allred Decker

Wendy J. Grubbs

Christine P. Katziff

J. Brett Keeter

Fred W. Klein Jr.

David W. Mildenberg

Carlos E. Sanchez

Sasha J. Weintraub

Dontá L. Wilson

Teross W. Young Jr.