



**The University of North Carolina at Charlotte  
Board of Trustees**

**Audit, Compliance, and Enterprise Risk Management Committee Meeting**

Tuesday, February 11, 2020, 8:00–9:30 a.m.

Popp Martin Student Union, 3<sup>rd</sup> Floor, Multipurpose Room I

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order  
Susan DeVore, Acting Chair
2. Approval of the December 11, 2019, Meeting Minutes  
Susan DeVore, Acting Chair  
Documents: December 11, 2019, Meeting Minutes—Open Session  
December 11, 2019, Meeting Minutes—Closed Session
3. Report on 2018-2019 External Audits  
Anne Brown, Associate Vice Chancellor for Finance  
Laura Williams, University Controller  
Greg Verret, Director of Treasury Services  
*Via conference call:*  
Zach Rogers, Financial Audit Manager, Office of the State Auditor  
Documents: The University of North Carolina at Charlotte Financial Statement Audit Report  
for the Year Ended June 30, 2019  
Annual Financial Audits of Affiliated Entities:
  - The Foundation of the University of North Carolina at Charlotte, Inc. Consolidated Financial Statements for the Years Ended June 30, 2019 and 2018, and Independent Auditor's Report
  - The Athletic Foundation of the University of North Carolina at Charlotte Financial Statements for the Years Ended June 30, 2019 and 2018, and Independent Auditor's Report
  - The University of North Carolina at Charlotte Facilities Development Corporation, Inc. Financial Statements for the Years Ended June 30, 2019 and 2018, and Independent Auditor's Report
  - The University of North Carolina at Charlotte Investment Fund, Inc. Financial Statements for the Years Ended June 30, 2019 and 2018, and Independent Auditor's Report

- Ventureprise, Inc. Financial Statements for the Years Ended June 30, 2019, and 2018, and Independent Auditor's Report

4. National Collegiate Athletics Association (NCAA) Agreed-Upon Procedures  
Laura Williams, University Controller  
Darin Spease, Deputy Athletic Director  
Document: Independent Accountant's Report on Applying Agreed-Upon Procedures
5. Internal Audit Update  
Jennifer Walker, Chief Audit Officer  
Documents: FY 2020 Audit Plan Update  
Summary of Internal Audit Reports Issued  
Internal Audit Findings Exception Report  
UNC Charlotte Internal Audit Organization Chart  
List of Internal Audit Positions by Location
6. Compliance Update  
Sue Burgess, Chief Compliance Officer
7. Motion to Convene in Closed Session  
Susan DeVore, Acting Chair
  - a. *Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and*
  - b. *to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3).*
  - c. *to consider the qualifications, competence, performance, or condition of appointment of an employee pursuant to N.C.G.S. §143-318.11(a)(6).*

#### **Closed Session**

8. Title IX Update  
Michelle Reinken, Title IX Coordinator  
Document: Title IX Compliance Program "Snapshot"
9. Report on Litigation and Risk Management Issues  
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
10. Cato College Grant  
Jennifer Walker, Chief Audit Officer
11. Motion to Resume in Open Session  
Susan DeVore, Acting Chair

## Open Session

12. Other Business  
Susan DeVore, Acting Chair

13. Adjourn  
Susan DeVore, Acting Chair

### Members

Theresa Drew, Chair  
Susan DeVore, Vice Chair  
Dennis Bunker III  
Christine Katziff  
Mike Wilson, *ex officio*



**The University of North Carolina at Charlotte  
Board of Trustees**

**Executive Committee Meeting**  
Tuesday, February 11, 2020, 9:30–10:30 a.m.  
Popp Martin Student Union, Third Floor, Room I

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order  
Michael L. Wilson, Chair
2. Approval of the December 11, 2019, Meeting Minutes  
Michael L. Wilson, Chair  
Documents: December 11, 2019, Meeting Minutes - Open Session  
December 11, 2019, Meeting Minutes - Closed Session
3. Chancellor Search Update  
Michael L. Wilson, Chair
4. Chancellor Transition Process  
Michael L. Wilson, Chair
5. Nominating Committee  
Michael L. Wilson, Chair
6. Motion to Convene in Closed Session  
Michael L. Wilson, Chair

- a. *prevent the disclosure of information that is privileged or confidential pursuant to the law of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes pursuant to N.C.G.S. §143-318.11 (a)(1);*
- b. *Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and*
- c. *establish, or to instruct the public body's staff concerning the amount of compensation and other material terms of an employment contract or proposed employment contract pursuant to N.C.G.S. §143-318.11 (a)(5);*

### **Closed Session**

- 7. Athletics Employment Agreements  
Philip L. Dubois, Chancellor  
Document: Amendment to Employment Agreement 1  
Amendment to Employment Agreement 2  
Amendment to Employment Agreement 3
- 8. Quarterly Report of EHRA Non-Faculty Actions and Salary Adjustments  
Beth Hardin, Vice Chancellor for Business Affairs  
Documents: Quarterly Report of EHRA Non-Faculty Actions  
Quarterly Report of EHRA Non-Faculty Salary Adjustments
- 9. Naming Opportunity  
Niles Sorensen, Vice Chancellor for University Advancement
- 10. Chancellor Search Update  
Michael L. Wilson, Chair
- 11. Motion to Resume in Open Session  
Michael L. Wilson, Chair

### **Open Session**

- 12. Action on Athletics Employment Agreements  
Michael L. Wilson, Chair
- 13. Other Business  
Michael L. Wilson, Chair
- 14. Adjourn  
Michael L. Wilson, Chair



Executive Committee Members

Michael L. Wilson, Chair

Dennis Bunker

Chandler Crean

Susan D. DeVore

Theresa J. Drew

Fred W. Klein

Mary Ann Rouse

Teross W. Young



## UNC CHARLOTTE

### The University of North Carolina at Charlotte Board of Trustees

#### Academic and Student Affairs Committee Meeting

Tuesday, February 11, 2020, 10:30-11:30 a.m.  
Popp Martin Student Union, Third Floor, Room I

#### Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order  
Dennis N. Bunker III, Chair
2. Approval of the December 11, 2019, Meeting Minutes  
Dennis N. Bunker III, Chair  
Document: December 11, 2019, Open Session Meeting Minutes
3. Education: Esports at UNC Charlotte  
Kevin Bailey, Vice Chancellor for Student Affairs  
Kevin Colwell, Advisor, Esports at UNC Charlotte  
Andrew Kirkman, Computer Science, President – Esports at UNC Charlotte  
Document: Esports at UNC Charlotte
4. Research Spotlight: Unemployment Shock: Men and Female-dominated Jobs  
Rick Tankersley, Vice Chancellor for Research and Economic Development  
Jill Yavorsky, Assistant Professor, Department of Sociology  
Document: Unemployment Shock: Men & Female-dominated Jobs
5. Other Business  
Dennis N. Bunker III, Chair
6. Adjourn  
Dennis N. Bunker III, Chair

#### Members

Dennis N. Bunker III, Chair  
Theresa J. Drew  
Christine P. Katziff  
David W. Mildenberg  
Michael L. Wilson, *ex officio*



**The University of North Carolina at Charlotte  
Board of Trustees**

**Athletics Committee Meeting**  
Tuesday, February 11, 2020, 10:30-11:30 a.m.

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order  
J. Brett Keeter, Acting Chair
2. Approval of the December 11, 2019, Meeting Minutes  
J. Brett Keeter, Acting Chair  
Document: December 11, 2019, Meeting Minutes
3. Football Recap  
Will Healy, Head Football Coach
4. Lacrosse Update  
Ragean Hill, Executive Associate Athletic Director/SWA
5. Employment Contract Updates  
Mike Hill, Director of Athletics
6. Athletic Department Update  
Mike Hill, Director of Athletics
7. Other Business  
J. Brett Keeter, Acting Chair
8. Adjourn  
J. Brett Keeter, Acting Chair

Members

Teross W. Young Jr., Chair  
J. Brett Keeter, Vice Chair  
Chandler Crean  
Sharon Decker  
Mike Wilson, *ex officio*





**The University of North Carolina at Charlotte  
Board of Trustees**

**Facilities and Physical Properties Committee Meeting**  
Tuesday, February 11, 2020 10:30 a.m.–11:30 a.m.  
Popp Martin Student Union, Third Floor, Multipurpose Room F

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
2. Approval of Meeting Minutes  
Fred W. Klein, Chair  
Document: December 11, 2019, Meeting Minutes
3. Request for Authorization of Advance Planning – Remembrance Memorial  
Beth Hardin, Vice Chancellor for Business Affairs  
Jon Varnell, Associate Vice Chancellor for Facilities Management  
Documents: Request for Authorization for Advance Planning  
Remembrance Memorial
4. Request for Authorization for a Capital Project  
Beth Hardin, Vice Chancellor for Business Affairs  
Jon Varnell, Associate Vice Chancellor for Facilities Management  
Documents: Request for Authorization for Capital Project  
Cone Center North Roof Replacement
5. Campus Infrastructure Projects  
Beth Hardin, Vice Chancellor for Business Affairs  
Jon Varnell, Associate Vice Chancellor for Facilities Management  
Document: Campus Infrastructure Projects -Information Only
6. UNC Charlotte Center City Parking  
Beth Hardin, Vice Chancellor for Business Affairs  
Rich Steele, Associate Vice Chancellor for Business Services  
Document: Center City Parking Update – Information Only

7. Capital Projects Update  
Beth Hardin, Vice Chancellor for Business Affairs  
Jon Varnell, Associate Vice Chancellor for Facilities Management  
Document: Capital Improvement Projects
  
8. Other Business  
Fred W. Klein, Chair
  
9. Adjourn  
Fred W. Klein, Chair

Members

Fred W. Klein, Chair  
Mary Ann Rouse, Vice Chair  
Susan DeVore  
Carlos Sanchez  
Michael Wilson, *ex officio*



## UNC CHARLOTTE

### The University of North Carolina at Charlotte Board of Trustees

Tuesday, February 11, 2020  
Popp Martin Student Union, Room ABDE

#### Schedule

8:00–9:30 a.m.	Audit, Compliance, and Enterprise Risk Management Committee Meeting Popp Martin Student Union, Third Floor, Room I
9:30–10:30 a.m.	Executive Committee Meeting Popp Martin Student Union, Third Floor, Room I
10:30–11:30 a.m.	Academic and Student Affairs Committee Meeting Popp Martin Student Union, Third Floor, Room I
10:30–11:30 a.m.	Athletics Committee Meeting Popp Martin Student Union, Third Floor, Room C
10:30–11:30 a.m.	Facilities and Physical Properties Committee Meeting Popp Martin Student Union, Third Floor, Room F
11:45 a.m.–12:15 p.m.	Tour of UREC University Recreation Center
12:15–12:45 p.m.	Lunch Popp Martin Student Union, Third Floor, Room H
12:45–3:00 p.m.	Board Will Convene in the Committee of the Whole Popp Martin Student Union, Third Floor, Room ABDE

#### Board of Trustees Agenda

The Board Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order  
Michael L. Wilson, Chair
2. Introductions and Announcements  
Michael L. Wilson, Chair
3. Remarks from Board of Governors Liaison  
David Powers, Member of the Board of Governors
4. Approval of December 11, 2019, Meeting Minutes  
Michael L. Wilson, Chair  
Documents: December 11, 2019, Meeting Minutes—Open Session  
December 11, 2019, Meeting Minutes—Closed Session
5. Remarks by the Chancellor  
Philip L. Dubois, Chancellor
6. Football Program Update  
Will Healy, Football Head Coach
7. Campus Recovery Perceptions  
Colleen B. Penhall, Chief Communications Officer  
Document: Perceptions of the Recovery – Summary of Research Findings
8. Legislative Update  
Betty M. Doster, Special Assistant for Constituent Relations
9. Resolution Regarding House Bill 966 and Senate Bill 354  
Philip L. Dubois, Chancellor  
Document: Resolution of the Board of Trustees of the University of North Carolina at Charlotte
10. One IT Reorganization  
Michael S. Carlin, Vice Chancellor for Information Technology and Chief Information Officer  
Document: Becoming One IT
11. Report of the University Faculty Council  
Joel D. Avrin, Faculty President  
Document: Faculty Council Report
12. Report of the University Staff Council  
Celeste M. Corpening, Staff Council Chair  
Document: Staff Council Report
13. Report of the Student Government Association  
Chandler A. Crean, Student Body President and Trustee  
Document: Student Government Association Updates

14. Report of the Executive Committee  
Michael L. Wilson, Chair
15. Report of the Academic and Student Affairs Committee  
Dennis N. Bunker III, Chair
16. Report of the Athletics Committee  
Teross W. Young, Chair
17. Report of the Facilities and Physical Properties Committee  
Fred W. Klein, Chair
18. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
Susan DeVore, Acting Chair
19. Other Business  
Michael L. Wilson, Chair
20. Motion to Convene in Closed Session  
Michael L. Wilson, Chair
  - a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;
  - b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11(a)(3);
  - c. pursuant to N.C.G.S. §143-318.11 (a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee;

#### **Closed Session**

21. Chancellor Search Update  
Michael L. Wilson, Chair
22. Action on Naming Opportunity  
Niles Sorensen, Vice Chancellor for University Advancement
23. Report of the Executive Committee  
Michael L. Wilson, Chair
24. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
Susan DeVore, Acting Chair



25. Motion to Resume in Open Session  
Michael L. Wilson, Chair

**Open Session**

26. Adjourn  
Michael L. Wilson, Chair

Members of the Board of Trustees

Michael L. Wilson  
Dennis N. Bunker III  
Chandler A. Crean  
Sharon Allred Decker  
Susan D. DeVore  
Theresa J. Drew  
Christine P. Katziff  
J. Brett Keeter  
Fred W. Klein Jr.  
David W. Mildenberg  
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Carlos E. Sanchez  
Teross W. Young Jr.