



**The University of North Carolina at Charlotte  
Board of Trustees**

**Audit, Compliance, and Enterprise Risk Management Committee Meeting**

Tuesday, December 8, 2020, 8:00–9:30 a.m.

Public YouTube Live Stream:

[https://www.youtube.com/channel/UCNDBWwmvPGhSAZFczluCFNO/featured?view\\_as=subscriber](https://www.youtube.com/channel/UCNDBWwmvPGhSAZFczluCFNO/featured?view_as=subscriber)

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 8:00 a.m.  
Theresa Drew, Chair
2. Approval of the September 22, 2020, Meeting Minutes 8:01 a.m.  
Theresa Drew, Chair  
Documents: September 22, 2020, Open Session Meeting Minutes  
September 22, 2020, Closed Session Meeting Minutes
3. Division of Institutional Integrity Update 8:01 a.m.  
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel  
Document: Division of Institutional Integrity Organizational Chart
4. Internal Audit Update 8:06 a.m.  
Jennifer Walker, Chief Audit Officer  
Documents: FY 2021 Internal Audit Plan Update  
Summary of Internal Audit Reports Issued (This Period)  
Internal Audit Findings Exception Report  
Revision of FY 2021 Internal Audit Budget
5. Compliance Update 8:16 a.m.  
Susan Burgess, Chief Compliance Officer  
Document: Ethics and Compliance Activities
6. Enterprise Risk Management (ERM) Update 8:26 a.m.  
Steven Dunham, Chief Risk Officer  
Document: ERM Biannual Update
7. Motion to Convene in Closed Session 8:46 a.m.  
Theresa Drew, Chair

- a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;
- b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3); and
- c. to consider the qualifications, competence, performance, or condition of appointment of an employee pursuant to N.C.G.S. §143-318.11(a)(6).

### Closed Session

- |   |           |
|---|-----------|
| 8. Investigative Reports Update<br>Jennifer Walker, Chief Audit Officer   | 8:46 a.m. |
| 9. Title IX Update<br>Michelle Reinken, Title IX Coordinator<br>Document: Title IX Compliance Program “Snapshot”                      | 9:01 a.m. |
| 10. Report on Litigation and Risk Management Issues<br>Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel | 9:16 a.m. |
| 11. Motion to Resume in Open Session<br>Theresa Drew, Chair   | 9:26 a.m. |

### Open Session

- |   |           |
|---|-----------|
| 12. Other Business<br>Theresa Drew, Chair | 9:26 a.m. |
| 13. Adjourn<br>Theresa Drew, Chair        | 9:30 a.m. |

#### Members

Theresa Drew, Chair  
 Susan DeVore, Vice Chair  
 Dennis Bunker  
 Christine Katziff  
 David Mildenberg  
 Mike Wilson, *ex officio*



**The University of North Carolina at Charlotte  
Board of Trustees**

**Executive Committee Meeting**

Tuesday, December 8, 2020, 9:30–10:30 a.m.

Public YouTube Live Stream:

[https://www.youtube.com/channel/UCy57M1Csx7mhzi4FOFRKGEw?view\\_as=subscriber](https://www.youtube.com/channel/UCy57M1Csx7mhzi4FOFRKGEw?view_as=subscriber)

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order  
Michael L. Wilson, Chair
2. Approval of the September 22, 2020, Meeting Minutes  
Michael L. Wilson, Chair  
Documents: September 22, 2020, Meeting Minutes - Open Session  
September 22, 2020, Meeting Minutes - Closed Session
3. Motion to Convene in Closed Session  
Michael L. Wilson, Chair
  - a. Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and
  - b. prevent the disclosure of information that is privileged or confidential pursuant to the law of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes pursuant to N.C.G.S. §143-318.11 (a)(1);
  - c. establish, or to instruct the public body's staff concerning the amount of compensation and other material terms of an employment contract or proposed employment contract pursuant to N.C.G.S. §143-318.11 (a)(5);
  - d. to prevent the premature disclosure of an award pursuant to N.C.G.S. §143.318.11(a)(2).

### **Closed Session**

4. Quarterly Report of Faculty Actions and Salary Adjustments  
Joan Lorden, Provost and Vice Chancellor for Academic Affairs  
Documents: Quarterly Report of Faculty Salary Adjustments
5. Quarterly Report of EHRA Non-Faculty Actions and Salary Adjustments  
Beth Hardin, Vice Chancellor for Business Affairs  
Documents: Quarterly Report of EHRA Non-Faculty Actions  
Quarterly Report of EHRA Non-Faculty Salary Adjustments
6. Update on Naming Agreement  
Sharon L. Gaber, Chancellor
7. Trustee Appointment Process  
Betty Doster, Special Assistant to the Chancellor for Constituent Relations
8. Honorary Degree Recipient Selection  
Sharon L. Gaber, Chancellor  
Documents: November 24, 2020, Letter to Chancellor Gaber  
University Policy 209, Honorary Degrees  
Honorary Degrees Conferred  
Honorary Degree Pool
9. Distinguished Service Award Nomination  
Sharon L. Gaber, Chancellor  
Documents: Policy, Criteria, and Procedure for the Selection of the Distinguished  
Service Award  
Recipients of the Distinguished Service Award  
Biography of Nominee
10. Motion to Resume in Open Session  
Michael L. Wilson, Chair

### **Open Session**

11. Other Business  
Michael L. Wilson, Chair
12. Adjourn  
Michael L. Wilson, Chair

Executive Committee Members

Michael L. Wilson, Chair

Dennis N. Bunker

Susan D. DeVore

Theresa J. Drew

Fred W. Klein Jr.

Mary Ann Rouse

Tahlieah K. Sampson

Teross W. Young Jr.



# UNC CHARLOTTE

## The University of North Carolina at Charlotte Board of Trustees

### Academic and Student Affairs Committee Meeting

Tuesday, December 8, 2020, 10:30-11:30 a.m.

*Public YouTube Live Stream:*

[https://www.youtube.com/channel/UCNDBWwmvPGhSAZFczIuCFNQ/featured?view\\_as=subscriber](https://www.youtube.com/channel/UCNDBWwmvPGhSAZFczIuCFNQ/featured?view_as=subscriber)

### Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order  
Dennis N. Bunker III, Chair
2. Approval of the September 22, 2020, Meeting Minutes  
Dennis N. Bunker III, Chair  
Document: September 22, 2020, Open Session Meeting Minutes
3. Research Spotlight: Discover Charlotte Neighborhoods through Augmented Reality  
Joan Lorden, Provost and Vice Chancellor for Academic Affairs  
Blaine Brownell, Director, School of Architecture  
Ming-Chun Lee, Associate Professor, School of Architecture  
Document: Discover Charlotte Neighborhoods through Augmented Reality
4. Education: Office of Undergraduate Research  
Joan Lorden, Provost and Vice Chancellor for Academic Affairs  
Erin Banks, Assistant Dean for Undergraduate Research  
Abby Coffey, Graduate Student, Public Health  
Melina Richardson, Undergraduate Student, Biological Sciences  
Obianuju Udemadu, Undergraduate Student, Psychological Science  
Document: UNC Charlotte Office of Undergraduate Research: Training students for academia and beyond
5. Other Business  
Dennis N. Bunker III, Chair
6. Adjourn  
Dennis N. Bunker III, Chair

Members

Dennis N. Bunker III, Chair

Theresa J. Drew

Christine P. Katziff

David W. Mildenberg

Michael L. Wilson, *ex officio*



# UNC CHARLOTTE

## The University of North Carolina at Charlotte Board of Trustees

### Athletics Committee Meeting

Tuesday, December 8, 2020, 10:30-11:30 a.m.

Public YouTube Live Stream:

[https://www.youtube.com/channel/UCy57M1Csx7mhzI4FOFRKGEw?view\\_as=subscriber](https://www.youtube.com/channel/UCy57M1Csx7mhzI4FOFRKGEw?view_as=subscriber)

### Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order  
Teross Young Jr., Chair
2. Approval of the September 22, 2020, Meeting Minutes  
Teross Young Jr., Chair  
Document: September 22, 2020, Meeting Minutes
3. Looking Ahead to Spring Soccer Season  
John Cullen, Head Women's Soccer Coach  
Kevin Langan, Head Men's Soccer Coach
4. 2024 NCAA Men's Basketball 1<sup>st</sup> and 2<sup>nd</sup> Rounds  
Ragean Hill, Executive Associate Athletic Director  
Document: 2024 Men's Basketball 1<sup>st</sup> and 2<sup>nd</sup> Round
5. Shifting NCAA Landscape  
Scott Byrd, Associate Athletic Director  
Document: Shifting NCAA Landscape
6. Name, Image and Likeness  
Sam Perelman, Assistant to the Executive Staff, Athletics  
Document: Name, Image, and Likeness
7. Athletic Department Update  
Mike Hill, Director of Athletics
8. Other Business  
Teross Young Jr., Chair



9. Adjourn  
Teross Young Jr., Chair

Members

Teross W. Young Jr., Chair  
J. Brett Keeter, Vice Chair  
Sharon Allred Decker  
Tahlieah K. Sampson  
Michael L. Wilson, *ex officio*



# UNC CHARLOTTE

## The University of North Carolina at Charlotte Board of Trustees

### Facilities and Physical Properties Committee Meeting

Tuesday, December 8, 2020, 10:30 a.m.–11:30 a.m.

Public YouTube Live Stream:

[https://www.youtube.com/channel/UCDMLQksMteCDS57w7NnZi2w?view\\_as=subscriber](https://www.youtube.com/channel/UCDMLQksMteCDS57w7NnZi2w?view_as=subscriber)

### Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 10:30 a.m.  
Fred W. Klein, Chair
  
2. Approval of Meeting Minutes 10:31 a.m.  
Fred W. Klein, Chair  
Document: September 22, 2020, Meeting Minutes
  
3. Resolution Regarding Delay or Termination of Capital Projects 10:33 a.m.  
Beth Hardin, Vice Chancellor for Business Affairs  
Documents: Request for Resolution  
Resolution Regarding Delay or Termination of Capital Projects
  
4. Potential Delay of a Capital Project 10:36 a.m.  
Beth Hardin, Vice Chancellor for Business Affairs  
Jon Varnell, Associate Vice Chancellor for Facilities Management  
Documents: East Village Infrastructure  
East Village Infrastructure Project Potential Delay
  
5. Bond Refunding 10:40 a.m.  
Beth Hardin, Vice Chancellor for Business Affairs  
Greg Verret, Director of Treasury Services  
Documents: Bond Refunding  
Proposed Bond Refunding Presentation

6. Request for Authorization of Capital Project 10:45 a.m.  
 Beth Hardin, Vice Chancellor for Business Affairs  
 Documents: Request for Authorization – McColl Richardson Football Field Turf Replacement  
 McColl Richardson Football Field Turf Replacement
  
7. Request for Authorization of Capital Project 11:00 a.m.  
 Beth Hardin, Vice Chancellor for Business Affairs  
 Jon Varnell, Associate Vice Chancellor for Facilities Management  
 Documents: Request for Authorization – Kennedy Second Floor Renovation  
 Kennedy Second Floor Renovation
  
8. Request to Acquire Real Estate by Easement 11:05 a.m.  
 Beth Hardin, Vice Chancellor for Business Affairs  
 Jon Varnell, Associate Vice Chancellor for Facilities Management  
 Documents: Request to Dispose of Real Estate – Duke Energy Easement for Cellular Antenna  
 Duke Energy - Verizon Easement Request
  
9. UNC Charlotte Six-Year Capital Plan Update 11:10 a.m.  
 Beth Hardin, Vice Chancellor for Business Affairs  
 Jon Varnell, Associate Vice Chancellor for Facilities Management  
 Documents: UNC Charlotte Six-Year Capital Plan Update  
 Facilities Condition Assessment
  
10. Capital Improvement Projects Update 11:20 a.m.  
 Beth Hardin, Vice Chancellor for Business Affairs  
 Jon Varnell, Associate Vice Chancellor for Facilities Management  
 Document: Capital Improvement Projects
  
11. Adjourn 11:30 a.m.  
 Fred W. Klein, Chair

Members

Fred W. Klein, Chair  
 Mary Ann Rouse, Vice Chair  
 Susan DeVore  
 Carlos Sanchez  
 Michael Wilson, *ex officio*



# UNC CHARLOTTE

## The University of North Carolina at Charlotte Board of Trustees

Tuesday, December 8, 2020

Public YouTube Live Stream:

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### Schedule

8:00–9:30 a.m.	Audit, Compliance, and Enterprise Risk Management (ACERM) Committee Meeting Zoom Video Conference
9:30–10:30 a.m.	Executive Committee Meeting Zoom Video Conference
10:30–11:30 a.m.	Academic and Student Affairs (ASA) Committee Meeting Zoom Video Conference
10:30–11:30 a.m.	Athletics Committee Meeting Zoom Video Conference
10:30–11:30 a.m.	Facilities and Physical Properties Committee (FPPC) Meeting Zoom Video Conference
11:30 a.m.–12:00 p.m.	Lunch Break
12:00–3:30 p.m.	Board Will Convene in the Committee of the Whole Zoom Video Conference

### Board of Trustees Agenda

The Board Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order  
Michael L. Wilson, Chair
2. Introductions and Announcements  
Michael L. Wilson, Chair

3. Approval of Previous Meeting Minutes  
Michael L. Wilson, Chair  
Documents: September 22, 2020, Meeting Minutes—Open Session  
September 22, 2020, Meeting Minutes—Closed Session
4. Update on Chancellor Onboarding  
Dennis N. Bunker III, Secretary
5. Remarks by the Chancellor  
Sharon L. Gaber, Chancellor
6. Strategic Planning Update  
Sharon L. Gaber, Chancellor
7. Budget Update  
Ken W. Smith, University Budget Director  
Document: Comparative Financial Information
8. 2021-2022 Tuition and Fees  
Sharon L. Gaber, Chancellor  
Document: Department of Housing & Residence Life 2021-22 Semester Rent Rates
9. IT Security  
Michael S. Carlin, Vice Chancellor for OneIT and Chief Information Officer  
Document: Our Cyber Resilient Institution
10. Clery Act Requirements and Campus Safety (Education) and Clery Report  
Sarah O. Edwards, Associate General Counsel  
Jeffrey A. Baker, Chief of Police  
Documents: The Clery Act: Reporting Campus Crimes and Educating the Campus Community  
Clery Act Report 2019
11. Report of the University Faculty Council  
Joel D. Avrin, Faculty President  
Document: Faculty Council Report
12. Report of the University Staff Council  
Celeste M. Corpening, Staff Council Chair  
Document: Staff Council Report
13. Report of the Student Government Association  
Tahlieah K. Sampson, Student Body President and Trustee  
Document: Student Government Association Updates
14. Report of the Executive Committee  
Michael L. Wilson, Chair

15. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
Theresa J. Drew, Chair
16. Report of the Academic and Student Affairs Committee  
Dennis N. Bunker III, Chair
17. Report of the Athletics Committee  
Teross W. Young Jr., Chair
18. Report of the Facilities and Physical Properties Committee  
Fred W. Klein, Chair
19. Other Business  
Michael L. Wilson, Chair
20. Motion to Convene in Closed Session  
Michael L. Wilson, Chair

- a. *Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;*
- b. *to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11(a)(3);*
- c. *to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee pursuant to N.C.G.S §143-318.11 (a)(6);*
- d. *to prevent the premature disclosure of an award pursuant to N.C.G.S. §143.318.11(a)(2).*

### Closed Session

21. Report of the Executive Committee  
Michael L. Wilson, Chair
22. Distinguished Service Award Recipient Selection  
Sharon L. Gaber, Chancellor  
Document: Biography of Nominee
23. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
Theresa J. Drew, Chair
24. Motion to Resume in Open Session  
Michael L. Wilson, Chair

## Open Session

### 25. Adjourn

Michael L. Wilson, Chair

#### Members of the Board of Trustees

Michael L. Wilson

Dennis N. Bunker III

Sharon Allred Decker

Susan D. DeVore

Theresa J. Drew

Christine P. Katziff

J. Brett Keeter

Fred W. Klein Jr.

David W. Mildenberg

Mary Ann Rouse

Tahlieah K. Sampson

Carlos E. Sanchez

Teross W. Young Jr.