



**The University of North Carolina at Charlotte
Board of Trustees**

Audit, Compliance, and Enterprise Risk Management Committee Meeting

Tuesday, November 29, 2022 8:30 a.m.– 9:30 a.m.

Popp Martin Student Union, Room ABDE

[View Public YouTube Live Stream](#)

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 8:30 a.m.
Christine Katziff, Chair
2. Approval of the September 15, 2022, Meeting Minutes 8:31 a.m.
Christine Katziff, Chair
Documents: [September 15, 2022, Open Session Meeting Minutes](#)
[September 15, 2022, Closed Session Meeting Minutes](#)
3. Enterprise Risk Management (ERM) Update 8:32 a.m.
Steven Dunham, Chief Risk Officer
Document: [Enterprise Risk Management Dashboard](#)
4. Internal Audit Update 8:42 a.m.
Jennifer Walker, Chief Audit Officer
Documents: [FY 2023 Internal Audit Plan Update](#)
[Summary of Internal Audit Reports Issued This Period](#)
[Internal Audit Charter Update](#)
[Internal Audit Self-Assessment Maturity Model \(SAMM\)](#)
5. Motion to Convene in Closed Session 9:02 a.m.
Christine Katziff, Chair
 - a. *Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;*

- b. *to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3); and*
- c. *to consider the qualifications, competence, performance, or condition of appointment of an employee pursuant to N.C.G.S. §143-318.11(a)(6).*

Closed Session

- 6. Internal Audit Open Findings Report
Jennifer Walker, Chief Audit Officer 9:03 a.m.
- 7. Confidential Internal Audit Report
Jennifer Walker, Chief Audit Officer 9:08 a.m.
- 8. Personnel Update
Sharon Gaber, Chancellor 9:13 a.m.
- 9. Motion to Resume in Open Session
Christine Katziff, Chair 9:18 a.m.

Open Session

- 10. Other Business
Christine Katziff, Chair 9:19 a.m.
- 11. Adjourn
Christine Katziff, Chair 9:30 a.m.

Members

Christine Katziff, Chair
Sasha Weintraub, Vice Chair
Dennis Bunker III
Sharon Decker
Susan DeVore, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Executive Committee Meeting

Tuesday, November 29, 2022, 9:40–10:40 a.m.

Popp Martin Student Union, 340 ABDE

[View Public YouTube Live Stream](#)

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order

Susan DeVore, Chair

2. Approval of Previous Meeting Minutes

Susan DeVore, Chair

Documents: [September 15, 2022, Meeting Minutes - Open Session](#)

September 15, 2022, Meeting Minutes - Closed Session

[October 18, 2022, Emergency Meeting Minutes – Open Session](#)

October 18, 2022, Emergency Meeting Minutes – Closed Session

[November 15, 2022, Emergency Meeting Minutes](#)

3. Chair Updates

Susan DeVore, Chair

4. Motion to Convene in Closed Session

Susan DeVore, Chair

a. *Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and*

b. *to prevent the disclosure of information that is privileged or confidential pursuant to the law of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes pursuant to N.C.G.S. §143-318.11 (a)(1);*

- c. to prevent the premature disclosure of an honorary degree, scholarship, prize, or similar award pursuant to N.C.G.S. §143-318.11 (a)(2).

Closed Session

5. Appointment of the Dean of College of Computing and Informatics
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Document: Personnel Action Requiring Approval of the BOT
6. Faculty Tenure Recommendations
Sharon Gaber, Chancellor
Document: Faculty Tenure Recommendations
7. Quarterly Report of Faculty Actions and Salary Adjustments
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Document: Quarterly Report of Faculty Actions
8. Quarterly Report of EHRA Non-Faculty Actions and Salary Adjustments
Rich Amon, Vice Chancellor for Business Affairs
Documents: Quarterly Report of EHRA Non-Faculty Actions
Quarterly Report of EHRA Non-Faculty Salary Adjustments
9. Honorary Degree Nominations
Sharon Gaber, Chancellor
Documents: Letter from Nominations, Honors, and Awards Committee
Nomination Letter
Honorary Degree Pool
Honorary Degrees Conferred
Policy, Criteria, and Procedures for the Awarding of Honorary Degrees
10. Distinguished Service Award Nominations
Sharon Gaber, Chancellor
Documents: Distinguished Service Award Nomination
Recipients of the Distinguished Service Award
11. Motion to Resume in Open Session
Susan DeVore, Chair

Open Session

12. Action on Appointment of the Dean of College of Computing and Informatics
Susan DeVore, Chair
13. Action on Faculty Tenure Recommendations
Susan DeVore, Chair
14. Action on Honorary Degree Nomination
Susan DeVore, Chair

15. Action on Distinguished Service Award Nomination

Susan DeVore, Chair

16. Other Business

Susan DeVore, Chair

17. Adjourn

Susan DeVore, Chair

Executive Committee Members

Susan DeVore, Chair

Dennis Bunker III

Christine Katziff

Brett Keeter

Fred Klein Jr.

Tatiana Larson

Dontá Wilson

Teross Young Jr.



**The University of North Carolina at Charlotte
Board of Trustees**

Advancement & Public Relations Committee

November 29, 2022, 10:50 – 11:50 a.m.

Popp Martin Student Union, Room 340-I

[View Public YouTube Live Stream](#)

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Teross Young Jr., Chair
2. [Approval of Minutes](#)
Teross Young Jr., Chair
3. Fundraising Update
Beth Crigler, Vice Chancellor of University Advancement
Document: [Development Report](#)
4. Legislative Update
Betty Doster, Special Assistant to the Chancellor for Constituent Relations
Document: [Midterm Election Review](#)
5. Niner Nation Week Review
Jenny Matz, Senior Director of Engagement Marketing
Document: [Niner Nation Week Review](#)
6. University Communications 2021 – 2022 Academic Year End Analytics Review
Jen Ames Stuart, Associate Vice Chancellor of University Communications
Document: [2021 – 2022 Academic Year End Analytics](#)
7. Corporate Engagement Update
Solange Tricanowicz, Director of Corporate Engagement
Document: [Corporate Engagement Update](#)

8. Other Business
Teross Young Jr., Chair

9. Adjourn
Teross Young Jr., Chair

Members

Wendy Grubbs
Fred Klein Jr.
Dontá Wilson
Teross Young Jr.



**The University of North Carolina at Charlotte
Board of Trustees**

Academic and Student Life Committee Meeting
Tuesday, November 29, 2022, 11:20 a.m. -12:20 p.m.
Popp Martin Student Union, Room 340-F

[View Public YouTube Live Stream](#)

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Brett Keeter, Chair
2. Approval of the September 15, 2022, Meeting Minutes
Brett Keeter, Chair
Document: [September 15, 2022, Meeting Minutes](#)
3. Honors College Overview
Malin Pereira, Dean, Honors College
Document: [Honors College Overview](#)
4. Research Spotlight: Precision Microbiome Engineering Research Center
Anthony Fodor, Professor, Department of Bioinformatics and Genomics
Document: [NSF Engineering Research Center \(ERC\) for Precision Microbiome Engineering \(PreMiEr\): Exploring the “built” environment microbiome](#)
5. Other Business
Brett Keeter, Chair
6. Adjourn
Brett Keeter, Chair

Members

Brett Keeter, Chair	Tatiana Larson
Sasha Weintraub, Vice Chair	Susan DeVore, <i>ex officio</i>
John Bailey	



**The University of North Carolina at Charlotte
Board of Trustees**

Finance and Physical Properties Committee Meeting
Wednesday, November 29, 2022, Time: 12:00 p.m.-1:00p.m.
Popp Martin Student Union, Room I

[View Public YouTube Live Stream](#)

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 12:00 p.m. – 12:01 p.m.
Fred W. Klein, Chair
2. Approval of Meeting Minutes 12:01 p.m. – 12:02 p.m.
Fred W. Klein, Chair
Document: [September 15, 2022, Meeting Minutes](#)

Financial Action Items

3. 2023-24 Tuition and Fees Recommendations 12:02 p.m. – 12:07 p.m.
Rich Amon, Vice Chancellor for Business Affairs
Ken Smith, Chief Budget Officer
Documents: [2023-24 Tuition and Fees Recommendations](#)
4. 2023-24 Parking Rates Recommendations 12:07 p.m. – 12:10 p.m.
Rich Amon, Vice Chancellor for Business Affairs
Doug Lape, Interim Associate Vice Chancellor for Business Services
Documents: [2023-24 Parking Rates Recommendations](#)
5. 2023-24 Housing Rates Recommendations 12:10 p.m. – 12:12 p.m.
Rich Amon, Vice Chancellor for Business Affairs
Kevin Bailey, Vice Chancellor for Student Affairs
Documents: [2023-24 Housing Rates Recommendations](#)

6. 2023-24 Dining Rates Recommendations 12:12 p.m. – 12:15 p.m.
Rich Amon, Vice Chancellor for Business Affairs
Doug Lape, Interim Associate Vice Chancellor for Business Services
Documents: [2023-24 Dining Rates Recommendations](#)

Capital Action Items

7. Request for Authorization of a Capital Project 12:15 p.m. – 12:20 p.m.
Rich Amon, Vice Chancellor for Business Affairs
Rich Steele, Associate Vice Chancellor for Facilities Management
Document: [Atkins Library Air Handler Repair](#)
8. Requests for Ratification of Designer Selections 12:20 pm. – 12:25 p.m.
Rich Amon, Vice Chancellor for Business Affairs
Rich Steele, Associate Vice Chancellor for Facilities Management
Documents: [Stormwater Master Implementation Phase 1](#)
[Master Plan Initiative](#)
9. Request to Modify Real Property-Millennial Campus 12:25 p.m. – 12:30 p.m.
Rich Amon, Vice Chancellor for Business Affairs
Rich Steele, Associate Vice Chancellor for Facilities Management
Document: [Millennial Campus Expansion](#)

Capital Information Items

10. Six-year Capital Plan Update 12:30 pm. – 12:35 p.m.
Rich Amon, Vice Chancellor for Business Affairs
Rich Steele, Associate Vice Chancellor for Facilities Management
Document: [Six-year Capital Update](#)
11. Master Plan Update 12:35 p.m. – 12:40 p.m.
Rich Amon, Vice Chancellor for Business Affairs
Rich Steele, Associate Vice Chancellor for Facilities Management
Document: [Master Plan Update \(Information Only\)](#)
12. Capital Improvement Projects Update 12:40 p.m. – 12:45 p.m.
Rich Amon, Vice Chancellor for Business Affairs
Rich Steele, Interim Associate Vice Chancellor for Facilities Management
Document: [Capital Improvement Projects Update](#)

Financial Information Items

13. Textbook Affordability Initiative 12:45 p.m. – 12:50 p.m.
Rich Amon, Vice Chancellor for Business Affairs
Doug Lape, Interim Associate Vice Chancellor for Business Services
Document: [Textbook Affordability Initiative](#)
14. Financial Statement Summary 12:50 pm. – 12:55 pm.
Rich Amon, Vice Chancellor for Business Affairs
Anne Brown, Associate Vice Chancellor for Financial Services
Document: [Financial Dashboard](#)

15. UNC Charlotte Budget Update 12:55 p.m. – 12:57
Rich Amon, Vice Chancellor for Business Affairs
Ken Smith, Chief Budget Officer
Document: [UNC Charlotte Budget Update](#)

16. Adjournment 12:57 p.m. – 1:00 p.m.
Fred W. Klein, Chair

Members

Fred W. Klein, Chair
David Mildenberg, Vice Chair
Dennis Bunker
Christine Katziff
Susan DeVore, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Tuesday, November 29, 2022, 1:45 – 4:00 p.m.
Popp Martin Student Union, 340 ABDE

[View Public YouTube Live Stream](#)

Schedule

8:30–9:30 a.m.	Audit, Compliance, and Enterprise Risk Management (ACERM) Committee Meeting <i>Popp Martin Student Union, Room 340 ABDE</i>
9:40–10:40 a.m.	Executive Committee Meeting <i>Popp Martin Student Union, Room 340 ABDE</i>
10:50–11:50 a.m.	Advancement and Public Relations (APR) Committee Meeting <i>Popp Martin Student Union, Room 340-I</i>
11:20 a.m.–12:20 p.m.	Academic and Student Life (ASL) Committee Meeting <i>Popp Martin Student Union, Room 340-F</i>
12:00 –1:00 p.m.	Finance and Physical Properties Committee (FPPC) Meeting <i>Popp Martin Student Union, Room 340-I</i>
1:00 –1:45 p.m.	Lunch Break <i>Popp Martin Student Union, Room 340 ABDE</i>
1:45–4:00 p.m.	Board Will Convene in the Committee of the Whole <i>Popp Martin Student Union, Room 340 ABDE</i>

Board of Trustees Agenda

The Board Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Susan DeVore, Chair

2. Board Chair's Remarks
Susan DeVore, Chair
3. Approval of Previous Meeting Minutes
Susan DeVore, Chair
Documents: [September 15, 2022, Meeting Minutes - Open Session](#)
September 15, 2022, Meeting Minutes - Closed Session
4. Remarks by the Board of Governors Liaison
Kellie Hunt Blue, Board of Governors Liaison
5. Farewell to Provost Lorden
Susan DeVore, Chair
6. Chancellor's Report
Sharon Gaber, Chancellor
7. Clery Act Requirements and Campus Safety (Education) and Clery Report
Sarah. Edwards, Senior Associate General Counsel
Jeffrey Baker, Chief of Police
Documents: [The Clery Act: Reporting Campus Crimes and Educating the Campus Community](#)
[Clery Act Report 2022](#)
8. Report of the Student Government Association
Tatiana Larson, Student Body President and Trustee
Document: Student Government Association Updates
9. Report of the University Faculty Council
Susan Harden, Faculty Council President
Document: [Faculty Council Report](#)
10. Report of the University Staff Council
Latonya Newbill, Staff Council Vice President
Document: [Staff Council Report](#)
11. Report of the Executive Committee
Susan DeVore, Chair
12. Report of the Audit, Compliance, and Enterprise Risk Management Committee
Christine Katziff, Chair
13. Report of the Advancement and Public Relations Committee
Teross Young Jr., Chair
14. Report of the Academic and Student Life Committee
Brett Keeter, Chair

15. Report of the Finance and Physical Properties Committee
Fred Klein, Chair

16. Motion to Convene in Closed Session
Susan DeVore, Chair

- a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) N.C.G.S. Ch. 126, Art. 7; and NCGS Ch. 132-1.2 (1).
- b. to prevent the premature disclosure of an honorary degree, scholarship, prize, or similar award pursuant to N.C.G.S. §143-318.11 (a)(2);
- c. Pursuant to N.C.G.S. § 132-6(d), to prevent the premature disclosure of records relating to the proposed expansion or location of specific business or industrial projects.

Closed Session

17. Honorary Degree Nominations
Sharon Gaber, Chancellor

Documents: Letter from Nominations, Honors, and Awards Committee
Nominations
Honorary Degree Pool
Honorary Degrees Conferred
Policy, Criteria, and Procedures for the Awarding of Honorary Degrees

18. Distinguished Service Award Nominations
Sharon Gaber, Chancellor

Documents: Distinguished Service Award Nominations
Distinguished Service Award Recipients

19. Proposed Location of Business Project
Sharon Gaber, Chancellor

20. Report of the Executive Committee
Susan DeVore, Chair

21. Report of the Audit, Compliance, and Enterprise Risk Management Committee
Christine Katziff, Chair

22. Motion to Resume in Open Session
Susan DeVore, Chair

Open Session

23. Action on Honorary Degree Nomination
Susan DeVore, Chair

24. Action on Distinguished Service Award Nomination
Susan DeVore, Chair

25. Other Business
Susan DeVore, Chair

26. Adjourn
Susan DeVore, Chair

Members of the Board of Trustees

Susan D. DeVore, Chair

John A. Bailey

Dennis N. Bunker III

Sharon Allred Decker

Wendy J. Grubbs

Christine P. Katziff

J. Brett Keeter

Fred W. Klein Jr.

Tatiana G. Larson

David W. Mildenberg

Sasha J. Weintraub

Dontá L. Wilson

Teross W. Young Jr.