



The University of North Carolina at Charlotte
Board of Trustees

Audit, Compliance, and Enterprise Risk Management Committee Meeting

Tuesday, February 9, 2021, 8:00–9:30 a.m.

Public YouTube Live Stream:

<https://www.youtube.com/channel/UCNDBWwmvPGhSAZFczluCFNQ>

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 8:00 a.m.
Susan DeVore, Acting Chair
2. Approval of the December 8, 2020, Meeting Minutes 8:01 a.m.
Susan DeVore, Acting Chair
Documents: December 8, 2020, Meeting Minutes—Open Session
December 8, 2020, Meeting Minutes—Closed Session
3. Internal Audit Update 8:02 a.m.
Jennifer Walker, Chief Audit Officer
Documents: FY 2021 Audit Plan Update
Summary of Internal Audit Reports Issued
Internal Audit Findings Exception Report
4. Compliance Update 8:12 a.m.
Sue Burgess, Chief Compliance Officer
Documents: University Ethics and Compliance Update
Required Training Programs – Research
Required Training Programs – Non-Research
5. Enterprise Risk Management (ERM) Update 8:22 a.m.
Steven Dunham, Chief Risk Officer
Document: Enterprise Risk Management
6. Report on 2019-2020 External Audits 8:42 a.m.
Anne Brown, Associate Vice Chancellor for Finance
Laura Williams, University Controller

Greg Verret, Director of Treasury Services

David Ehrlich, Assistant State Auditor Supervisor, Office of the State Auditor

Documents: The University of North Carolina at Charlotte Financial Statement Audit Report for the Year Ended June 30, 2020

Annual Financial Audits of Affiliated Entities:

- The Foundation of the University of North Carolina at Charlotte, Inc. Consolidated Financial Statements for the Years Ended June 30, 2020 and 2019, and Independent Auditor's Report
- The Athletic Foundation of the University of North Carolina at Charlotte Financial Statements for the Years Ended June 30, 2020 and 2019, and Independent Auditor's Report
- The University of North Carolina at Charlotte Facilities Development Corporation, Inc. Financial Statements for the Years Ended June 30, 2020 and 2019, and Independent Auditor's Report
- The University of North Carolina at Charlotte Investment Fund, Inc. Financial Statements for the Years Ended June 30, 2020 and 2019, and Independent Auditor's Report
- Ventureprise, Inc. Financial Statements for the Years Ended June 30, 2020, and 2019, and Independent Auditor's Report

7. National Collegiate Athletics Association (NCAA) Agreed-Upon Procedures 8:57 a.m.
Laura Williams, University Controller
Darin Spease, Deputy Athletic Director
Document: Independent Accountant's Report on Applying Agreed-Upon Procedures

8. Motion to Convene in Closed Session 9:02 a.m.
Susan DeVore, Acting Chair

- Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;*
- to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3); and*
- to consider the qualifications, competence, performance, or condition of appointment of an employee pursuant to N.C.G.S. §143-318.11(a)(6).*

Closed Session

9. Title IX Update 9:02 a.m.
Dr. Michelle Reinken, Director of Title IX Compliance/Title IX Coordinator
Document: Title IX Compliance Program "Snapshot"

10. Report on Litigation and Risk Management Issues 9:12 a.m.
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel

11. Motion to Resume in Open Session
Susan DeVore, Acting Chair

9:22 a.m.

Open Session

12. Other Business
Susan DeVore, Acting Chair

9:22 a.m.

13. Adjourn
Susan DeVore, Acting Chair

9:30 a.m.

Members

Theresa Drew, Chair

Susan DeVore, Vice Chair

Dennis Bunker

Christine Katziff

David Mildenberg

Mike Wilson, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Executive Committee Meeting

Tuesday, February 9, 2021 9:35–10:35 a.m.

Public YouTube Live Stream:

<https://www.youtube.com/channel/UCy57M1Csx7mhzi4FOFRKGEw?>

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Michael L. Wilson, Chair
2. Approval of the December 8, 2020, Meeting Minutes
Michael L. Wilson, Chair
Documents: December 8, 2020, Meeting Minutes - Open Session
December 8, 2020, Meeting Minutes - Closed Session
3. Irwin Belk Distinguished Professor of Biology
Joan F. Lorden, Provost and Vice Chancellor for Academic Affairs
Documents: Letter to Chancellor Gaber
Plan to Establish the Irwin Belk Distinguished Professorship in
Biology
4. Nominating Committee
Michael L. Wilson, Chair
5. Board Self-Assessment
Michael L. Wilson, Chair
6. Motion to Convene in Closed Session
Michael L. Wilson, Chair

- a. Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and*

- b. *prevent the disclosure of information that is privileged or confidential pursuant to the law of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes pursuant to N.C.G.S. §143-318.11 (a)(1);*
- c. *establish, or to instruct the public body's staff concerning the amount of compensation and other material terms of an employment contract or proposed employment contract pursuant to N.C.G.S. §143-318.11 (a)(5);*

Closed Session

- 7. Quarterly Report of Faculty Actions and Salary Adjustments
Joan F. Lorden, Provost and Vice Chancellor for Academic Affairs
Documents: Quarterly Report of Faculty Actions
Quarterly Report of Faculty Salary Adjustments
- 8. Quarterly Report of EHRA Non-Faculty Actions and Salary Adjustments
Beth A. Hardin, Vice Chancellor for Business Affairs
Documents: Quarterly Report of EHRA Non-Faculty Actions
Quarterly Report of EHRA Non-Faculty Salary Adjustments
- 9. Annual Human Resources Compliance Report
Joan F. Lorden, Provost and Vice Chancellor for Academic Affairs
Documents: FY19-20 Annual Human Resources Compliance Report
IRIT Annual Report
UNCC Supplemental Pay Policy 101.15
Faculty Salary Study
- 10. Trustee Appointment Process
Betty M. Doster, Special Assistant to the Chancellor for Constituent Relations
- 11. Naming Opportunity
Niles F. Sorensen, Vice Chancellor for Advancement
Document: Named Space Proposal
- 12. Motion to Resume in Open Session
Michael L. Wilson, Chair

Open Session

- 13. Other Business
Michael L. Wilson, Chair
- 14. Adjourn
Michael L. Wilson, Chair

Executive Committee Members

Michael L. Wilson, Chair

Dennis N. Bunker

Susan D. DeVore

Theresa J. Drew

Fred W. Klein Jr.

Mary Ann Rouse

Tahlieah K. Sampson

Teross W. Young Jr.



UNC CHARLOTTE

The University of North Carolina at Charlotte
Board of Trustees

Academic and Student Affairs Committee Meeting

Tuesday, February 9, 2021, 10:40-11:40 a.m.

Public YouTube Live Stream:

<https://www.youtube.com/channel/UCNDBWwmvPGhSAZFczluCFNQ>

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Dennis N. Bunker III, Chair
2. Approval of the December 8, 2020, Meeting Minutes
Dennis N. Bunker III, Chair
Document: December 8, 2020, Meeting Minutes
3. Research Spotlight: Planning an Affordable, Resilient, and Sustainable Electric Grid in North Carolina
Rick Tankersley, Vice Chancellor for Research and Economic Development
Michael Mazzola, Executive Director, Energy Production and Infrastructure Center (EPIC)
Document: Planning an Affordable, Resilient, and Sustainable Electric Grid in North Carolina
4. Education: Lab School Update
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Pamela Broome, Principal, Niner University Elementary School
Teresa Petty, Interim Dean, Cato College of Education
Document: Lab School Update
5. Other Business
Dennis N. Bunker III, Chair
6. Adjourn
Dennis N. Bunker III, Chair

Members

Dennis N. Bunker III, Chair

Theresa J. Drew

Christine P. Katziff

David W. Mildenberg

Michael L. Wilson, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Athletics Committee Meeting

Tuesday, February 9, 2021, 10:40-11:40 a.m.

Public YouTube Live Stream:

<https://www.youtube.com/channel/UCy57M1Csx7mhzl4FOFRKGEw?>

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Teross Young Jr., Chair
2. Approval of the December 8, 2020, Meeting Minutes
Teross Young Jr., Chair
Document: December 8, 2020, Meeting Minutes
3. Football Recap/Update
Will Healy, Head Football Coach
4. Athletic Department Update
Mike Hill, Director of Athletics
5. Other Business
Teross Young Jr., Chair
6. Adjourn
Teross Young Jr., Chair

Members

Teross W. Young Jr., Chair
J. Brett Keeter, Vice Chair
Sharon Allred Decker
Tahlieah K. Sampson
Michael L. Wilson, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Facilities and Physical Properties Committee Meeting

Tuesday, February 9, 2021 10:40 a.m.–11:40 a.m.

Public YouTube Live Stream:

<https://www.youtube.com/channel/UCDMLQksMteCDS57w7NnZi2w>

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 10:40 a.m.
Fred W. Klein, Chair

2. Approval of Meeting Minutes 10:41 a.m.
Fred W. Klein, Chair
Document: December 8, 2020, Meeting Minutes

3. Project Update on Delayed or Terminated Capital Projects 10:45 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
Jon Varnell, Associate Vice Chancellor for Facilities Management
Documents: Lot 5A
Popp Martin Dining Renovation

4. Easement on 106-acre Parcel (For Information Only) 11:00 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
Jon Varnell, Associate Vice Chancellor for Facilities Management
Document: Request for Sanitary Sewer Easement 106-acre

5. Capital Improvement Projects Update 11:15 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
Jon Varnell, Associate Vice Chancellor for Facilities Management
Document: Capital Improvement Projects Update

6. Adjourn 11:40 a.m.
Fred W. Klein, Chair

Members

Fred W. Klein, Chair

Mary Ann Rouse, Vice Chair

Susan DeVore

Carlos Sanchez

Michael Wilson, *ex officio*



UNC CHARLOTTE

The University of North Carolina at Charlotte Board of Trustees

Tuesday, February 9, 2021 12:15 – 3:30 p.m.

Public YouTube Live Stream:

<https://www.youtube.com/channel/UCNDBWwmvPGhSAZFczluCFNQ>

Schedule

8:00–9:30 a.m.	Audit, Compliance, and Enterprise Risk Management (ACERM) Committee Meeting Zoom Video Conference
9:35–10:35 a.m.	Executive Committee Meeting Zoom Video Conference
10:40–11:40 a.m.	Academic and Student Affairs (ASA) Committee Meeting Zoom Video Conference
10:40–11:40 a.m.	Athletics Committee Meeting Zoom Video Conference
10:40–11:40 a.m.	Facilities and Physical Properties Committee (FPPC) Meeting Zoom Video Conference
11:40 a.m.–12:15 p.m.	Lunch Break
12:15–3:30 p.m.	Board Will Convene in the Committee of the Whole Zoom Video Conference

Board of Trustees Agenda

The Board Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Michael L. Wilson, Chair
2. Introductions and Announcements
Michael L. Wilson, Chair

3. Approval of Previous Meeting Minutes
Michael L. Wilson, Chair
Documents: December 8, 2020, Meeting Minutes—Open Session
December 8, 2020, Meeting Minutes—Closed Session
4. Remarks by the Board of Governors Liaison
Mark Holton, Board of Governors Liaison
5. Remarks by the Chancellor
Sharon L. Gaber, Chancellor
6. Strategic Planning Update
Sharon L. Gaber, Chancellor
7. Budget Update
Ken W. Smith, University Budget Director
Documents: Budget Update
8. Hotel and Conference Center Update
Niles F. Sorensen, Vice Chancellor for Advancement
Document: UNC Charlotte Marriott Hotel and Conference Center Update
9. Legislative Update
Betty M. Doster, Special Assistant to the Chancellor for Constituent Relations
Document: Legislative Update
Legislator Contact List
10. Enrollment and Projections
Claire Kirby, Associate Provost of Enrollment Management and Director of Admissions
Document: Enrollment Update
11. Report of the University Faculty Council
Joel D. Avrin, Faculty President
Document: Faculty Council Report
12. Report of the University Staff Council
Celeste M. Corpening, Staff Council Chair
Document: Staff Council Report
13. Report of the Student Government Association
Tahliah K. Sampson, Student Body President and Trustee
Document: Student Government Association Updates
14. Report of the Executive Committee
Michael L. Wilson, Chair

15. Report of the Audit, Compliance, and Enterprise Risk Management Committee
Susan DeVore, Acting Chair
16. Report of the Academic and Student Affairs Committee
Dennis N. Bunker III, Chair
17. Report of the Athletics Committee
Teross W. Young Jr., Chair
18. Report of the Facilities and Physical Properties Committee
Fred W. Klein, Chair
19. Other Business
Michael L. Wilson, Chair
20. Motion to Convene in Closed Session
Michael L. Wilson, Chair

- a. *Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;*
- b. *to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee pursuant to N.C.G.S §143-318.11 (a)(6);*
- c. *to prevent the premature disclosure of an award pursuant to N.C.G.S. §143.318.11(a)(2).*

Closed Session

21. Report of the Executive Committee
Michael L. Wilson, Chair
22. Action on Naming Opportunity
Niles F. Sorensen, Vice Chancellor for Advancement
23. Report of the Audit, Compliance, and Enterprise Risk Management Committee
Susan DeVore, Acting Chair
24. Motion to Resume in Open Session
Michael L. Wilson, Chair

Open Session

25. Adjourn
Michael L. Wilson, Chair

Members of the Board of Trustees

Michael L. Wilson
Dennis N. Bunker III
Sharon Allred Decker
Susan D. DeVore
Theresa J. Drew
Christine P. Katziff
J. Brett Keeter
Fred W. Klein Jr.
David W. Mildenberg
Mary Ann Rouse
Tahlieah K. Sampson
Carlos E. Sanchez
Teross W. Young Jr.