



**The University of North Carolina at Charlotte
Board of Trustees**

Audit, Compliance, and Enterprise Risk Management Committee Meeting

Thursday, April 27, 2023, 8:00 – 9:00 am

Popp Martin Student Union, Room ABDE

[*You Tube Livestream*](#)

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Christine Katziff, Chair
2. Approval of Previous Meeting Minutes
Christine Katziff, Chair
Documents: [February 8, 2023, Meeting Minutes—Open Session](#)
[February 8, 2023, Meeting Minutes—Closed Session](#)
3. Internal Audit Update
Jennifer Walker, Chief Audit Officer
Documents: [FY23 Audit Plan Update](#)
[Summary of Internal Audit Reports](#)
[Issued Proposed FY24 Audit Plan](#)
4. Ethics, Policy, and Compliance Year-End Summary
Erica Solosky, Associate General Counsel and Interim Director of Ethics, Policy and Compliance
Documents: [Ethics, Policy, and Compliance Executive Summary](#)
[Ethics, Policy, and Compliance Year-End Summary](#)
5. Enterprise Risk Management (ERM) Update
Steven Dunham, Chief Risk Officer
Documents: [ERM Executive Summary](#)
[ERM Dashboard](#)

6. Motion to Convene in Closed Session
Christine Katziff, Chair

- a. *Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;*
- b. *to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3);*
- c. *to consider the qualifications, competence, performance, or condition of appointment of an employee pursuant to N.C.G.S. §143-318.11(a)(6); and*
- d. *to prevent the disclosure of sensitive public security information, as defined in N.C.G.S. §132-1.7(a).*

Closed Session

7. Office of Civil Rights and Title IX (CRTIX) Update
Dr. Michelle Reinken, Director of Civil Rights and Title IX/Title IX Coordinator
Documents: CRTIX Executive Summary
Office of Civil Rights and Title IX Update

8. Summary of Confidential Internal Audit Report
Jennifer Walker, Chief Audit Officer

9. Internal Audit Open Findings Report
Jennifer Walker, Chief Audit Officer

10. Motion to Resume in Open Session
Christine Katziff, Chair

Open Session

11. Other Business
Christine Katziff, Chair

12. Adjourn
Christine Katziff, Chair

Members

Christine Katziff, Chair
Sasha Weintraub, Vice Chair
Dennis Bunker III
Sharon Decker
Susan DeVore, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Executive Committee Meeting

Thursday, April 27, 2023 9:10–10:10 a.m.

Popp Martin Student Union, Third Floor, Room 340 ABDE

[YouTube LiveStream](#)

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Susan Devore, Chair
2. Approval of Previous Meeting Minutes
Susan Devore, Chair
Documents: [February 8, 2023, Meeting Minutes - Open Session](#)
February 8, 2023, Meeting Minutes - Closed Session
3. Nominating Committee
Teross Young, Chair
4. University Policy 102.16, Performance Bonuses for Permanent EHRA Employees
Rich Amon, Vice Chancellor for Business Affairs
Documents: [University Policy 102.16, Performance Bonuses for Permanent EHRA Employees – draft](#)
[Performance Bonus Policy](#)
5. Human Resources Annual Compliance Report
Richard Amon, Vice Chancellor for Business Affairs
Documents: [Annual Human Resources Compliance Report](#)
[UNC System Office Faculty Salary Ranges – UNC Charlotte Campus Response, August 31, 2021](#)
[UP 101.15, Additional Compensation for Professional Services to the University](#)
6. Motion to Convene in Closed Session
Susan Devore, Chair

- a. Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and
- b. prevent the disclosure of information that is privileged or confidential pursuant to the law of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes pursuant to N.C.G.S. §143-318.11 (a)(1).

Closed Session

7. Faculty Tenure Recommendations
Alicia Bertone, Provost and Vice Chancellor for Academic Affairs
Document: Tenure Recommendations 2022-23
8. Annual Concurrent Employment Report
Alicia Bertone, Provost and Vice Chancellor for Academic Affairs
Document: Concurrent Employment of Related Persons Report
University Policy 101.4
9. Quarterly Report of Faculty Actions and Salary Adjustments
Alicia Bertone, Provost and Vice Chancellor for Academic Affairs
Document: Quarterly Report of Personnel Actions
10. Quarterly Report of EHRA Non-Faculty Actions and Salary Adjustments
Rich Amon, Vice Chancellor for Business Affairs
Documents: Quarterly Report of EHRA Non-Faculty Actions
Quarterly Report of EHRA Non-Faculty Salary Adjustments
11. Chancellor Biennial Assessment
Susan DeVore, Chair
12. Motion to Resume in Open Session
Susan Devore, Chair

Open Session

13. Action on Faculty Tenure Recommendations
Susan Devore, Chair
14. Other Business
Susan Devore, Chair
15. Adjourn
Susan Devore, Chair

Executive Committee Members

Susan DeVore, Chair

Dennis Bunker III

Christine Katziff

Brett Keeter

Fred Klein Jr.

Tatiana Larson

Dontá Wilson

Teross Young Jr.



**The University of North Carolina at Charlotte
Board of Trustees**

Advancement & Public Relations Committee

April 27, 2023, 10:20 – 11:20 a.m.

Popp Martin Student Union, Room 340 I

[YouTube LiveStream](#)

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Teross Young Jr., Chair
2. Approval of Previous Meeting Minutes
Teross Young Jr., Chair
Documents: [February 8, 2023, Meeting Minutes – Open Session](#)
February 8, 2023, Meeting Minutes – Closed Session
3. Fundraising Updates and Capital Campaign Planning
Beth Crigler, Interim Vice Chancellor of University Advancement
Penny Hawkins, Interim Associate Vice Chancellor of University Development
Documents: [Fundraising Update](#)
[Capital Campaign Planning](#)
4. Naming Guidelines Review and Discussion
Beth Crigler, Interim Vice Chancellor of University Advancement
Document: [Naming Guidelines](#)
5. Board of Trustees Philanthropic Guidelines Discussion
Teross Young Jr., Chair
Document: [Board Philanthropic Giving](#)
6. Marketing and Communications Updates
Jen Ames Stuart, Associate Vice Chancellor of University
Communications Document: [Growing Our Reputation](#)

7. Motion to Convene in Closed Session
Teross Young Jr., Chair

a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7.

Closed Session

8. Naming Approval
Penny Hawkins, Interim Associate Vice Chancellor of University Development
9. Motion to Resume in Open Session
Teross Young Jr., Chair

Open Session

10. Other Business
Teross Young Jr., Chair
11. Adjourn
Teross Young Jr., Chair

Members

Wendy Grubbs
Fred Klein Jr.
Dontá Wilson
Teross Young Jr.



**The University of North Carolina at Charlotte
Board of Trustees**

Academic and Student Life Committee Meeting

Thursday, April 27, 2023, 10:35-11:35 a.m.

Popp Martin Student Union, Room 340F

[YouTube LiveStream](#)

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Brett Keeter, Chair
2. Approval of the Previous Meeting Minutes
Brett Keeter, Chair
Document: [February 8, 2023, Meeting Minutes](#)
3. Resolution on the Affirmation of Diversity of Thought and Freedom of Speech
Brett Keeter, Chair
Documents: [Resolution on the Affirmation of Diversity of Thought and Freedom of Speech](#)
[Faculty Resolution](#)
[Chicago Statement](#)
4. Developing and Assessing Leadership Competencies
Karen Shaffer, Assistant Vice Chancellor for Student Affairs
Ellissa Brooks Nelson, Divisional Director of Student Affairs Research & Assessment
Document: [Leadership & Career Competency Development](#)
5. The Status of Literacy in the State and Cato College of Education's Impact
Malcolm Butler, Dean, Cato College of Education
Document: [The Status of Literacy in the State and Cato College of Education's Impact](#)
6. Research Spotlight: The Center for Precision Metrology
Ed Morse, Norvin Kennedy Dickerson Jr. Distinguished Professor
Document: [The Center for Precision Metrology](#)
7. Athletics Update

Mike Hill, Director of Athletics

8. Other Business
Brett Keeter, Chair

9. Adjourn
Brett Keeter, Chair

Members

Brett Keeter, Chair

Sasha Weintraub, Vice Chair

John Bailey

Tatiana Larson

Susan DeVore, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Finance and Physical Properties Committee Meeting

Thursday, April 27, 2023, 10:50 – 11:50 a.m.

Popp Martin Student Union, Room 340 C

[YouTube LiveStream](#)

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Fred Klein Jr., Chair
2. Approval of Previous Meeting Minutes
Fred Klein Jr., Chair
Document: [February 8, 2023, Meeting Minutes](#)

Capital Action Items

- Request for Authorization of a Capital Project – Advance Planning
Rich Amon, Vice Chancellor for Business Affairs
Rich Steele, Associate Vice Chancellor for Facilities Management
Document: [Richardson Stadium Expansion](#)
- Requests for Authorization of a Capital Project
Rich Amon, Vice Chancellor for Business Affairs
Rich Steele, Associate Vice Chancellor for Facilities Management
Documents: [Student Health Center Renovation](#)
[Greek Village HVAC & Kitchen Renovation](#)
[Energy Projects Phase 4](#)
[SAC Broadcast Control Room Renovation](#)
[Parking Lot CRI 3 Renewal](#)
[Parking Lot 4A Renewal](#)
[Parking Lot 5 Renewal](#)
[Cone Center Food Venue Refresh](#)
[Holshouser Roof Replacement](#)

5. Request for Commissioning Agent Ratification
Rich Amon, Vice Chancellor for Business Affairs
Rich Steele, Associate Vice Chancellor for Facilities Management
Document: Atkins Library Tower ADA and Fire Smoke Systems

6. Requests for Designer Ratification
Rich Amon, Vice Chancellor for Business Affairs
Rich Steele, Associate Vice Chancellor for Facilities Management
Documents: Duke Centennial Hall HVAC and Controls
Cameron Roof and Windows
Cone North Roof Replacement
Reese Exterior Envelope Repairs
Roof Repairs – Multiple Buildings
Woodward Controls and Lab Modernization
Atkins Air Handler #3 Repair
RUP 2 and Fretwell HVAC and Controls

Other Action Items

7. Housing Rate Update
Kevin Bailey, Vice Chancellor for Student Affairs
Casey Tullos, Associate Vice Chancellor, Housing and Residence Life
Document: Housing Rate Update

8. Approval of Parking Ordinance for 2023/2024
Rich Amon, Vice Chancellor for Business Affairs
Doug Lape, Associate Vice Chancellor for Business Services
Document: Ordinance Governing Parking, Traffic, and the Registration of
Motor Vehicles for the University of North Carolina at Charlotte
for 2023/2024

Capital Information Items

9. Capital Improvement Projects Update
Rich Amon, Vice Chancellor for Business Affairs
Rich Steele, Associate Vice Chancellor for Facilities Management
Document: Capital Improvement Projects Update

Financial Information Items

10. Fiscal Health Dashboard
Rich Amon, Vice Chancellor for Business Affairs
Greg Verret, Director of Treasury Services
Document: Fiscal Health Dashboard

11. UNC Charlotte Budget Update
Rich Amon, Vice Chancellor for Business Affairs
Ken Smith, Chief Budget Officer
Document: UNC Charlotte Budget Update

12. Hotel Update

Rich Amon, Vice Chancellor for Business Affairs
Greg Verret, Director of Treasury Services

13. Adjournment

Fred Klein Jr., Chair

Members

Fred Klein Jr., Chair
David Mildenberg, Vice Chair
Dennis Bunker
Christine Katziff
Susan DeVore, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Thursday, April 27, 2023
Popp Martin Student Union, 340 ABDE
[YouTube LiveStream](#)

Schedule

8:00–9:00 a.m.	Audit, Compliance, and Enterprise Risk Management (ACERM) Committee Meeting <i>Popp Martin Student Union, Room 340 ABDE</i>
9:10–10:10 a.m.	Executive Committee Meeting <i>Popp Martin Student Union, Room 340 ABDE</i>
10:20–11:20 a.m.	Advancement and Public Relations (APR) Committee Meeting <i>Popp Martin Student Union, Room 340 I</i>
10:35–11:35 a.m.	Academic and Student Life (ASL) Committee Meeting <i>Popp Martin Student Union, Room 340 F</i>
10:50–11:50 a.m.	Finance and Physical Properties Committee (FPPC) Meeting <i>Popp Martin Student Union, Room 340 C</i>
11:50 a.m.–12:30 p.m.	Lunch Break <i>Popp Martin Student Union, Room 340 ABDE</i>
12:30–4:00 p.m.	Board Will Convene in the Committee of the Whole <i>Popp Martin Student Union, Room 340 ABDE</i>

Board of Trustees Agenda

The Board Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Susan DeVore, Chair
2. Board Chair's Remarks
Susan DeVore, Chair

3. AGB Conference Report
Brett Keeter, Board of Trustees
4. Approval of Previous Meeting Minutes
Susan DeVore, Chair
Documents: February 8, 2023, Meeting Minutes - Open Session
February 8, 2023, Meeting Minutes - Closed Session
5. Recognition of Outgoing Student Body President and Trustee
Susan DeVore, Chair
Tatiana Larson, Outgoing Student Body President and Trustee
6. Introduction and Swearing-In of New Student Body President and Trustee
Susan DeVore, Chair
Tatiana Larson, Former Student Body President and Trustee
Tife Olusesi, Incoming Student Body President and Trustee
Anna Clark, Assistant to Chief of Staff, Notary Public, Officer of the Chancellor
7. Chancellor's Report
Sharon Gaber, Chancellor
8. Bylaws Revision
Susan DeVore, Chair
Documents: Proposed Board of Trustees Bylaws Revisions - redline
Proposed Board of Trustees Bylaws Revisions - clean
9. All Funds Budget
Rich Amon, Vice Chancellor for Business Affairs
Document: FY24 All Funds Budget Initiative
10. University Budget Update
Rich Amon, Vice Chancellor for Business Affairs
Document: UNC Charlotte Budget Update
11. Report of the Executive Committee
Susan DeVore, Chair
12. Report of the Audit, Compliance, and Enterprise Risk Management Committee
Christine Katziff, Chair
13. Report of the Academic and Student Life Committee
Brett Keeter, Chair
14. Report of the Advancement and Public Relations Committee
Teross Young Jr., Chair
15. Report of the Finance and Physical Properties Committee
Fred Klein, Chair

16. Report of the University Staff Council
Celeste Corpening, Staff Council Chair
Document: Staff Council Report
17. Report of the Student Government Association
Tife Olusesi, Student Body President and Trustee
Document: Student Government Association Updates
18. Report of the University Faculty Council
Susan Harden, Faculty Council President
Document: Faculty Council Report
19. Free Expression Activities
Susan Harden, Faculty Council President
Document: Free Expression and Constructive Dialogue Task Force
20. Board Resolution on the Affirmation of Diversity of Thought and Freedom of Speech
Susan DeVore, Chair
Documents: Resolution on the Affirmation of Diversity of Thought and Freedom of Speech
Faculty Resolution
Chicago Statement
21. Motion to Convene in Closed Session
Susan DeVore, Chair
 - a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7.

Closed Session

22. Report of the Audit, Compliance, and Enterprise Risk Management Committee
Christine Katziff, Chair
23. Report of the Executive Committee
Susan DeVore, Chair
24. Report of the Advancement and Public Relations Committee
Teross Young Jr., Chair
25. Motion to Resume in Open Session
Susan DeVore, Chair

Open Session

26. Master Plan Feedback Session

Rich Amon, Vice Chancellor for Business Affairs

Beth Foster, Principal-in-Charge, Page Southerland Page, Inc.

Lisa Keith, Space Analytics Principal, Page Southerland Page, Inc.

Philip Perlin, Lead Campus Planner, Page Southerland Page, Inc.

Document: Forthcoming

27. Other Business

Susan DeVore, Chair

28. Adjourn

Susan DeVore, Chair

Members of the Board of Trustees

Susan D. DeVore, Chair

John A. Bailey

Dennis N. Bunker III

Sharon Allred Decker

Wendy J. Grubbs

Christine P. Katziff

J. Brett Keeter

Fred W. Klein Jr.

Tatiana G. Larson

David W. Mildenberg

Sasha J. Weintraub

Dontá L. Wilson

Teross W. Young Jr.