



**The University of North Carolina at Charlotte
Board of Trustees**

Audit, Compliance, and Enterprise Risk Management Committee Meeting

Wednesday, December 15, 2021, 8:00–9:30 a.m.
Popp Martin Student Union, Third Floor, Room A-F

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 8:00 a.m.
Christine Katziff, Chair

2. Approval of the October 6, 2021, Meeting Minutes 8:01 a.m.
Christine Katziff, Chair
Documents: October 6, 2021, [Open Session Meeting Minutes](#)
October 6, 2021, Closed Session Meeting Minutes

3. Internal Audit Update 8:02 a.m.
Jennifer Walker, Chief Audit Officer
Larry Kelley, Interim Vice Chancellor for Business Affairs
Documents: [FY 2022 Internal Audit Plan Update](#)
[Summary of Internal Audit Reports Issued This Period](#)
[Internal Audit Findings Exception Report](#)
[Internal Audit Quality Assessment Review \(QAR\)](#)
[Fire Safety and Building Safety](#)

4. Enterprise Risk Management (ERM) Update 8:22 a.m.
Steven Dunham, Chief Risk Officer
Document: [Risk Dashboards](#)

5. Motion to Convene in Closed Session 8:42 a.m.
Christine Katziff, Chair
 - a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;

- b. *to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3); and*
- c. *to consider the qualifications, competence, performance, or condition of appointment of an employee pursuant to N.C.G.S. §143-318.11(a)(6).*

Closed Session

- 6. Title IX Office Update 8:42 a.m.
Michelle Reinken, Title IX Coordinator
Document: Title IX Office Update
- 7. Report on Litigation and Administrative Case Updates 8:57 a.m.
Sam Sears, Associate Vice Chancellor and Deputy General Counsel
- 8. Motion to Resume in Open Session 9:07 a.m.
Christine Katziff, Chair

Open Session

- 9. Other Business 9:08 a.m.
Christine Katziff, Chair
- 10. Adjourn 9:30 a.m.
Christine Katziff, Chair

Members

Christine Katziff, Chair
Carlos Sanchez, Vice Chair
Dennis Bunker III
Sasha Weintraub
Susan DeVore, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Executive Committee Meeting

Wednesday, December 15, 2021 9:35–10:35 a.m.
Popp Martin Student Union, Third Floor, Room A-F
[Public YouTube Live Stream](#)

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Dennis Bunker III, Acting Chair
2. Approval of Previous Meeting Minutes
Dennis Bunker III, Acting Chair
Documents: [October 6, 2021, Meeting Minutes - Open Session](#) [October 6, 2021, Meeting Minutes - Closed Session](#)
3. Approval of New Plan to Establish Spangler Distinguished Professor of Early Child Literacy – Cato College of Education
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Document: [Spangler Distinguished Professor of Early Child Literacy](#)
4. Approval of Revised Plan for the Bank of America Distinguished Professor in Computing and Informatics
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Documents: [Letter to the Chancellor](#)
[Revised Plan for the Bank of America Distinguished Professor in Computing and Informatics](#)
5. Motion to Convene in Closed Session
Dennis Bunker III, Acting Chair
 - a. *Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and*

- b. *prevent the disclosure of information that is privileged or confidential pursuant to the law of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes pursuant to N.C.G.S. §143-318.11 (a)(1);*
- c. *establish, or to instruct the public body's staff concerning the amount of compensation and other material terms of an employment contract or proposed employment contract pursuant to N.C.G.S. §143-318.11 (a)(5);*

Closed Session

- 6. Quarterly Report of Faculty Actions and Salary Adjustments
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Documents: Quarterly Report of Faculty Actions
Quarterly Report of Faculty Salary Adjustments

- 7. Quarterly Report of EHRA Non-Faculty Actions and Salary Adjustments
Larry Kelley, Interim Vice Chancellor for Business Affairs
Documents: Quarterly Report of EHRA Non-Faculty Actions
Quarterly Report of EHRA Non-Faculty Salary Adjustments

- 8. Honorary Degree Nominations
Sharon Gaber, Chancellor
Documents: Letter from Nominations, Honors, and Awards Committee
Nomination 1
Nomination 2
Honorary Degree Pool
Honorary Degrees Conferred
Policy, Criteria, and Procedures for the Awarding of Honorary Degrees

- 9. Distinguished Service Award Nominations
Sharon Gaber, Chancellor
Documents: Recipients of the Distinguished Service Award
Policy, Criteria, and Procedure for the Selection of the Distinguished Service Award

- 10. Motion to Resume in Open Session
Dennis Bunker III, Acting Chair

Open Session

- 11. Other Business
Dennis Bunker III, Acting Chair

- 12. Adjourn
Dennis Bunker III, Acting Chair

Executive Committee Members

Susan DeVore, Chair

Dick Beekman

Dennis Bunker III

Christine Katziff

Brett Keeter

Fred W. Klein Jr.

Carlos Sanchez

Teross W. Young Jr.



**The University of North Carolina at Charlotte
Board of Trustees**

Academic and Student Affairs Committee Meeting

Wednesday, December 15, 2021, 10:40-11:40 a.m.

Popp Martin Student Union, Room 261

[Public YouTube Livestream](#)

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Brett Keeter, Chair
2. Approval of the October 6, 2021, Meeting Minutes
Brett Keeter, Chair
Document: [October 6, 2021, Meeting Minutes](#)
3. UNC Charlotte Health and Wellbeing
Kevin Bailey, Vice Chancellor for Student Affairs
Mari Ross, Associate Vice Chancellor for Health and Wellbeing
Document: [UNC Charlotte Health and Wellbeing](#)
4. Industry Engaged Research and Industry/University Cooperative Research Centers (IUCRC), featuring the [Center for Metamaterials](#)
Rick Tankersley, Vice Chancellor for Research and Economic Development
Ishwar Aggarwal, Research Professor, Department of Physics and Optical Science
Tino Hofmann, Assistant Professor, Department of Physics and Optical Science
Document: [Center for Metamaterials – NSF Funded Industry-University Collaborative Research Center \(IUCRC\)](#)
5. Other Business
Brett Keeter, Chair
6. Adjourn
Brett Keeter, Chair

Members

Brett Keeter, Chair

Carlos Sanchez, Vice Chair

Wendy Grubbs

Dontá Wilson

Susan DeVore, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Athletics Committee Meeting

Wednesday, December 15, 2021, 10:40-11:40 a.m.

Popp Martin Student Union, Room 265

[Public YouTube Livestream](#)

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

Open Session

1. Call to Order
Teross Young Jr., Chair
2. Approval of the October 6, 2021, Meeting Minutes
Teross Young Jr., Chair
Document: [October 6, 2021, Meeting Minutes](#)
3. Baseball Preview
Robert Woodard, Head Baseball Coach
4. [SAAC Update](#)
Nishia McRay, Director of Student Athlete Development
Breanna Murray, SAAC President
5. [Niner Varsity Club Profile](#)
Ragean Hill, Executive Associate Athletic Director
6. [Conference Update](#)
Mike Hill, Director of Athletics
7. Athletic Department Update
Mike Hill, Director of Athletics
8. Other Business
Teross Young Jr., Chair

9. Adjourn

Teross Young Jr., Chair

Members

Teross W. Young Jr., Chair

Sharon Decker, Vice Chair

Dick Beekman

Sasha Weintraub

Susan DeVore, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Finance and Physical Properties Committee Meeting
Wednesday, December 15, 2021, 10:40 a.m.– 11:40 a.m.
Popp Martin Student Union, Room I
[Public YouTube Livestream](#)

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 10:40 a.m.
Fred W. Klein, Chair

2. Approval of Meeting Minutes 10:41 a.m.
Fred W. Klein, Chair
Document: [October 6, 2021, Meeting Minutes](#)

3. All Funds Budget Update 10:45 a.m.
Larry Kelley, Interim Vice Chancellor for Business Affairs
Ken Smith, Chief Budget Officer
Document: [Operating Sources, Uses Rules, and Flexibility](#)

4. Financial Dashboard 11:00 a.m.
Larry Kelley, Interim Vice Chancellor for Business Affairs
Document: [Financial Dashboard](#)

5. UNC Charlotte Budget Update 11:10 a.m.
Larry Kelley, Interim Vice Chancellor for Business Affairs
Ken Smith, Chief Budget Officer
Document: [UNC Charlotte Budget Update](#)

6. 2022-23 Tuition and Fees Recommendations 11:15 a.m.
Larry Kelley, Interim Vice Chancellor for Business Affairs
Documents: [2022-23 Tuition and Fees Recommendations](#)

7. 2022-23 Parking Rates Recommendations 11:20 a.m.
Larry Kelley, Interim Vice Chancellor for Business Affairs
Documents: [2022-23 Parking Rates Recommendations](#)
8. 2022-23 Housing Rates Recommendations 11:22 a.m.
Larry Kelley, Interim Vice Chancellor for Business Affairs
Documents: [2022-23 Housing Rates Recommendations](#)
9. 2022-23 Dining Rates Recommendations 11:25 a.m.
Larry Kelley, Interim Vice Chancellor for Business Affairs
Documents: [2022-23 Dining Rates Recommendations](#)
10. Request for Ratification of Construction Manager at Risk 11:27 a.m.
- Popp Martin Dining Renovations
Larry Kelley, Interim Vice Chancellor for Business Affairs
Rich Steele, Interim Associate Vice Chancellor for Facilities Management
Document: [Popp Martin Dining Renovations](#)
11. Request for Ratification of Designer Selection – Advance Planning 11:29 a.m.
- Softball Locker Rooms and Offices
Larry Kelley, Interim Vice Chancellor for Business Affairs
Rich Steele, Interim Associate Vice Chancellor for Facilities Management
Document: [Softball Locker Rooms and Offices](#)
12. Request for Ratification of Designer Selection – Atkins Café Renovation 11:30 a.m.
Larry Kelley, Interim Vice Chancellor for Business Affairs
Rich Steele, Interim Associate Vice Chancellor for Facilities Management
Document: [Atkins Café Renovation](#)
13. Capital Improvement Projects Update 11:32 a.m.
Larry Kelley, Interim Vice Chancellor for Business Affairs
Rich Steele, Interim Associate Vice Chancellor for Facilities Management
Document: [Capital Improvement Projects Update](#)
14. Update on Capital Appropriated Projects 11:35 a.m.
Larry Kelley, Interim Vice Chancellor for Business Affairs
Rich Steele, Interim Associate Vice Chancellor for Facilities Management
Document: [Appropriated Projects](#)
15. Adjournment 11:40 a.m.
Fred W. Klein, Chair

Members

Fred W. Klein, Chair
David Mildenberg, Vice Chair
Dennis Bunker
Christine Katziff
Susan DeVore, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Wednesday, December 15, 2021
Popp Martin Student Union, Third Floor, Room A-F
[Public YouTube Livestream](#)

Schedule

8:00–9:30 a.m.	Audit, Compliance, and Enterprise Risk Management (ACERM) Committee Meeting <i>Popp Martin Student Union, Third Floor, Room A-F</i>
9:30–10:35 a.m.	Executive Committee Meeting <i>Popp Martin Student Union, Third Floor, Room A-F</i>
10:40–11:40 a.m.	Academic and Student Affairs (ASA) Committee Meeting <i>Popp Martin Student Union, Room 261</i>
10:40–11:40 a.m.	Athletics Committee Meeting <i>Popp Martin Student Union, Room 265</i>
10:40–11:40 a.m.	Finance and Physical Properties Committee (FPPC) Meeting <i>Popp Martin Student Union, Third Floor, Room I</i>
11:40 a.m.–12:30 p.m.	Lunch Break <i>Popp Martin Student Union, Third Floor, Room A-F</i>
12:30–3:30 p.m.	Board Will Convene in the Committee of the Whole <i>Popp Martin Student Union, Third Floor, Room A-F</i>

Board of Trustees Agenda

The Board Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Dennis Bunker III, Acting Chair
2. Introductions and Announcements
Dennis Bunker III, Acting Chair

3. Approval of Previous Meeting Minutes
Dennis Bunker, Acting Chair
Documents: October 6, 2021, Meeting Minutes - Open Session
October 6, 2021, Meeting Minutes - Closed Session
4. Remarks by the Board of Governors Liaison
Mark Holton, Board of Governors Liaison
5. Chancellor's Report
Sharon Gaber, Chancellor
6. Board Self-Assessment
Kim Bradley, Chief of Staff
David Maxwell, PhD, AGB Senior Consultant and Senior Fellow
7. Athletics Conference Update
Mike Hill, Director of Athletics
Document: [Athletics Conference Update](#)
8. Clery Act Requirements and Campus Safety (Education) and Clery Report
Sarah O. Edwards, Senior Associate General Counsel
Jeffrey A. Baker, Chief of Police
Documents: [The Clery Act: Reporting Campus Crimes and Educating the Campus Community](#)
[Clery Act Report 2021](#)
9. Legislative Update
Betty Doster, Special Assistant to the Chancellor for Constituent Relations
Document: [Legislative Update](#)
10. Report of the University Faculty Council
Susan Harden, Faculty Council President
Document: [Faculty Council Report](#)
11. Report of the University Staff Council
Celeste Corpening, Staff Council Chair
Document: [Staff Council Report](#)
12. Report of the Student Government Association
Dick Beekman, Student Body President and Trustee
Document: [Student Government Association Updates](#)
13. Report of the Executive Committee
Dennis Bunker III, Acting Chair
14. Report of the Audit, Compliance, and Enterprise Risk Management Committee
Christine Katziff, Chair

15. Report of the Academic and Student Affairs Committee
Brett Keeter, Chair

16. Report of the Athletics Committee
Teross Young Jr., Chair

17. Report of the Finance and Physical Properties Committee
Fred Klein, Chair

18. Motion to Convene in Closed Session
Dennis Bunker III, Acting Chair

- a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;
- b. to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee pursuant to N.C.G.S §143-318.11 (a)(6);
- c. establish, or to instruct the public body's staff concerning the amount of compensation and other material terms of an employment contract or proposed employment contract pursuant to N.C.G.S. §143-318.11 (a)(5);

Closed Session

19. Report of the Audit, Compliance, and Enterprise Risk Management Committee
Christine Katziff, Chair

20. Report of the Executive Committee
Dennis Bunker III, Acting Chair

21. Honorary Degree Nominations
Sharon Gaber, Chancellor

Documents: Letter from Nominations, Honors, and Awards Committee
Nomination 1
Nomination 2
Honorary Degree Pool
Honorary Degrees Conferred
Policy, Criteria, and Procedures for the Awarding of Honorary Degrees

22. Distinguished Service Award Nominations
Sharon Gaber, Chancellor

Documents: Recipients of the Distinguished Service Award
Policy, Criteria, and Procedure for the Selection of the Distinguished Service Award

23. Chancellor Evaluation

Dennis Bunker III, Acting Chair

24. Motion to Resume in Open Session

Dennis Bunker III, Acting Chair

Open Session

25. Other Business

Dennis Bunker III, Acting Chair

26. Adjourn

Dennis Bunker III, Acting Chair

Members of the Board of Trustees

Susan D. DeVore, Chair

Dick J. Beekman

Dennis N. Bunker III

Sharon Allred Decker

Wendy J. Grubbs

Christine P. Katziff

J. Brett Keeter

Fred W. Klein Jr.

David W. Mildenberg

Carlos E. Sanchez

Sasha J. Weintraub

Dontá L. Wilson

Teross W. Young Jr.