UNIVERSITY OF NORTH CAROLINA
CHARLOTTE

The University of North Carolina at Charlotte
Board of Trustees

Audit, Compliance, and Enterprise Risk Management Committee Meeting
Thursday, September 26, 2024, 8:30 – 9:30 a.m.
Dubois Center, Auditorium

Open Session

Committee Members in Attendance
Teross Young, Chair
Larry Shaheen, Jr. Vice Chair
Sasha Weintraub
Dontá Wilson
Dennis Bunker, III, *ex officio*

Other Trustees in Attendance
Wendy Grubbs

Staff and Faculty in Attendance *(including via Zoom)*
Sharon Gaber, Chancellor
Richard Amon, Vice Chancellor for Business Affairs
Kim Bradley, Chief of Staff and Assistant Secretary to the Board of Trustees
Tina Dadio, University Public Records Officer/Legal Specialist
Steven Dunham, Chief Risk Officer
Sarah Edwards, Associate Vice Chancellor and Deputy General Counsel
Chris Gonyar, Interim Associate Vice Chancellor for Safety & Security
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
Melva Jenkins, Administration Liaison for University Governance
Doug Lape, Associate Vice Chancellor for Business Services
Michelle Reinken, Assistant Vice Chancellor for Civil Rights and Title IX/Title IX Coordinator
Tarveras Rogers, IT Auditor, Certified Information Systems Auditor
Erica Solosky, Associate General Counsel and Director of Ethics, Policy, and Compliance
Debbie Thorne, Ace Fellow
Jennifer Troyer, Provost and Vice Chancellor for Academic Affairs
Jennifer Walker, Chief Audit Officer

Technical Support Staff
Brian Black, Digital Media Communications – Videographer/Editor
Jeremy Bailey, EMC, Online Multimedia Specialist
Brad Bowen, Manager, Digital Media Communications – Video Support

Alex Frizzell, EMC, Technical and Production Manager
Harrison Hieb, Digital Media Communications, Cinematographer/Videographer
Mason Lambert, AV Coordinator
Casey Leblanc, OneIT, Technology Support Analyst
Justin Minch, Lead AV Systems Analyst, Learning Space Technology
Chris Mullenbach, Instructional Designer, Center for Teaching and Learning
Griff Meyers, Classroom Support Supervisor, Dubois Center, Learning Space Technology
Stephen Snipes, Assistant Director of Technical Services, Student Union and Cone Center
Sean Stallings, EMC, Media Production Specialist, In-person Technician
Natasha Stracener, EMC, Instructional Media Production Manager, In-person Technician

Call to Order. Mr. Young called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, and advised Committee members to notify him immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts were reported.

Approval of Minutes. Mr. Young requested action on the April 25, 2024, Audit, Compliance, and Enterprise Risk Management Committee meeting minutes.

> Action. On a motion by Mr. Shaheen, seconded by Mr. Wilson, the Committee approved the April 25, 2024, Audit, Compliance, and Enterprise Risk Management Committee minutes.

Division of Institutional Integrity and ACERM Calendar Update. Mr. Humphrey gave a brief review of the Division of Institutional Integrity organization chart and the ACERM calendar. Mr. Humphrey mentioned that the Office of Legal Affairs currently in the process of filling the research counsel position and will soon be posting a real estate attorney position.

Internal Audit Update. Ms. Walker provided updates on the Internal Audit Plans for FY24 and FY25, summarized the internal audit reports that were issued this period, and reviewed the internal audit open findings report. Ms. Walker also presented the Internal Audit Self-Assessment Maturity Model, reporting that their department achieved the highest score among UNC System universities, exceeding required scores on all themes and sub-themes.

Enterprise Risk Management (ERM) Update. Mr. Dunham provided an update on the university's Enterprise Risk Management program. He summarized the top risks using the key enterprise risk dashboard, then focused on auxiliary services, detailing the risks and mitigation strategies related to financial performance and deferred maintenance in housing, dining, and parking and transportation. Mr. Dunham concluded that leadership has effectively managed increasing costs without significant negative financial impacts or deferred maintenance of essential items and should be able to continue to do so if budgeted rate increases are approved.

Motion to Convene in Closed Session. Mr. Young called for a motion to convene in closed session.

> Action. On a motion by Mr. Wilson, seconded by Dr. Weintraub, the Committee convened in closed session pursuant to the following General Statutes of the State of North Carolina:

a. *N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidential arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and*
b. *N.C.G.S. §143-318.11(a)(3), to consult with and receive advice from counsel; and*
c. *N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, prospective public officer or employee.*

Mr. Young excused all attendees except the Committee members, Chancellor Gaber, Dr. Amon, Ms. Bradley, Ms. Dadio, Mr. Dunham, Ms. Edwards, Mr. Humphrey, Ms. Jenkins, Ms. LeBlanc (*IT/AV Support),* Mr. Meyers (*IT/AV Support),* Mr. Mullenbach (*IT/AV Support)* Dr. Reinken, Mr. Rogers, Ms. Solosky, Ms. Stracener (*IT/AV Support*), Dr. Troyer, and Ms. Walker.

Closed Session

Closed session minutes are filed in the office of the Assistant Secretary to the Board of Trustees.

Open Session

Other Business: Mr. Humphrey provided an update on a recent public records request received by the university related to the results of PCB (Polychlorinated biphenyls) testing of campus buildings. Mr. Humphrey reported that the results show no presence of airborne PCBs and confirmed that they are in compliance with OSHA standards. The request has since been fulfilled.

Motion to Adjourn. Mr. Young requested a motion to adjourn.

Action. On a motion by Mr. Wilson, seconded by Dr. Weintraub, the meeting was adjourned.

Submitted: ______________________________

Jesh Humphrey — Date
Vice Chancellor for Institutional Integrity and General Counsel

Approved: ______________________________

Larry Shaheen Jr., Vice Chair — Date
Audit, Compliance, and Enterprise Risk Management Committee

UNIVERSITY OF NORTH CAROLINA
CHARLOTTE

The University of North Carolina at Charlotte
Board of Trustees

Executive Committee Meeting
Thursday, September 26, 2024, 9:40 – 10:05 a.m.
The Dubois Center, Auditorium, Room 201

Open Session Minutes

Committee Members in Attendance
Dennis Bunker III, Chair
Dontá Wilson, Vice Chair
John Bailey
Isaiah Grayson
Wendy Grubbs
Brett Keeter
Sasha Weintraub
Teross Young Jr.

Staff/Faculty in Attendance
Sharon Gaber, Chancellor
Rich Amon, Vice Chancellor for Business Affairs
Kim Bradley, Chief of Staff and Assistant Secretary to the Board
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
Melva Jenkins, Administrative Liaison for University Governance
Jennifer Troyer, Provost and Vice Chancellor for Academic Affairs

Technical Support Staff
Brian Black, Digital Media Communications – Videographer/Editor
Brad Bowen, Manager, Digital Media Communications – Video Support
Harrison Hieb, Digital Media Communications, Cinematographer/Videographer
Adrian Jelley, OneIT, Technology Analyst
Casey Leblanc, OneIT, Technology Support Analyst
Mason Lambert, Popp Martin Student Union, AV Coordinator
Chris Muellenbach, Media Production Team, Instructional Designer
Stephen Snipes, Student Union and Cone Center, Assistant Director, Technical Services
Sean Stallings, Media Production Team, Media Production Specialist, Remote Technician
Natasha Stracener, Media Production Team, Instructional Media Production Manager, In-person Technician

Guests
Debbie Thorne, American Council on Education (ACE) Fellow

Call to Order. Mr. Bunker called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify him immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.

Approval of Minutes. Mr. Bunker requested action on the minutes from the April 25, 2024, Executive Committee meeting, as distributed.

> Action. On a motion by Mr. Wilson, seconded by Dr. Weintraub, the Committee unanimously approved the April 25, 2024, meeting minutes.

Chair Updates. Mr. Bunker reported that the Executive Committee conducted the following votes via email since the last business meeting in April:

- April 25 - unanimously approved the compensation, as presented, and tenure for the two new dean positions, Dr. Christopher Boyd as dean of the College of Humanities & Earth and Social Sciences, and Dr. Richard Buttimer as the dean of the Belk College of Business.
- May 1 - unanimously approved the naming of Klein Hall; unanimously approved a market salary adjustment for a Tier I employee, Vice Chancellor for One IT Mike Carlin, as presented.
- May 23 - unanimously approved the establishment of the Klein Distinguished Professor of Optical Science; unanimously approved the second amendment of the employment agreement for Kyle Bailey, the men's tennis head coach, as presented.
- June 24 - unanimously approved the employment agreement for the new softball head coach, Courtney Breault, as presented.
- July 24 - unanimously approved the compensation for Dr. Bernadette Donovan-Merkert as the permanent dean of the College of Science, as presented.
- August 19 - unanimously approved a salary stipend for a Tier I employee, Vice Chancellor Mike Carlin, to compensate for him taking on the additional role of Chief University Innovation Officer, as presented, and a salary adjustment for Myya Singletary who was appointed to a psychologist position through a competitive search.
- September 10 - unanimously approved the extension of Athletics Director Mike Hill's employment agreement, as presented.

Update on Leadership Searches. Provost Troyer provided updates on the ongoing leadership searches for the dean of the library, dean of the graduate school, and associate provost for academic space and programs.

Revised Plans-Duke Energy Distinguished Professor in Power Engineering Systems I and II. Provost Troyer presented the plan to revise the Duke Energy Distinguished Professor in Power Engineering Systems after a recent vacancy. She explained that the distinguished professor is one role that has two plans (I and II) due to two separate gifts that provide two streams of funding. She reported that the plan is to place the new director of EPIC, who has already been named, into the distinguished professor role, once it has gone through the approval process. She further reported that both plans have been updated to include current language regarding both internal and external recruitment, the length of the term of position and the potential for renewal.

Mr. Bunker requested action to approve the revision of the Duke Energy Distinguished Professor in Power Engineering Systems I and II, as presented.

> Action. On a motion by Dr. Weintruab, seconded by Ms. Grubbs, the Committee voted unanimously to approve the revision of the Duke Energy Distinguished Professor in Power Engineering Systems I and II, as presented.

Plan to Establish the Klein Distinguished Professor of Mathematics and Statistics. Provost Troyer presented the Klein Distinguished Professor of Mathematics and Statistics, which is housed in the Klein College of Science, and will be instrumental in bringing extremely talented faculty to Charlotte.

Mr. Bunker requested action to approve the establishment of the Klein Distinguished Professor of Mathematics and Statistics, as presented.

> Action. On a motion by Mr. Keeter, seconded by Mr. Grayson, the Committee voted unanimously to approve the establishment of the Klein Distinguished Professor of Mathematics and Statistics, as presented.

Motion to Convene in Closed Session. Mr. Bunker requested a motion to convene in closed session pursuant to the following General Statutes of the State of North Carolina:

a. *Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and*
b. *to prevent the disclosure of information that is privileged or confidential pursuant to the law of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes pursuant to N.C.G.S. §143-318.11 (a)(1).*

> Action. On a motion by Mr. Wilson, seconded by Mr. Young, the Committee convened in closed session.

Mr. Bunker excused all attendees except trustees, Chancellor Gaber, Ms. Bradley, Ms. Jenkins, and Cabinet members.

Closed Session

Closed session minutes are filed in the office of the assistant secretary to the Board of Trustees.

Open Session

Adjourn. There being no further business to come before the Committee, Mr. Bunker adjourned the meeting at 10:07 am.

Submitted: Kim S. Bradley 12/4/24

Kim S. Bradley Date
Assistant Secretary to the Board
UNC Charlotte Board of Trustees

Approved: Dennis N. Bunker, III 12/4/24

Dennis N. Bunker III Date
Chair
UNC Charlotte Board of Trustees

The University of North Carolina at Charlotte
Board of Trustees

Academic and Student Life Committee Meeting
Thursday, September 26, 2024, 10:15 - 11:15 am
Dubois Center, Auditorium

Minutes

<u>Committee Members in Attendance</u>
Brett Keeter, Chair
Christine Katziff, Vice Chair
John Bailey
Isaiah Grayson
Michael Smith
Dennis Bunker III, ex officio

<u>Other Trustees in Attendance</u>
Lawrence J. Shaheen Jr.
Teross Young Jr.

<u>Staff and Faculty in Attendance</u>
Kevin Bailey, Vice Chancellor for Student Affairs
Kim Bradley, Chief of Staff
John Daniels, Vice Chancellor for Research
Betty Doster, Special Assistant to the Chancellor for External Relations and Partnerships
Sarah Edwards, Associate Vice Chancellor for Legal Affairs and Deputy General Counsel
Sharon Gaber, Chancellor
Mike Hill, Director of Athletics
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
Kaela Lindquist, Associate Dean of Students and Director of Student Accountability & Conflict Resolution
Shivani Patel, Chancellor's Office, Graduate Assistant
Michelle Reinken, Assistant Vice Chancellor/Title IX Coordinator
Debbie Thorne, American Council on Education (ACE) Fellow
Jennifer Troyer, Provost and Vice Chancellor for Academic Affairs
Leslie Zenk, Associate Provost and Chief of Staff

<u>Technical Support Staff</u>
Brian Black, Digital Media Communications – Videographer/Editor
Brad Bowen, Manager, Digital Media Communications – Video Support
Harrison Hieb, Digital Media Communications, Cinematographer/Videographer
Adrian Jelley, OneIT, Technology Analyst, OneIT
Mason Lambert, Popp Martin Student Union, AV Coordinator,

Casey LeBlanc, OneIT, Technology Analyst
Chris Muellenbach, Media Production Team, Instructional Designer
Steven Snipes, Student Union and Cone Center, Assistant Director, Technical Services, Popp Martin Student Union
Sean Stallings, Media Production Team, Media Production Specialist, Remote Technician
Natasha Stracener, Media Production Team, Instructional Media Production Manager, In-person Technician

Call to Order. Mr. Keeter called the meeting to order and reminded members of the Committee of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act in accordance with Chapter 138A of the North Carolina General Statutes and advised the Trustees to make him aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the Committee at any time during the meeting. No conflicts of interest were reported.

Approval of Minutes. Mr. Keeter requested action on the minutes of the April 25, 2024, Academic and Student Life Committee meeting.

> Action. On a motion by Mr. Bailey, seconded by Mr. Grayson, the Committee unanimously approved the April 25, 2024, meeting minutes.

Committee Overview. Provost Troyer, along with Dr. Bailey, Dr. Daniels, and Mr. Hill provided an overview of the role and responsibilities of the Academic and Student Life Committee of the Board of Trustees.

Title IX Appeals Training. As the appellate body for student appeals of sexual or interpersonal misconduct cases (including sexual assault, dating/domestic violence, and stalking), the Academic and Student Life Committee received its annual training on the appeals process, the unique dynamics of these cases, and the parameters of the committee's review when a case is brought to it. Ms. Edwards, Dr. Reinken, and Ms. Lindquist provided the in-person training for the committee members.

Athletics Update. Mr. Hill updated the committee on the start of the season and provided an overview of the pending House v. NCAA settlement.

Adjourn. There being no further business before the Committee, Mr. Keeter adjourned the meeting.

Submitted: 12/4/24
Jennifer Troyer — Date
Provost and Vice Chancellor for Academic Affairs

Approved: 12/4/2024
J. Brett Keeter — Date
Chair
Academic and Student Life Committee

The University of North Carolina at Charlotte
Board of Trustees

Advancement and Public Relations Committee Meeting
Thursday, September 25, 2024, 10:50 – 11:50 a.m.
Dubois Center at UNC Charlotte Center City, Lecture Hall

Minutes

Committee Members in Attendance
Dennis Bunker III, Board Chair
Wendy Grubbs, Chair
Geoff Gray, Vice Chair (virtual)
Larry Shaheen Jr.

Committee Members Not in Attendance
Sasha Weintraub

Staff and Faculty in Attendance
Sharon Gaber, Chancellor
Beth Crigler, Vice Chancellor for University Advancement
Jay Davis, Senior Director of Executive Communications
Betty Doster, Special Assistant to the Chancellor for External Relations and Partnerships
Lauren Gregg, Senior Director of Executive Engagement
Penny Hawkins, Associate Vice Chancellor of University Development
Laura Sharpe, Executive Director of University Engagement and Events
Jen Ames Stuart, Associate Vice Chancellor of University Communications

Technical Support Staff
Alex Frizzell, EMC, Instructional Media Production Manager, In-person Technician

Call to Order. Ms. Grubbs reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest in accordance with the State Government Ethics Act, Chapter 138A of the North Carolina General Statutes. She advised committee members to notify her immediately if anyone should become aware of a conflict of interest or any items that may create the appearance of a conflict of interest during the meeting. No conflicts of interest were reported. Ms. Grubbs called the meeting to order.

Approval of Minutes. Ms. Grubbs requested action on the April 25, 2024, Advancement and Public Relations Committee meeting minutes as distributed.

> Action. On a motion by Mr. Shaheen, seconded by Mr. Bunker, the Committee unanimously approved the April 25, 2024, meeting minutes.

Overview of the Advancement and Public Relations Committee. Ms. Crigler provided an overview of the Division of University Advancement and how it functions with the Advancement and Public Relations Committee.

Fundraising and Campaign Update. Ms. Hawkins shared that $66.3 million was raised in FY 2024. To date, more than $239.2 million has been raised towards the University's $500 million campaign goal. In addition, there is $203 million in delivered and target proposals. Ms. Hawkins reported that the goal is to be at $250 million by the public launch in November. Ms. Hawkins also shared that the goals for FY 2025 include $65 million in total dollars raised and 5.03% alumni participation.

Campaign Update. Ms. Crigler provided an update on the status of the campaign, scheduled to launch during Niner Nation Week: Homecoming 2024 at the November 16 football game. She shared the members of the Campaign Cabinet, including chairs Darla and Craig Albert, Kia and Demond Martin, David Ravin, and Dontá Wilson along with University leadership and representation from the Board of Trustees, UNC Charlotte Foundation Board, Advancement & Public Relations Committee, Athletics, alumni, faculty, staff, and students. She also shared the goals for each pillar: student success and opportunity ($200 million), academic and research leadership ($150 million), and campus and community vibrancy ($150 million).

US News & World Report Rankings. Dr. Stuart shared an overview of the *US News and World Report* rankings that were released on Tuesday, September 24. Among the rankings, UNC Charlotte is ranked number 81 among public universities, moving up 15 spots.

Adjourn. There being no other business, Ms. Grubbs adjourned the meeting.

Submitted: Beth Crigler
Beth Crigler Date
Vice Chancellor for University Advancement

Approved: Wendy Grubbs
Wendy Grubbs Date
Chair
Advancement and Public Relations Committee

The University of North Carolina at Charlotte
Board of Trustees

Finance and Physical Properties Committee Meeting
September 26, 2024, 11:25 am - 12:40 pm
Dubois Center, Auditorium

Minutes

Committee Members in Attendance
John Bailey, Chair
Michael Smith, Vice Chair
Christine Katziff
Dontá Wilson
Dennis Bunker, ex officio

Other Trustees in Attendance:
Isaiah Grayson
Brett Keeter
Larry Shaheen Jr.
Teross Young Jr.

Staff/Faculty in Attendance
Rich Amon, Vice Chancellor Business Affairs
Kim Bradley, Chief of Staff
Owen Cooks, Associate Vice Chancellor for Facilities Management
Jay Davis, Executive Director of External Relations
Betty Doster, Special Assistant to the Chancellor for External Relations and Partnerships
Stephen Dunham, Chief Risk Officer
Katharina Findlater, Business Affairs Operation Manager
Sharon Gaber, Chancellor
Chris Gonyar, Interim Associate Vice Chancellor for Safety & Security
Deidra Harris-Lumpkins, Associate Vice Chancellor for Human Resources
Mike Hill, Director of Athletics
Kathryn Horne, Director of Planning, Design and Construction
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
Doug Lape, Associate Vice Chancellor for Business Services
Ken Smith, Associate Vice Chancellor for Budget
Darin Spease, Deputy Athletic Director
Jennifer Troyer, Provost and Vice Chancellor for Academic Affairs
Greg Verret, Associate Vice Chancellor for Finance

Guests
Debbie Thorne, American Council on Education (ACE) Fellow

Technical/Support Staff
Brad Bowen, Digital Media Communications, Manager, Video Support
Adrian Jelly, OneIT, Technology Analyst
Harrison Hieb, Digital Media Communications, Cinematographer/Videographer
Mason Lambert, Popp Martin Student Union, AV Coordinator
Casey Leblanc, OneIT, Technology Support Analyst
Chris Muellenbach, Media Production Team, Instructional Designer
Natasha Stracener, Media Production Team, Instructional Media Production Manager, In-person Technician

Call to Order. Mr. Bailey called the meeting to order and reminded committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the committee members to notify her immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interests were reported.

Approval of the Minutes. Mr. Bailey requested approval of the April 25, 2024, minutes of the Finance and Physical Properties Committee (FPPC).

> Action: On a motion by Mr. Wilson, seconded by Mr. Smith, the Committee unanimously approved the minutes for the April 25, 2024, meeting.

Overview of Finance and Physical Properties Committee. Dr. Amon provided the Committee with an overview of the Business Affairs division and introduced his senior staff. He also shared the committee's schedule for reviewing/considering financial and capital agenda items throughout the year and provided an overview of financial dashboard summaries.

Approval of Campus Master Plan. Dr. Amon presented the campus master plan. He shared the timeline of the process, the guiding principles, and the design and phasing strategies. Dr. Amon explained that this is a living document, which allows the University to adapt to enrollment and other changes and that the campus master plan builts on the principles of the University's strategic plan. Further, Dr. Amon shared possible future projects and enhancements that are included in the campus master plan.

> Action: On a motion by Mr. Smith, seconded by Mr. Wilson, the Committee voted unanimously to recommend full Board approval of the Campus Master Plan.

Approval of Six-year Capital Plan. Dr. Amon presented the six-year capital plan for the University that includes general fund and non-general fund projects. Projects were reviewed with appropriate academic units and the Chancellor.

> Action: On a motion by Mr. Smith, seconded by Mr. Wilson, the Committee voted unanimously to recommend full Board approval of the Six-year Capital Plan, as presented.

Requests for Increase in Capital Project Authorization. *Jerry Richardson Stadium Expansion.* Dr. Amon presented a request to increase the authorization for the Jerry Richardson Stadium Expansion by $10,000,000 to fund equipment and the build out of a full-service catering kitchen, a catering/prep kitchen, and additional concessions stands for the stadium. The increased project costs will be funded with auxiliary service revenues from catering operations.

> Action: On a motion by Mr. Wilson, seconded by Mr. Smith, the Committee voted unanimously to recommend full Board approval of an increase in the authorization for the Jerry Richardson Stadium Expansion project by $10,000,000.

Requests for Authorization of Capital Project. *Witherspoon Residence Hall Renovation.* Dr. Amon presented the request to authorize the Witherspoon Residence Hall project, which is a comprehensive renovation that replaces mechanical, plumbing and electrical systems, provides new finishes and upgrades to bathrooms and kitchens.

> Action: On a motion by Mr. Smith, seconded by Mr. Wilson, the Committee voted unanimously to recommend the full Board authorization for the University to undertake the Witherspoon Residence Hall Renovation project, as presented.

Requests for Designer Ratification - Multiple Projects. Dr. Amon presented two requests for designer ratification:

Witherspoon Residence Hall Renovation – Selected: Clark Nexsen; 1st Alt: Little Architects; 2nd Alt: Hord Coplan Macht

Sanitary Sewer System Repair and Replacement – Selected: Gavel & Dorn Engineering, PLLC

> Action: On a motion by Mr. Smith, seconded by Mr. Wilson, the Committee unanimously approved the selected designers and alternates as presented for the Witherspoon Residence Hall Renovation and the Sanitary Sewer System Repair and Replacement.

Financial Health Dashboard. Mr. Verret provided an update on the key financial metrics of enrollment, investment performance, research awards, and housing occupancy. He stated that these metrics were favorable for the most recent period.

Budget Update. Mr. Smith provided an update of the university budget as of August 2024, including how year-to-date budgets compared to year-to-date actuals for all general funds, housing, athletics, parking, and dining. Mr. Smith reported that all budgets are in positive standing.

Delegated Investment Authority. Mr. Verret provided an update on the result of the investments under delegated authority per UNC Policy 600.2.4.1. Mr. Verret reported a positive performance of the University's portfolio under the delegated authority.

Consulting Services Contracts FY24. Dr. Amon reviewed the consulting contracts report that is shared annually with the Board of Trustees before submission to the System Office. For FY 2024 there were slightly more than $500,000 in consulting engagements during the year.

Capital Improvement Projects Update. Dr. Amon reported that the Jerry Richardson Stadium Expansion and the Hawthorn Residence Hall Renovation are in design; the Cameron Second Floor Renovation and Softball Locker Room and Offices are in construction. He also provided an update that the Duke HVAC Upgrade will start construction in December 2024 and the Burson Renovation & Expansion project will bid in January 2025.

Adjourn. There being no further business before the Committee, the meeting was adjourned at 12:28 p.m.

Submitted: ______________________________

Rich Amon Date
Vice Chancellor for Business Affairs

Approved: ______________________________ 12/4/24

John Bailey Date
Chair, Finance and Physical Properties Committee

The University of North Carolina at Charlotte
Board of Trustees

Thursday, September 26, 2024
The Dubois Center, Auditorium, Room 201
1:45 – 4:00 p.m.

Open Session Minutes

Board Members in Attendance
Dennis Bunker III, Chair
Dontá Wilson, Vice Chair
John Bailey
Geoff Gray (via Zoom)
Isaiah Grayson
Wendy Grubbs
Christine Katziff
J. Brett Keeter
Hugh McColl Jr.
Larry Shaheen Jr. (via Zoom)
Michael Smith
Teross Young Jr.

Board Members Not in Attendance
Sasha Weintraub

Staff/Faculty in Attendance
Sharon Gaber, Chancellor
Rich Amon, Vice Chancellor for Business Affairs
Kevin Bailey, Vice Chancellor for Student Affairs
Jeffrey Baker, Police and Public Safety, Chief of Police
Kim Bradley, Chief of Staff and Assistant Secretary to the Board
Mike Carlin, Vice Chancellor for OneIT and Chief Information Officer
Owen Cooks, Associate Vice Chancellor for Facilities Management
Beth Crigler, Vice Chancellor for Advancement
John Daniels, Vice Chancellor for Research
Jay Davis, Senior Director of Executive Communications
Aaron Fearne, Men's Basketball Head Coach
Chris Gonyar, Interim Associate Vice Chancellor for Safety & Security
Lauren Gregg, Senior Director of Leadership Engagement and Strategic Initiatives
Mike Hill, Director of Athletics
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
Melva Jenkins, Administrative Liaison for University Governance
Xiaoxia Newton, Faculty Council President
Anna Parks, Executive Assistant to the Chief of Staff

Brenda Shue, Chancellor's Office, Business Officer
Cynthia Stone, Interim Director of Planning
Jen Ames Stuart, Associate Vice Chancellor for University Communications
Jennifer Troyer, Provost and Vice Chancellor for Academic Affairs
Jessica Waldman, Staff Council Chair
Alan Wilson, Police and Public Safety, Sergeant/Criminal Investigations Division
Leslie Zenk, Associate Provost and Chief of Staff

Technical Support Staff
Brian Black, Digital Media Communications – Videographer/Editor
Brad Bowen, Manager, Digital Media Communications – Video Support
Alex Frizzell – Media Production Team, Technical & Production Manager
Harrison Hieb, Digital Media Communications, Cinematographer/Videographer
Adrian Jelley, OneIT, Technology Analyst
Casey Leblanc, OneIT, Technology Support Analyst
Mašon Lambert, Popp Martin Student Union, AV Coordinator
Stephen Snipes, Student Union and Cone Center, Assistant Director, Technical Services
Sean Stallings, Media Production Team, Media Production Specialist, Remote Technician
Natasha Stracener, Media Production Team, Instructional Media Production Manager, In-person Technician

Guests
Debbie Thorne, American Council on Education (ACE) Fellow

Call to Order. Mr. Bunker called the meeting to order and reminded Board members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Board members to notify him immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.

Approval of Previous Meeting Minutes. Mr. Bunker requested approval of the April 25, 2024, July 1, 2024, and August 7-8, 2024, meeting minutes.

Action. On a motion by Mr. Grayson, seconded by Mr. Wilson, the Board unanimously approved the minutes of the April 25, 2024, July 1, 2024, and August 7-8, 2024, meetings, as distributed.

Board Chair's Remarks. Mr. Bunker recognized Chancellor Gaber, Trustee Michael Smith, Dean of the Cato College of Education Malcolm Butler, and Dean of the College of Computing and Informatics Bojan Cukic for recently being named to the Charlotte Business Journal's Power 100. Mr. Bunker then reviewed the informational handouts that were given to each trustee. Next, he invited Mr. Hill to officially introduce Coach Fearne, who shared a few words about the upcoming season.

Chancellor's Report. Chancellor Gaber began her report by affirming the great start to the year. She then provided a Fall enrollment update, highlighting another record-breaking year with the highest-ever student enrollment of 31,091. She further reported that the freshman class was the largest ever at 4,657 and that we welcomed our largest group of transfer students, with more than 2,500 students coming from 51 of 58 NC community colleges. She next discussed 49erNext, the University's community college partnership program, reporting that 94% of 49erNext transfer students were admitted directly into their major of choice. Chancellor Gaber next shared a few other enrollment records, including Hispanic undergraduate student enrollment at 15.6%, making UNC Charlotte an Emerging Hispanic Serving Institution, as defined by Excelencia in Education, and Black/African undergraduate and graduate enrollment of 5,558.

Chancellor Gaber next reported a slight decrease in graduate enrollment, which she attributed to a significant decrease in new international students and new distance learning students. She noted that the University's Carnegie R1 designation is expected to draw interest from out-of-state and international students who have not previously considered Charlotte, as well as the new PhD in Data Science which will begin in 2025. Chancellor Gaber ended her enrollment report by looking at enrollment across UNC institutions.

Chancellor Gaber then provided an update on the fall housing update, noting that having Wilson Hall open for its first full year of operations has been instrumental in meeting housing needs, serving first-time-in-college students, and boosting the total housing occupancy to just over 6,000 (97%). She indicated that the University is exploring how to best address the growing need for graduate student housing, having completed a successful housing pilot this summer for international students and plans to make modifications for next year based on feedback.

Chancellor Gaber next discussed a number of campus safety measures, including mandatory active assailant training for all faculty, staff, and students, changes to the structure and protocols of the Demonstration Activity Resource Team (DART), communications to the campus community regarding free speech, and adjusted notification protocols to decrease response times for both NinerNotices and NinerAlerts.

Next, Chancellor Gaber noted the strategic activation of the Dubois Center, including the increase in overall courses being held in the building, the doubling of the daytime courses, the reconfiguration of the lobby, and increased security.

Chancellor Gaber also shared an update on the CO-LAB building located across the street from the Dubois Center, reporting that there was a final inspection last week, with Wi-Fi and security being completed this week. The use of the CO-LAB for the North Tryon Tech Hub Advisory Committee meeting is an important first step in development of the Tech Hub, and will create a space uptown for the Center for Entrepreneurship and Innovation, based out of Belk College.

Chancellor Gaber then shared the results of the US News & World Report's release of the 2025 Best Colleges rankings, in which UNC Charlotte is ranked 152 in the overall national university rankings and 81 in public universities. She also reported several specialty rankings, including Most Innovative Schools (45); Best Colleges for Veterans (106); Best Value Schools (157); and Top Performers for Social Mobility (62). Chancellor Gaber also reported that a number of UNC Charlotte's undergraduate programs are also ranked, including nursing, computer science, business, economics, psychology, and engineering.

Next, Chancellor Gaber highlighted several other national awards and recognitions, including the extension of the Association of Public and Land-grant Universities (APLU) "Innovation & Economic Prosperity" designation for an additional five years; the Foundation for Individual Rights and Expression (FIRE) College Free Speech ranking of 9, the university's first-ever top 10 FIRE ranking; and the University's induction into the prestigious National Academy of Inventors.

Chancellor Gaber then recognized faculty who were honored at the 57th annual Celebration of Teaching Excellence Awards on September 4, including Erik Byer, a professor in the Department of Reading and Elementary Education, who received the Bank of America Award for Teaching and Susan Michael, a senior lecturer in Chemistry, who received UNC Charlotte's Award for Teaching Excellence.

Chancellor Gaber next highlighted recent celebrations on campus, including the September 6 grand opening of the new engineering lab located in Cameron Hall, funded through the General Assembly's "Engineering North Carolina's Future" and the beginning of a new partnership with Eli Lilly & Co., whose support is will help sustain the lab and provide scholarships for students. Chancellor Gaber also highlighted the celebration of the naming of the newly established College of Science to the Klein College of Science, and what was formerly known as the science building was named Klein Hall, in honor of Fred and Gené Klein's generous gift of $10 million which will support scholarships, professorships, and faculty recruitment.

Chancellor Gaber recognized the University's growing strengths in advanced manufacturing training and research which were highlighted by visits from teams from Hendricks Motorsports and GM Defense, the North Carolina Board of Science, Technology, and Innovation, and leaders from Toyota Battery Manufacturing North Carolina, all which underscore our growing reputation and potential for future collaboration.

Lastly, Chancellor Gaber reported that the University has welcomed several leaders to include three new deans: Chris Boyer, founding dean of the College of Humanities & Earth and Social Sciences; Richard Buttimer, dean of the Belk College of Business; Bernadette Donovan-Merkert, founding dean of the Klein College of Science; and Denise Balfour Simpson, dean of students.

Strategic Plan Annual Progress. Chancellor Gaber provided the annual update on the progress made in the implementation of the 10-year University Strategic Plan. She reported that the University made progress in 17 of the 18 metrics in year three, and has actually met and surpassed the 10-year goals for four of the metrics, including average indebtedness at graduation, national university ranking, public university, and service hours. Given the tremendous progress made on the strategic plan, Chancellor Gaber then proposed that the plan and its success metrics be refreshed in the Spring, to be effective in Fall 2025, or year four of the strategic plan.

Report of the Executive Committee. Mr. Bunker reported that the Executive Committee conducted the following email votes on time sensitive issues after the April meeting:

- April 25, 2024 - the Committee unanimously approved the compensation and tenure for two new dean positions - Dr. Christopher Boyd as dean of the College of Humanities & Earth and Social Sciences (CHESS), and Dr. Richard Buttimer as dean of the Belk College of Business
- May 1, 2024 - the Committee unanimously approved the naming of Klein Hall, and the market salary adjustment for a Tier I employee, Vice Chancellor for OneIT Mike Carlin
- May 23, 2024 - the Committee unanimously approved the establishment of the Klein Distinguished Professor of Optical Science, and the second amendment of the employment agreement for Kyle Bailey, men's soccer head coach
- June 24, 2024 - the Committee unanimously approved the employment agreement for the head softball coach, Courtney Breault
- July 24, 2024 - the Committee unanimously approved the compensation for Dr. Bernadette Donovan-Merkert as the permanent dean of the freshly minted Klein College of Science

- August 19, 2024 - the Committee unanimously approved the salary stipend for a Tier I employee, Vice Chancellor for OneIT Mike Carlin to compensate him for taking on the additional role of Chief University Innovation Officer
- September 10, 2024 - the Committee unanimously approved the extension of Athletics Director Mike Hill's employment agreement

Mr. Bunker then reported that the Committee received an update on the status of the leadership searches currently in progress. He next reported that the Committee approved two revised plans for the Duke Energy Distinguished Professor in Power Engineering Systems, as well as the plan to establish the Klein Distinguished Professor of Mathematics and Statistics.

Report of the Advancement and Public Relations Committee. Ms. Grubbs reported that the Committee received an overview of the Advancement and Public Relations Committee. She reported that the Committee received several updates regarding the closing of FY24 fundraising books, and the campaign set for public launch on November 16 during Niner Nation Week: Homecoming Week. She then reported that the Committee also received an overview of the US News & World Report rankings.

Report of the Finance and Physical Properties Committee. Mr. Bailey reported that the Committee reviewed several financial and capital items, including four items that require Board approval, the Campus Master Plan, the Six-Year Capital Plan, an increase in capital project authorization for the Jerry Richardson Stadium expansion, and a capital project authorization for the Witherspoon Residence Hall renovation. He reported that the Committee additionally approved designer selection ratifications for the Witherspoon Residence Hall renovation, and the sanitary sewer system repair and replacement project. Mr. Bailey reported that the Committee also reviewed the University budget and financial health dashboard, and heard reports on the Delegated Investment Authority, Consulting Services Contracts for FY24, and an update on capital improvement projects.

Mr. Bailey invited Dr. Amon to present the University budget review as an informational item for the Board.

Request for Increase in Capital Project Authorization – Mr. Bailey presented the Committee's recommendation for Board approval of an increase to the budget for the Jerry Richardson Stadium Expansion capital improvement project by $10,000,000 to fund equipment and the build out of a full-service catering kitchen, a catering/prep kitchen, and additional concessions stands, and support training tables for 49er athletics teams.. He reported that this increase will be funded with auxiliary service revenues from catering operations.

Mr. Bunker requested action on the Finance and Physical Properties Committee's recommendation to approve an increase in budget for the Jerry Richardson Stadium Expansion capital improvement project by $10,000,000.

> Action. The Board unanimously approved the increase in budget for the Jerry Richardson Stadium Expansion capital improvement project by $10,000,000, as presented.

Dr. Amon reported that this project requires authorization of the UNC Board of Governors.

Request for Increase in Capital Project Authorization - Mr. Bailey presented the Committee's recommendation for Board approval of the Witherspoon Residence Hall capital project for $36,000,000.

Mr. Bunker requested action on the Finance and Physical Properties Committee's recommendation to approve the Witherspoon Residence Hall capital project as presented.

> Action. The Board unanimously approved the Witherspoon Residence Hall capital improvement project as presented.

Mr. Bunker noted that this project also required authorization by the UNC Board of Governors.

Six-Year Capital Plan – Mr. Bailey invited Dr. Amon to provide details of the Six-Year Capital Plan and then presented the Committee's recommendation for Board approval of the Six-Year Capital Plan.

Mr. Bunker requested action on the Finance and Physical Properties Committee's recommendation for Board approval of the Six-Year Capital Plan as presented.

> Action. The Board unanimously approved the Six-Year Capital Plan as presented.

Campus Master Plan – Mr. Bailey invited Dr. Amon to provide details of the Campus Master Plan and then presented the Committee's recommendation for Board approval of the Campus Master Plan.

Mr. Bunker requested action on the Finance and Physical Properties Committee's recommendation for Board approval of the Campus Master Plan as presented.

> Action. The Board unanimously approved the Campus Master Plan as presented.

Report of the Audit, Compliance, and Enterprise Risk Management Committee. Mr. Young reported that the Committee received a brief overview of the Institutional Integrity organizational chart and the ACERM calendar. He reported that the Committee received updates on the Internal Audit plans for FY24 and FY25, a summary of the internal audit reports issued this period, and a review of the open findings report. Mr. Young reported that the Committee also reviewed the Internal Audit Self-Assessment Maturity Model, which indicated that the Internal Audit department achieved the highest score among UNC System universities, and exceeded required scores on all themes and sub-themes. Mr. Young reported that the Committee also received an update on the University's Enterprise Risk Management program, and a summary of the top risks.

Report of the Academic and Student Life Committee. Mr. Keeter reported that the Committee received an overview of the roles and responsibilities of the Academic and Student Life Committee. He then reported that the Committee received its annual in-person appellate Title IX Appeals Training, reviewing the University's student accountability process, the unique dynamics of student appeals cases, and the parameters of the Committee's review when a case is brought to its attention. He also reported that the Committee received an update on the beginning of the athletics season.

Report of the University Faculty Council. Dr. Newton reported that the faculty's overarching goal is to work together, be smarter and not just work harder. She then discussed the Council's areas of priority: strengthening bridges with campus senior leadership to problem solve together; working with the Provost and Academic Affairs on academic policies that affect faculty; promoting and developing faculty leadership; and reviewing the current faculty council structure to streamline and ensure efficiency. She illustrated that the Council's priorities align with the UNC Faculty Assembly's initiatives and priorities, stating that Charlotte has faculty representation on all of the System's task forces. Lastly, she acknowledged the Board of Trustees' role as a bridge to the community and the legislature and asked for its support and advocacy as the University reaches R1 status, particularly to increase faculty salaries to attract and retain top talent.

Report of Staff Faculty Council. Ms. Waldman provided an overview of the Staff Council Executive Board. She reviewed current committee activities and initiatives, including the operations committee's goal to review the bylaws to ensure equitable policies that all staff are represented in staff council. She also highlighted the new professional development committee which is aligning with Human Resources and the Center for Teaching and Learning to ensure dissemination of information to remote staff and to make sure that they are able to participate.

Report of the Student Government Association (SGA). Mr. Grayson provided an update on the summer goals he presented at his first meeting in April, as well as SGA accomplishments since spring, which included rewriting of SGA bylaws, recreating the SGA Executive Branch, planning of "Fireside Chats" to update the student body on the progress of SGA initiatives. Mr. Grayson then highlighted future initiatives, including an overhaul of SGA's social media, website and public image, finding a space on campus open 24/7 for students, and planning events to engage with students.

Motion to Convene in Closed Session. Mr. Bunker called for a motion to convene in closed session, and excused everyone except the Trustees, Chancellor Gaber, Ms. Bradley, Ms. Jenkins, and Cabinet members.

> Action. On a motion by Mr. Wilson, seconded by Mr. Grayson, the Board convened in closed session pursuant to the following General Statutes of the State of North Carolina:
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> a. *Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7.*

Closed Session

Closed session minutes are filed in the office of the Assistant Secretary to the Board of Trustees.

Open Session

Other Business. Mssrs. Bailey and Young thanked the staff for ensuring that they were well prepared for the meeting as new committee chairs.

Adjourn. There being no further business to come before the Board, the meeting was adjourned at 3:48 pm.

Submitted: 12/4/24
Kim S. Bradley Date
Assistant Secretary to the Board
UNC Charlotte Board of Trustees

Approved: 12/4/24
Dennis N. Bunker III Date
Chair
UNC Charlotte Board of Trustees