UNC CHARLOTTE

The University of North Carolina at Charlotte
Board of Trustees

Audit, Compliance, and Enterprise Risk Management Committee Meeting
Tuesday, September 22, 2020, 8:00–9:30 a.m.
Public YouTube Live Stream:
https://www.youtube.com/channel/UCy57M1Csx7mhzI4FOFRKGEw

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order — 8:00 a.m.
   Theresa Drew, Chair

2. Approval of the February 11, 2020, Meeting Minutes — 8:01 a.m.
   Theresa Drew, Chair
   Document: February 11, 2020, Meeting Minutes—Open Session and Closed Session

3. Internal Audit Update — 8:02 a.m.
   Jennifer Walker, Chief Audit Officer
   Documents: FY 2020 Audit Plan Update
   FY 2021 Audit Plan Update
   Summary of Internal Audit Reports Issued (This Period)
   Internal Audit Findings Exception Report
   Internal Audit Charter Update

4. Compliance Update — 8:22 a.m.
   Susan Burgess, Chief Compliance Officer

5. Overview of New Title IX Regulations — 8:32 a.m.
   Michelle Reinken, Title IX Coordinator
   Sarah Edwards, Associate General Counsel
   Document: The New Title IX Regulations

6. Motion to Convene in Closed Session — 8:52 a.m.
   Theresa Drew, Chair

a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;

b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3); and

c. to consider the qualifications, competence, performance, or condition of appointment of an employee pursuant to N.C.G.S. §143-318.11(a)(6).

Closed Session

7. Title IX Update — 8:53 a.m.
   Michelle Reinken, Title IX Coordinator
   Document: Title IX Compliance Program "Snapshot"

8. Report on Litigation and Risk Management Issues — 9:08 a.m.
   Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel

9. Motion to Resume in Open Session — 9:18 a.m.
   Theresa Drew, Chair

Open Session

10. Other Business — 9:20 a.m.
    Theresa Drew, Chair

11. Adjourn — 9:30 a.m.
    Theresa Drew, Chair

<u>Members</u>
Theresa Drew, Chair
Susan DeVore, Vice Chair
Dennis Bunker III
Christine Katziff
David Mildenberg
Michael Wilson, *ex officio*

UNC CHARLOTTE

The University of North Carolina at Charlotte
Board of Trustees

Executive Committee Meeting
Tuesday, September 22, 2020, 9:30–10:30 a.m.
Public YouTube Live Stream:
https://www.youtube.com/channel/UCy57M1Csx7mhzI4FOFRKGEw

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
   Michael L. Wilson, Chair

2. Approval of the February 11, 2020, Meeting Minutes
   Michael L. Wilson, Chair
   Documents: February 11, 2020, Meeting Minutes - Open Session
   February 11, 2020, Meeting Minutes - Closed Session

3. Self-Audit of BOT Minutes
   Kim Bradley, Chief of Staff

4. Trustee Appointment Process
   Betty Doster, Special Assistant to the Chancellor for Constituent Relations

5. Motion to Convene in Closed Session
   Michael L. Wilson, Chair

   a. *prevent the disclosure of information that is privileged or confidential pursuant to the law of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes pursuant to N.C.G.S. §143-318.11 (a)(1);*
   b. *Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and*

c. establish, or to instruct the public body's staff concerning the amount of compensation and other material terms of an employment contract or proposed employment contract pursuant to N.C.G.S. §143-318.11 (a)(5);

Closed Session

6. Update on Naming Opportunity
   Niles Sorensen, Vice Chancellor for University Advancement

7. Quarterly Report of Faculty Actions and Salary Adjustments
   Joan Lorden, Provost and Vice Chancellor for Academic Affairs
   Documents: Quarterly Report of Faculty Actions
   Quarterly Report of Faculty Salary Adjustments

8. Quarterly Report of EHRA Non-Faculty Actions and Salary Adjustments
   Beth Hardin, -Vice Chancellor for Business Affairs
   Documents: Quarterly Report of EHRA Non-Faculty Actions
   Quarterly Report of EHRA Non-Faculty Salary Adjustments

9. Motion to Resume in Open Session
   Michael L. Wilson, Chair

Open Session

10. Other Business
    Michael L. Wilson, Chair

11. Adjourn
    Michael L. Wilson, Chair

UNC CHARLOTTE

The University of North Carolina at Charlotte
Board of Trustees

Academic and Student Affairs Committee Meeting
Tuesday, September 22, 2020, 10:30-11:30 a.m.
Public YouTube Live Stream:
https://youtube.com/channel/UCDMLQksMteCDS57w7NnZi2w

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
   Dennis N. Bunker III, Chair

2. Approval of the February 11, 2020, Meeting Minutes
   Dennis N. Bunker III, Chair
   Document: February 11, 2020, Open Session Meeting Minutes

3. Education: Niner Choice
   Kevin W. Bailey, Vice Chancellor for Student Affairs
   Kristy DeSantis, Director of Administration and Assessment, Housing and Residence Life
   Natasha Harris, Assistant Director for Off-Campus Student Services
   Casey Tullos, Interim Associate Vice Chancellor for Student Affairs
   Document: Niner Choice

4. Title IX Appeals Training
   Michelle Reinken, Title IX Coordinator
   Sarah Edwards, Associate General Counsel
   James Lorello, Associate Director of Student Conduct and Academic Integrity
   Document: An Appellate Officer Primer
   UNC Policy Manual – Chapter 700 Admission, Matriculation, and Other Student Matters

5. Other Business
   Dennis N. Bunker III, Chair

6. Adjourn
   Dennis N. Bunker III, Chair

<u>Members</u>
Dennis N. Bunker III, Chair
Theresa J. Drew
Christine P. Katziff
David W. Mildenberg
Michael L. Wilson, *ex officio*

UNC CHARLOTTE

The University of North Carolina at Charlotte
Board of Trustees

Athletics Committee Meeting
Tuesday, September 22, 2020, 10:30-11:30 a.m.
Public YouTube Live Stream:
https://www.youtube.com/channel/UCNDBWwmvPGhSAZFczIuCFNQ/

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
   J. Brett Keeter, Acting Chair

2. Approval of the February 11, 2020 Meeting Minutes
   J. Brett Keeter, Acting Chair
   Document: February 11, 2020 Meeting Minutes

3. Approval of Corrected October 2, 2018 Meeting Minutes
   J. Brett Keeter, Acting Chair
   Document: October 2, 2018 Meeting Minutes - redline
   October 2, 2018 Meeting Minutes - corrected

4. Brand Launch
   Chris Fuller, Deputy Athletic Director, External Affairs
   Document: Athletics Brand Launch

5. Budget
   Darin Spease, Deputy Athletic Director/CFO
   Document: Athletics Budget

6. Return to Campus
   Chris Thomasson, Senior Associate Athletic Director
   Documents: Report of Charlotte Athletics COVID-19 Return to Campus Committee Standards for Charlotte Student-Athlete Health Safety
   Department of Athletics Travel Guidelines
   COVID Non-Participation Decision Policy and Procedure

7. Committee for Change
   Ragean Hill, Executive Associate Athletic Director/SWA
   Document: United in Gold

8. Athletic Department Update
   Mike Hill, Director of Athletics

9. Other Business
   J. Brett Keeter, Acting Chair

10. Adjourn
    J. Brett Keeter, Acting Chair

<u>Members</u>
Teross W. Young Jr., Chair
J. Brett Keeter, Vice Chair
Sharon Decker
Tahlieah Sampson
Mike Wilson, *ex officio*

UNC CHARLOTTE

The University of North Carolina at Charlotte
Board of Trustees

Facilities and Physical Properties Committee Meeting
Tuesday, September 22, 2020, 10:30 a.m.–11:30 a.m.
Public YouTube Live Stream:
https://www.youtube.com/channel/UCy57M1Csx7mhzI4FOFRKGEw

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order — 10:30 a.m.
   Fred W. Klein, Chair

2. Approval of Meeting Minutes — 10:31 a.m.
   Fred W. Klein, Chair
   Document: April 2, 2020 Meeting Minutes

3. Request for Ratification of a Capital Project Designer Selection — 10:35 a.m.
   Beth Hardin, Vice Chancellor for Business Affairs
   Jon Varnell, Associate Vice Chancellor for Facilities Management
   Document: Union Deck Elevator Replacement

4. Capital Improvement Projects Update — 10:40 a.m.
   Beth Hardin, Vice Chancellor for Business Affairs
   Jon Varnell, Associate Vice Chancellor for Facilities Management
   Document: Capital Improvement Projects Update
   UNC Charlotte Capital Projects (slides)

5. Other Business — 11:15 a.m.
   Beth Hardin, Vice Chancellor for Business Affairs
   Jon Varnell, Associate Vice Chancellor for Facilities Management
   Document: Phase XVI Project Summary

6. Adjourn — 11:30 a.m.
   Fred Klein, Chair

UNC CHARLOTTE

The University of North Carolina at Charlotte
Board of Trustees

Tuesday, September 22, 2020, 12:00 – 3:30 p.m.
Public YouTube Live Stream:
https://www.youtube.com/channel/UCy57M1Csx7mhzI4FOFRKGEw

Schedule

8:00–9:30 a.m.	Audit, Compliance, and Enterprise Risk Management (ACERM) Committee Meeting Zoom Video Conference
9:30–10:30 a.m.	Executive Committee Meeting Zoom Video Conference
10:30–11:30 a.m.	Academic and Student Affairs (ASA) Committee Meeting Zoom Video Conference with Breakout Room
10:30–11:30 a.m.	Athletics Committee Meeting Zoom Video Conference with Breakout Room
10:30–11:30 a.m.	Facilities and Physical Properties Committee (FPPC) Meeting Zoom Video Conference with Breakout Room
11:30 a.m.–12:00 p.m.	Lunch Break
12:00–3:30 p.m.	Board Will Convene in the Committee of the Whole Zoom Video Conference

Board of Trustees Agenda

The Board Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
   Michael L. Wilson, Chair

2. Introductions and Announcements
   Michael L. Wilson, Chair

3. Swearing-In of Student Body President
   Michael L. Wilson, Chair
   Tahlieah K. Sampson, Student Body President and Trustee
   Anna Clark, Assistant to the Chief of Staff, Notary Public, Office of the Chancellor

4. Approval of April 2, 2020 and July 8, 2020 Meeting Minutes
   Michael L. Wilson, Chair
   Documents: April 2, 2020 Meeting Minutes—Open Session
   April 2, 2020 Meeting Minutes—Closed Session
   July 8, 2020 Meeting Minutes—Open Session
   July 8, 2020 Meeting Minutes—Closed Session

5. Remarks by the Board of Governors Liaison
   Mark Holton, Board of Governors Member

6. Remarks by the Chancellor
   Sharon L. Gaber, Chancellor

7. Status of Campus Operations
   Sharon L. Gaber, Chancellor

8. Budget Update
   Ken Smith, University Budget Director
   Document: Budget Update: Impact of COVID-19

9. Hotel and Conference Center Update
   Niles Sorensen, Vice Chancellor for University Advancement
   Document: Status of the UNC Charlotte Marriott Hotel and Conference Center

10. Exponential Campaign Update
    Gene Johnson, Exponential Campaign, Chair
    Document: Exponential: The Campaign for UNC Charlotte

11. Legislative Update
    Betty M. Doster, Special Assistant to the Chancellor for Constituent Relations
    Document: Legislative Report

12. Report of the University Faculty Council
    Joel D. Avrin, Faculty President
    Document: Faculty Council Report

13. Report of the University Staff Council
    Celeste M. Corpening, Staff Council Chair
    Document: Staff Council Report

14. Report of the Student Government Association
    Tahlieah K. Sampson, Student Body President and Trustee
    Document: Student Government Association Updates

15. Report of the Executive Committee
    Michael L. Wilson, Chair

16. Report of the Audit, Compliance, and Enterprise Risk Management Committee
    Theresa J. Drew, Chair

17. Report of the Academic and Student Affairs Committee
    Dennis N. Bunker III, Chair

18. Report of the Athletics Committee
    J. Brett Keeter, Acting Chair

19. Report of the Facilities and Physical Properties Committee
    Fred W. Klein, Chair

20. Other Business
    Michael L. Wilson, Chair

21. Motion to Convene in Closed Session
    Michael L. Wilson, Chair

    a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;
    b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11(a)(3);
    c. pursuant to N.C.G.S §143-318.11 (a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee;

Closed Session

22. Report of the Executive Committee
    Michael L. Wilson, Chair

23. Report of the Audit, Compliance, and Enterprise Risk Management Committee
    Theresa J. Drew, Chair

24. Motion to Resume in Open Session
    Michael L. Wilson, Chair

Open Session

25. Adjourn
    Michael L. Wilson, Chair