UNC CHARLOTTE

The University of North Carolina at Charlotte
Board of Trustees

Audit, Compliance, and Enterprise Risk Management Committee Meeting
Tuesday, February 9, 2021, 8:00–9:30 a.m.
Public YouTube Live Stream:
https://www.youtube.com/channel/UCNDBWwmvPGhSAZFczluCFNQ

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order — 8:00 a.m.
   Susan DeVore, Acting Chair

2. Approval of the December 8, 2020, Meeting Minutes — 8:01 a.m.
   Susan DeVore, Acting Chair
   Documents: December 8, 2020, Meeting Minutes—Open Session
   December 8, 2020, Meeting Minutes—Closed Session

3. Internal Audit Update — 8:02 a.m.
   Jennifer Walker, Chief Audit Officer
   Documents: FY 2021 Audit Plan Update
   Summary of Internal Audit Reports Issued
   Internal Audit Findings Exception Report

4. Compliance Update — 8:12 a.m.
   Sue Burgess, Chief Compliance Officer
   Documents: University Ethics and Compliance Update
   Required Training Programs – Research
   Required Training Programs – Non-Research

5. Enterprise Risk Management (ERM) Update — 8:22 a.m.
   Steven Dunham, Chief Risk Officer
   Document: Enterprise Risk Management

6. Report on 2019-2020 External Audits — 8:42 a.m.
   Anne Brown, Associate Vice Chancellor for Finance
   Laura Williams, University Controller

Greg Verret, Director of Treasury Services
David Ehricht, Assistant State Auditor Supervisor, Office of the State Auditor
Documents: The University of North Carolina at Charlotte Financial Statement Audit Report for the Year Ended June 30, 2020
Annual Financial Audits of Affiliated Entities:
- The Foundation of the University of North Carolina at Charlotte, Inc. Consolidated Financial Statements for the Years Ended June 30, 2020 and 2019, and Independent Auditor's Report
- The Athletic Foundation of the University of North Carolina at Charlotte Financial Statements for the Years Ended June 30, 2020 and 2019, and Independent Auditor's Report
- The University of North Carolina at Charlotte Facilities Development Corporation, Inc. Financial Statements for the Years Ended June 30, 2020 and 2019, and Independent Auditor's Report
- The University of North Carolina at Charlotte Investment Fund, Inc. Financial Statements for the Years Ended June 30, 2020 and 2019, and Independent Auditor's Report
- Ventureprise, Inc. Financial Statements for the Years Ended June 30, 2020, and 2019, and Independent Auditor's Report

7. National Collegiate Athletics Association (NCAA) Agreed-Upon Procedures 8:57 a.m.
   Laura Williams, University Controller
   Darin Spease, Deputy Athletic Director
   Document: Independent Accountant's Report on Applying Agreed-Upon Procedures

8. Motion to Convene in Closed Session 9:02 a.m.
   Susan DeVore, Acting Chair

   a. *Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;*
   b. *to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3); and*
   c. *to consider the qualifications, competence, performance, or condition of appointment of an employee pursuant to N.C.G.S. §143-318.11(a)(6).*

Closed Session

9. Title IX Update 9:02 a.m.
   Dr. Michelle Reinken, Director of Title IX Compliance/Title IX Coordinator
   Document: Title IX Compliance Program "Snapshot"

10. Report on Litigation and Risk Management Issues 9:12 a.m.
    Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel

11. Motion to Resume in Open Session — 9:22 a.m.
    Susan DeVore, Acting Chair

Open Session

12. Other Business — 9:22 a.m.
    Susan DeVore, Acting Chair

13. Adjourn — 9:30 a.m.
    Susan DeVore, Acting Chair

Members
Theresa Drew, Chair
Susan DeVore, Vice Chair
Dennis Bunker
Christine Katziff
David Mildenberg
Mike Wilson, *ex officio*

UNC CHARLOTTE

The University of North Carolina at Charlotte
Board of Trustees

Executive Committee Meeting
Tuesday, February 9, 2021 9:35–10:35 a.m.
Public YouTube Live Stream:
https://www.youtube.com/channel/UCy57M1Csx7mhzI4FOFRKGEw?

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
   Michael L. Wilson, Chair

2. Approval of the December 8, 2020, Meeting Minutes
   Michael L. Wilson, Chair
   Documents: December 8, 2020, Meeting Minutes - Open Session
   December 8, 2020, Meeting Minutes - Closed Session

3. Irwin Belk Distinguished Professor of Biology
   Joan F. Lorden, Provost and Vice Chancellor for Academic Affairs
   Documents: Letter to Chancellor Gaber
   Plan to Establish the Irwin Belk Distinguished Professorship in Biology

4. Nominating Committee
   Michael L. Wilson, Chair

5. Board Self-Assessment
   Michael L. Wilson, Chair

6. Motion to Convene in Closed Session
   Michael L. Wilson, Chair

   a. *Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and*

   b. *prevent the disclosure of information that is privileged or confidential pursuant to the law of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes pursuant to N.C.G.S. §143-318.11 (a)(1);*
   c. *establish, or to instruct the public body's staff concerning the amount of compensation and other material terms of an employment contract or proposed employment contract pursuant to* N.C.G.S. §143-318.11 (a)(5);

Closed Session

7. Quarterly Report of Faculty Actions and Salary Adjustments
   Joan F. Lorden, Provost and Vice Chancellor for Academic Affairs
   Documents: Quarterly Report of Faculty Actions
   Quarterly Report of Faculty Salary Adjustments

8. Quarterly Report of EHRA Non-Faculty Actions and Salary Adjustments
   Beth A. Hardin, Vice Chancellor for Business Affairs
   Documents: Quarterly Report of EHRA Non-Faculty Actions
   Quarterly Report of EHRA Non-Faculty Salary Adjustments

9. Annual Human Resources Compliance Report
   Joan F. Lorden, Provost and Vice Chancellor for Academic Affairs
   Documents: FY19-20 Annual Human Resources Compliance Report
   IRIT Annual Report
   UNCC Supplemental Pay Policy 101.15
   Faculty Salary Study

10. Trustee Appointment Process
    Betty M. Doster, Special Assistant to the Chancellor for Constituent Relations

11. Naming Opportunity
    Niles F. Sorensen, Vice Chancellor for Advancement
    Document: Named Space Proposal

12. Motion to Resume in Open Session
    Michael L. Wilson, Chair

Open Session

13. Other Business
    Michael L. Wilson, Chair

14. Adjourn
    Michael L. Wilson, Chair

Executive Committee Members
Michael L. Wilson, Chair
Dennis N. Bunker
Susan D. DeVore
Theresa J. Drew
Fred W. Klein Jr.
Mary Ann Rouse
Tahlieah K. Sampson
Teross W. Young Jr.

UNC CHARLOTTE

The University of North Carolina at Charlotte
Board of Trustees

Academic and Student Affairs Committee Meeting
Tuesday, February 9, 2021, 10:40-11:40 a.m.
Public YouTube Live Stream:
https://www.youtube.com/channel/UCNDBWwmvPGhSAZFczIuCFNQ

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
   Dennis N. Bunker III, Chair

2. Approval of the December 8, 2020, Meeting Minutes
   Dennis N. Bunker III, Chair
   Document: December 8, 2020, Meeting Minutes

3. Research Spotlight: Planning an Affordable, Resilient, and Sustainable Electric Grid in North Carolina
   Rick Tankersley, Vice Chancellor for Research and Economic Development
   Michael Mazzola, Executive Director, Energy Production and Infrastructure Center (EPIC)
   Document: Planning an Affordable, Resilient, and Sustainable Electric Grid in North Carolina

4. Education: Lab School Update
   Joan Lorden, Provost and Vice Chancellor for Academic Affairs
   Pamela Broome, Principal, Niner University Elementary School
   Teresa Petty, Interim Dean, Cato College of Education
   Document: Lab School Update

5. Other Business
   Dennis N. Bunker III, Chair

6. Adjourn
   Dennis N. Bunker III, Chair

UNC CHARLOTTE

The University of North Carolina at Charlotte
Board of Trustees

Athletics Committee Meeting
Tuesday, February 9, 2021, 10:40-11:40 a.m.
Public YouTube Live Stream:
https://www.youtube.com/channel/UCy57M1Csx7mhzl4FOFRKGEw?

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
   Teross Young Jr., Chair

2. Approval of the December 8, 2020, Meeting Minutes
   Teross Young Jr., Chair
   Document: December 8, 2020, Meeting Minutes

3. Football Recap/Update
   Will Healy, Head Football Coach

4. Athletic Department Update
   Mike Hill, Director of Athletics

5. Other Business
   Teross Young Jr., Chair

6. Adjourn
   Teross Young Jr., Chair

<u>Members</u>
Teross W. Young Jr., Chair
J. Brett Keeter, Vice Chair
Sharon Allred Decker
Tahlieah K. Sampson
Michael L. Wilson, *ex officio*

UNC CHARLOTTE

The University of North Carolina at Charlotte
Board of Trustees

Facilities and Physical Properties Committee Meeting
Tuesday, February 9, 2021 10:40 a.m.–11:40 a.m.
Public YouTube Live Stream:
https://www.youtube.com/channel/UCDMLQksMteCDS57w7NnZi2w

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order — 10:40 a.m.
   Fred W. Klein, Chair

2. Approval of Meeting Minutes — 10:41 a.m.
   Fred W. Klein, Chair
   Document: December 8, 2020, Meeting Minutes

3. Project Update on Delayed or Terminated Capital Projects — 10:45 a.m.
   Beth Hardin, Vice Chancellor for Business Affairs
   Jon Varnell, Associate Vice Chancellor for Facilities Management
   Documents: Lot 5A
   Popp Martin Dining Renovation

4. Easement on 106-acre Parcel (For Information Only) — 11:00 a.m.
   Beth Hardin, Vice Chancellor for Business Affairs
   Jon Varnell, Associate Vice Chancellor for Facilities Management
   Document: Request for Sanitary Sewer Easement 106-acre

5. Capital Improvement Projects Update — 11:15 a.m.
   Beth Hardin, Vice Chancellor for Business Affairs
   Jon Varnell, Associate Vice Chancellor for Facilities Management
   Document: Capital Improvement Projects Update

6. Adjourn — 11:40 a.m.
   Fred W. Klein, Chair

The University of North Carolina at Charlotte
Board of Trustees

Tuesday, February 9, 2021 12:15 – 3:30 p.m.
Public YouTube Live Stream:
https://www.youtube.com/channel/UCNDBWwmvPGhSAZFczluCFNQ

Schedule

8:00–9:30 a.m.	Audit, Compliance, and Enterprise Risk Management (ACERM) Committee Meeting Zoom Video Conference
9:35–10:35 a.m.	Executive Committee Meeting Zoom Video Conference
10:40–11:40 a.m.	Academic and Student Affairs (ASA) Committee Meeting Zoom Video Conference
10:40–11:40 a.m.	Athletics Committee Meeting Zoom Video Conference
10:40–11:40 a.m.	Facilities and Physical Properties Committee (FPPC) Meeting Zoom Video Conference
11:40 a.m.–12:15 p.m.	Lunch Break
12:15–3:30 p.m.	Board Will Convene in the Committee of the Whole Zoom Video Conference

Board of Trustees Agenda

The Board Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
   Michael L. Wilson, Chair

2. Introductions and Announcements
   Michael L. Wilson, Chair

3. Approval of Previous Meeting Minutes
   Michael L. Wilson, Chair
   Documents: December 8, 2020, Meeting Minutes—Open Session
   December 8, 2020, Meeting Minutes—Closed Session

4. Remarks by the Board of Governors Liaison
   Mark Holton, Board of Governors Liaison

5. Remarks by the Chancellor
   Sharon L. Gaber, Chancellor

6. Strategic Planning Update
   Sharon L. Gaber, Chancellor

7. Budget Update
   Ken W. Smith, University Budget Director
   Documents: Budget Update

8. Hotel and Conference Center Update
   Niles F. Sorensen, Vice Chancellor for Advancement
   Document: UNC Charlotte Marriott Hotel and Conference Center Update

9. Legislative Update
   Betty M. Doster, Special Assistant to the Chancellor for Constituent Relations
   Document: Legislative Update
   Legislator Contact List

10. Enrollment and Projections
    Claire Kirby, Associate Provost of Enrollment Management and Director of Admissions
    Document: Enrollment Update

11. Report of the University Faculty Council
    Joel D. Avrin, Faculty President
    Document: Faculty Council Report

12. Report of the University Staff Council
    Celeste M. Corpening, Staff Council Chair
    Document: Staff Council Report

13. Report of the Student Government Association
    Tahlieah K. Sampson, Student Body President and Trustee
    Document: Student Government Association Updates

14. Report of the Executive Committee
    Michael L. Wilson, Chair

15. Report of the Audit, Compliance, and Enterprise Risk Management Committee
    Susan DeVore, Acting Chair

16. Report of the Academic and Student Affairs Committee
    Dennis N. Bunker III, Chair

17. Report of the Athletics Committee
    Teross W. Young Jr., Chair

18. Report of the Facilities and Physical Properties Committee
    Fred W. Klein, Chair

19. Other Business
    Michael L. Wilson, Chair

20. Motion to Convene in Closed Session
    Michael L. Wilson, Chair

    a. *Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;*
    b. *to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee pursuant to N.C.G.S §143-318.11 (a)(6);*
    c. *to prevent the premature disclosure of an award pursuant to N.C.G.S. §143.318.11(a)(2).*

Closed Session

21. Report of the Executive Committee
    Michael L. Wilson, Chair

22. Action on Naming Opportunity
    Niles F. Sorensen, Vice Chancellor for Advancement

23. Report of the Audit, Compliance, and Enterprise Risk Management Committee
    Susan DeVore, Acting Chair

24. Motion to Resume in Open Session
    Michael L. Wilson, Chair

Open Session

25. Adjourn
    Michael L. Wilson, Chair